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2 via GAL3-NLRP3 signaling in ischemic stroke

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35 Abstract

36 **Background:** Ischemic stroke lacks effective therapies. Whether chitinase-3-like
37 protein 1 (CHI3L1) is involved in astrocyte-mediated neuronal injury, and its
38 regulatory mechanism in both patient serum and ischemic brain tissue, remain
39 largely unknown. **Methods:** We combined clinical proteomics, mouse tMCAO, in
40 vitro OGD models, and genetic/pharmacological interventions to define CHI3L1
41 expression, function and downstream signaling. **Results:** CHI3L1 is robustly ele-
42 vated in stroke patient serum and astrocytes in peri-infarct brain, correlating
43 with stroke severity. CHI3L1 aggravates neuronal apoptosis, BBB disruption
44 and functional deficits by activating GAL3–NLRP3 inflammasome. Astrocyte-
45 specific GAL3 silencing or GB1107 inhibition markedly mitigates ischemic
46 injury. **Conclusion:** The CHI3L1–GAL3–NLRP3 axis drives astrocyte-mediated
47 neuronal damage in ischemic stroke, representing a promising therapeutic target.

48 **Keywords:** CHI3L1 proteins, GAL3 receptors, NLRP3 inflammasomes, ischemic
49 strokes, astrocytes, neuronal injuries

50 1 Introduction

51 Stroke remains a major global health burden, contributing significantly to mortal-
52 ity and long-term disability [1, 2]. Ischemic stroke, which accounts for most cases,
53 results from an abrupt interruption of cerebral blood flow, initiating a complex cascade
54 of pathological events including energy failure, excitotoxicity, oxidative stress, and a
55 strong inflammatory response [1, 3–5]. Simultaneously, the blood-brain barrier (BBB)
56 is compromised, which is leading to widespread neuronal death and tissue infarct-
57 tion [6]. Despite extensive research efforts over the past decades, effective therapeutic
58 interventions remain limited, highlighting a substantial unfulfilled clinical demand for
59 solutions.

60 Among the many molecular players implicated in stroke pathophysiology, chitinase-
61 3-like protein 1 (CHI3L1), also known as YKL-40, has emerged as a molecule of
62 interest. CHI3L1 is a highly conserved glycoprotein that involved in various biological
63 processes, including tissue remodeling, angiogenesis, and regulation of neuroinflam-
64 mation. Increasing evidence has reported elevated CHI3L1 levels across a range of
65 neurological disorders, such as traumatic brain injury (TBI), Alzheimer’s disease
66 (AD), Parkinson’s disease (PD), amyotrophic lateral sclerosis (ALS), Creutzfeldt-
67 Jakob disease (CJD), and multiple sclerosis (MS) [7–12]. Although initial studies have
68 suggested a potential role for CHI3L1 in stroke, the molecular mechanisms underlying
69 its involvement remain incompletely understood.

70 Our data showed that CHI3L1 was markedly upregulated in the peri-infarct region
71 of the ischemic hemisphere, where it was largely co-expressed with the astrocytic

72 marker GFAP. These findings are consistent with single-cell RNA sequencing data
73 from the Gene Expression Omnibus (GEO) database, where independent studies
74 using C57BL/6J mouse brains subjected to transient middle cerebral artery occlusion
75 (tMCAO) surgery also identified astrocyte-specific CHI3L1 elevation, supporting its
76 potential relevance to stroke pathology [13, 14].

77 CHI3L1 seems to affect the behavior of microglia and astrocytes, both of which play
78 central roles in the brain’s innate immune response. After neurological injury, these
79 glial cells become activated and release pro-inflammatory cytokines and chemokines,
80 which can worsen inflammation and lead to additional neuronal damage [15–18].
81 CHI3L1 may influence neuroinflammatory responses by modulating the polarization
82 and function of microglia and astrocytes. In models of traumatic brain injury (TBI),
83 CHI3L1 has been shown to promote M1-type microglial activation, thereby enhanc-
84 ing pro-inflammatory signaling [10, 16, 19–21]. Additionally, CHI3L1 engages with
85 several membrane receptors, including interleukin-13 receptor $\alpha 2$ (IL-13R $\alpha 2$), Trans-
86 membrane Protein 219 (TMEM219), galectin-3 (GAL3), Chemoattractant receptor
87 homologous molecule of Th2 cells (CRTH2), and CD44 activating downstream path-
88 ways such as AKT, β -catenin, and NF- κ B. Disruption of these pathways has been
89 associated with various neurological disorders [22–25]. Clinically, CHI3L1 levels are
90 elevated in both serum and cerebrospinal fluid (CSF) of stroke patients [26–28]. For
91 example, Rathcke et al. reported that higher serum YKL-40 levels were linked to
92 increased mortality risk following ischemic stroke [29]. Elevated CHI3L1 concentrations
93 in CSF have also been observed in patients with acute disseminated encephalomyelitis,
94 neuromyelitis optica, and in subsets of multiple sclerosis patients with extensive radio-
95 logical activity [30, 31]. In multiple sclerosis, a disease sharing some pathophysiological
96 features with stroke, CHI3L1 has been linked to low-grade non-lymphocytic inflam-
97 mation and active neurodegeneration, suggesting a broader role in disease progression
98 [8, 32].

99 2 Results

100 2.1 Multi - modal Investigation Unveils CHI3L1 as a Key 101 Player in Acute Ischemic Stroke Pathophysiology

102 Prior studies have reported elevated CHI3L1 expression in stroke patients (Table S1).
103 To validate these findings, we performed proteomic sequencing of serum samples from
104 24 acute ischemic stroke (AIS) patients and age-matched controls (Table S2). Our
105 analysis identified 355 proteins with altered expression, among which 164, including
106 CHI3L1, were upregulated (**Fig. 1A–1B**). A heatmap visually depicted the differen-
107 tially expressed proteins (**Fig. S1A**). KEGG pathway enrichment analysis revealed
108 significant enrichment of the NLRP3 signaling pathway, along with other key pathways
109 including MAPK, PI3K-Akt, and TNF signaling (**Fig. S1B**).

110 Subsequent ELISA assays confirmed a significant elevation of CHI3L1 levels in AIS
111 patients (427.1 ± 212.5 ng/ml) compared to controls (197.6 ± 30.8 ng/ml, $p < 0.0001$)
112 (**Fig. 1C; Table S3**). Furthermore, CHI3L1 levels positively correlated with both
113 admission NIHSS scores (**Fig. 1D**), reflecting stroke severity, and infarct volume (**Fig.**

114 **1E**). These results reinforce the potential role of CHI3L1 in acute ischemic stroke
115 pathophysiology.

116 In summary, our data not only replicates previous reports of increased CHI3L1 lev-
117 els in stroke patients [16, 27, 29, 33] but also expands current knowledge by identifying
118 additional upregulated proteins and related signaling pathways. The observed strong
119 correlations involving CHI3L1 suggest its utility as a potential biomarker. They also
120 provide a basis for further exploration of its role in stroke mechanisms and clinical
121 applications. The temporal profile of CHI3L1 upregulation in peri-infarct brain regions
122 and its robust elevation in patient serum samples—correlating with both stroke sever-
123 ity and infarct volume—positions CHI3L1 as not only a molecular effector but also a
124 measurable proxy for disease burden. This dual significance reinforces its diagnostic
125 and mechanistic relevance in stroke.

126 Despite the growing association between CHI3L1 and stroke, it’s *in vivo* dynamics
127 and functional implications have remained poorly defined. Given the significant bur-
128 den of stroke, a more comprehensive understanding of the molecular mechanisms is
129 critical for the development of effective therapies. Therefore, we investigated CHI3L1
130 expression in glial cells using tMCAO mouse model.

131 Immunostaining was employed to assess the expression of GFAP (astrocyte
132 marker), IBA-1 (microglial marker), and CHI3L1 across key brain regions. These
133 included the cortex, lateral ventricle, and peri-infarct area (**Fig. 1F**; **Fig. S1C**). Com-
134 parison of the affected and unaffected hemispheres revealed a marked upregulation of
135 CHI3L1 in the ischemic regions, indicating its involvement in the brain’s response to
136 ischemic injury. Co-localization analysis revealed that only a small subset of microglia
137 co-expressed CHI3L1, primarily near the infarct core, whereas the differential co-
138 expression of CHI3L1 and GFAP between the two hemispheres was most pronounced
139 in the peri-infarct region. Notably, a stronger overlap was observed between CHI3L1
140 and GFAP, indicating predominant upregulation in astrocytes.

141 Complementing our *in vivo* findings, we investigated CHI3L1 expression using an
142 *in vitro* oxygen-glucose deprivation (OGD) model (**Fig. S1D**). Immunofluorescence
143 staining of GFAP and CHI3L1 in primary astrocyte cultures demonstrated that cells
144 subjected to 6 hours of OGD followed by 24 hours of reoxygenation (OGD6h-Re24h)
145 exhibited significantly enhanced CHI3L1 secretion. This was in comparison to non-
146 OGD controls (NON-OGD-Re). This *in vitro* evidence supports and strengthens our
147 *in vivo* observations. Additionally, independent single-cell RNA sequencing data from
148 the Gene Expression Omnibus (GEO) confirmed astrocyte-specific upregulation of
149 CHI3L1 following cerebral ischemia in C57BL/6J mouse brains (**Fig. S2**).

150 To characterize the temporal dynamics of CHI3L1 expression, we monitored its
151 levels in the cerebral cortex of tMCAO mice at multiple time points post-injury (**Fig.**
152 **1G**). CHI3L1 expression gradually increased between 6 hours and 3 days post-tMCAO,
153 peaked between 3 and 7 days, and subsequently declined.

154 To complement qualitative observations with quantitative data, we performed
155 Western blotting to assess CHI3L1 protein levels in the ischemic hemisphere at dif-
156 ferent time points (**Fig. 1H**). These results quantitatively confirmed the temporal
157 upregulation of CHI3L1 following stroke, reinforcing the findings from immunostaining
158 analyses.

159 To further confirm the temporal dynamics of CHI3L1 elevation in both brain tissue
160 and serum following tMCAO, we performed sensitive ELISA analyses on samples
161 from tMCAO mice. The results showed that CHI3L1 levels in brain tissue began to
162 differ from those in normal tissue approximately 12 hours post-injury, with the most
163 pronounced increase observed between 3 and 7 days, consistent with the findings from
164 immunostaining and Western blotting (**Fig. S1E**). In contrast, CHI3L1 levels in serum
165 displayed an earlier peak, rising between 1 and 3 days post-injury before gradually
166 declining (**Fig. S1F**).

167 Collectively, our findings demonstrate that CHI3L1 is predominantly upregulated
168 in astrocytes, with minimal co-localization with microglia, in the tMCAO mouse
169 model of stroke. This spatiotemporal expression pattern underscores the importance of
170 CHI3L1 in stroke pathophysiology and highlights its potential as a therapeutic target
171 for future interventions.

172 **2.2 CHI3L1 Overexpression and Knockdown in tMCAO Mice** 173 **Uncovers Its Role in Stroke - Related Functional and** 174 **Histological Changes**

175 To investigate the role of CHI3L1 in stroke, we conducted a series of *in vivo* experi-
176 ments. Lentiviral vectors were engineered to specifically modulate CHI3L1 expression
177 (**Fig. 2A**). For overexpression, the full-length CHI3L1 cDNA was cloned into a lentivi-
178 ral vector under the control of a strong promoter. For knockdown, short-hairpin RNAs
179 (shRNAs) targeting CHI3L1 were inserted into a lentiviral backbone. Transfection of
180 these constructs into 293T cells produced high-titer lentiviral particles.

181 We subsequently administered the CHI3L1-modulating lentiviruses into the cere-
182 bral cortex of tMCAO mice via multi-site injections. Western blot analysis validated
183 the efficacy of CHI3L1 overexpression and knockdown in the brain tissues (**Fig. 2B**).
184 Specific anti-CHI3L1 antibodies confirmed that lentiviral transduction successfully
185 regulated CHI3L1 expression, establishing the feasibility of our experimental approach.

186 Motor function was assessed using the Garcia score, Neurological Deficit Score,
187 rotarod test, and beam-walking test to evaluate neurological deficits and motor recov-
188 ery post-stroke (**Fig. 2C–2E**). D0 denotes the time point before tMCAO (the final
189 day of behavioral training), during which mice without tMCAO showed no statistically
190 significant differences. CHI3L1 overexpression consistently impaired motor recovery,
191 resulting in delayed coordination, more pronounced neurological deficits, and compro-
192 mised fine motor control. In contrast, CHI3L1 knockdown significantly improved motor
193 outcomes, with enhanced balance, fewer hindlimb slips, and faster task completion.

194 In the Open Field Test (OFT), designed to assess general locomotion and
195 exploratory behavior, CHI3L1 overexpression restricted movement and exploration
196 (**Fig. S3A– S3B**). Mice overexpressing CHI3L1 traveled shorter distances and made
197 fewer entries into the center zone. In contrast, knockdown promoted locomotor activity
198 and exploratory behavior. Cognitive performance, assessed by the Novel Object Loca-
199 tion (NOL) Test and Novel Object Recognition (NOR) Test, revealed that CHI3L1
200 overexpression impaired spatial memory and object recognition abilities, as indicated
201 by reduced exploratory preferences (**Fig. S3C–S3D**). Conversely, CHI3L1 knock-
202 down enhanced cognitive function, reflected by increased preference for novel locations

203 and objects. Additionally, in sham-operated groups with viral manipulation but with-
204 out tMCAO (**Fig. S3E–S3G**), no statistically significant differences were observed
205 in open-field activity, novel location recognition, or novel object performance, con-
206 firming that the observed cognitive changes were specifically associated with CHI3L1
207 modulation rather than viral or surgical effects.

208 Physiological and histological analyses further substantiated these findings. Cere-
209 bral blood flow (CBF) measurements on day 7 post-tMCAO demonstrated that
210 CHI3L1 overexpression was associated with reduced perfusion in the ischemic region,
211 whereas knockdown improved CBF (**Fig. 2G**). Increased CBF reflects enhanced vascu-
212 lar remodeling, collateral formation, and functional perfusion recovery in the ischemic
213 hemisphere. These improvements indicate better collateral circulation and vascular
214 remodeling, supporting tissue viability and post-stroke recovery. TTC staining at 72
215 hours post-tMCAO revealed that CHI3L1 overexpression exacerbated infarct volume,
216 indicating more severe tissue damage, while knockdown significantly reduced infarct
217 size (**Fig. 2H**).

218 To assess neuronal apoptosis, we analyzed cleaved caspase-3 (Caspase3 p11) by
219 Western blot in brain tissue from mice treated with CHI3L1-related lentiviruses
220 after tMCAO (**Fig. S3H**). Consistent with previous results, CHI3L1 overexpression
221 increased the levels of apoptosis-related proteins, whereas its knockdown reduced
222 them, indicating that CHI3L1 promotes neuronal apoptosis following tMCAO.

223 Assessment of blood-brain barrier (BBB) integrity using the Evans blue assay
224 showed that CHI3L1 overexpression increased BBB permeability, whereas knockdown
225 preserved barrier function (**Fig. 2I**).

226 Immunohistochemical analysis of MAP2 and DAPI staining in the cerebral cortex
227 and peri-infarct penumbra at 14 days post-stroke further elucidated the effects of
228 CHI3L1 modulation (**Fig. 2J**). In the cortex, MAP2 fluorescence intensity showed no
229 significant change following either CHI3L1 overexpression or knockdown. However, in
230 the peri-infarct penumbra, CHI3L1 overexpression markedly reduced MAP2 intensity,
231 indicating impaired neuronal repair, whereas its knockdown restored MAP2 levels,
232 suggesting enhanced neuronal preservation and recovery.

233 Collectively, these findings highlight CHI3L1 as a pivotal modulator of stroke
234 outcomes in the tMCAO model. The consistent pattern of worsened outcomes with
235 CHI3L1 overexpression and improved outcomes with knockdown suggests that target-
236 ing CHI3L1 may represent a promising therapeutic approach to enhance functional
237 recovery and mitigate brain damage following stroke.

238 **2.3 Astrocyte - specific CHI3L1 Knockout in $Chil1^{cKO}$ Mice:** 239 **Impact on Stroke - related Behaviors, Physiology, and** 240 **Neuronal Outcomes**

241 To determine whether astrocyte-derived CHI3L1 contributes to stroke pathophysiol-
242 ogy, we employed $Chil1^{lox/lox}$ and $Chil1^{cKO}$ mice to achieve astrocyte-specific CHI3L1
243 knockout (**Fig. 3A**). Western blot analysis of brain tissue extracts, using specific
244 anti-CHI3L1 antibodies, confirmed a significant reduction in CHI3L1 protein levels
245 in $Chil1^{cKO}$ mice compared to $Chil1^{lox/lox}$ controls (**Fig. 3B**), establishing the high
246 efficiency of CHI3L1 ablation in the knockout model.

247 Motor function assessments revealed that, from day 3 to day 7 post- tMCAO,
248 $Chil1^{cKO}$ mice exhibited significantly improved recovery, as reflected by higher Garcia
249 scores and lower Neurological Deficit Scores (**Fig. 3C**). In the rotarod test, $Chil1^{cKO}$
250 mice displayed longer latencies to fall, indicating better motor coordination and bal-
251 ance (**Fig. 3D**). Beam-walking test results further supported superior performance in
252 the $Chil1^{cKO}$ group, with shorter platform-reaching times, fewer contralateral hindlimb
253 slips, and lower Feeney scores, indicative of improved fine motor function (**Fig. 3E**).

254 In the OFT, $Chil1^{cKO}$ mice traveled greater distances and entered the central area
255 more frequently than $Chil1^{fllox/fllox}$ mice, suggesting enhanced locomotor activity and
256 exploratory behavior (**Fig. S4A- S4B**).

257 Cognitive assessments using the NOL and (NOR tests demonstrated that $Chil1^{cKO}$
258 mice exhibited higher exploratory preferences compared to controls, indicating
259 improved spatial memory and object recognition capabilities (**Fig. S4C**).

260 Physiological and histological analyses corroborated these behavioral findings.
261 On day 7 post-tMCAO, $Chil1^{cKO}$ mice exhibited significantly better CBF in the
262 ischemic hemisphere compared to controls (**Fig. 3F**). Infarct volume, assessed by 2,3,5-
263 triphenyltetrazolium chloride (TTC) staining at 72 hours post-tMCAO, was markedly
264 reduced in $Chil1^{cKO}$ mice (**Fig. 3G**). Histological examination further demonstrated
265 a lower number of lost neurons in the $Chil1^{cKO}$ group (Fig. S4D). Blood-brain bar-
266 rier (BBB) integrity, evaluated by Evans blue permeability assays at days 7 and 14
267 post-tMCAO, was better preserved in the $Chil1^{cKO}$ group, as indicated by lower dye
268 extravasation (**Fig. 3H**).

269 Immunohistochemical staining for MAP2 and DAPI in the peri-infarct penum-
270 bra at 14 days post-stroke revealed higher MAP2 fluorescence intensity in $Chil1^{cKO}$
271 mice, suggesting improved neural recovery (**Fig. 3I**). To clarify the timing of CHI3L1-
272 mediated neuronal death, we performed Western blot analysis of brain proteins from
273 $Chil1^{cKO}$ mice. Although CHI3L1 expression peaks between 3 and 7 days post-
274 tMCAO, our results showed that cleaved caspase-3 levels were significantly lower
275 in $Chil1^{cKO}$ brains compared with $Chil1^{fllox/fllox}$ controls from day 1 to day 7 post-
276 tMCAO. These findings indicate that CHI3L1 begins to mediate neuronal apoptosis as
277 early as day 1 (and possibly earlier) after stroke, as reflected by the temporal dynamics
278 of apoptotic protein activation (**Fig. S4C**).

279 To further determine the source of serum CHI3L1 elevation, we measured CHI3L1
280 levels in brain and serum samples from $Chil1^{cKO}$ mice at day 3 post-tMCAO using
281 ELISA. Brain CHI3L1 levels in $Chil1^{cKO}$ mice were significantly lower than those in
282 $Chil1^{fllox/fllox}$ mice. Although serum CHI3L1 levels were also reduced, they remained
283 higher than those in sham-operated mice. These results suggest that astrocytes con-
284 tribute to serum CHI3L1 after cerebral infarction, but not exclusively. Consistent with
285 previous reports, serum CHI3L1 may also originate from other cell types, such as blood
286 macrophages, inflammatory cells, or extra-cerebral glial cells. Given its association
287 with disease progression, serum CHI3L1 is proposed as a potential clinical biomarker
288 for cerebral infarction.

289 In summary, astrocyte-specific genetic ablation of CHI3L1 in $Chil1^{cKO}$ mice sig-
290 nificantly improved functional recovery after stroke, attenuated cerebral infarction,

291 preserved neurovascular integrity, and reduced neuronal apoptosis. These findings
292 highlight CHI3L1 as a promising therapeutic target for ischemic stroke.

293 **2.4 CHI3L1 - Neutralizing Antibody: Promoting Stroke** 294 **Recovery and Holding Promise for Therapeutic Translation**

295 We further evaluated the therapeutic potential of CHI3L1 inhibition by administering
296 a CHI3L1-neutralizing antibody and comparing outcomes to a PBS-treated control
297 group (**Fig. 3J**). Western blot analysis revealed significantly reduced CHI3L1 expres-
298 sion levels in the Anti-CHI3L1 group compared to PBS-treated mice. This confirmed
299 the antibody’s efficacy in lowering CHI3L1 expression following cerebral ischemia (**Fig.**
300 **3K**).

301 Motor function assessments demonstrated superior recovery in the Anti-CHI3L1
302 group. From day 0 to day 7 post-tMCAO, these mice exhibited higher Garcia scores
303 and lower Neurological Deficit Scores relative to controls (**Fig. 3L**). In the rotarod test,
304 Anti-CHI3L1-treated mice showed longer latencies to fall (**Fig. 3M**). Beam-walking
305 tests revealed shorter platform-reaching times, fewer contralateral hindlimb slips, and
306 lower Feeney scores, indicating enhanced fine motor skills (**Fig. 3N**). In the OFT,
307 Anti-CHI3L1-treated mice traveled longer distances and made more center-area entries
308 compared to the PBS group, suggesting improved locomotor activity and exploration
309 (**Fig. S4G- S4H**). Cognitive assessments, including the NOL and NOR tests, demon-
310 strated that Anti-CHI3L1 treatment enhanced spatial memory and object recognition.
311 This was evidenced by increased exploratory preferences relative to the PBS group
312 (**Fig. S4I**). Physiological and histological analyses supported these behavioral find-
313 ings. On day 7 post-tMCAO, Anti-CHI3L1-treated mice exhibited improved CBF in
314 the ischemic hemisphere compared to controls (**Fig. 3O**). TTC staining revealed a
315 smaller infarct volume in the Anti-CHI3L1 group, indicating reduced brain tissue dam-
316 age (**Fig. 3P**). The Evans blue permeability assay showed lower BBB permeability
317 in Anti-CHI3L1-treated mice, reflecting better preservation of barrier integrity (**Fig.**
318 **3Q**). Immunohistochemical analysis of MAP2 and DAPI staining in the peri-infarct
319 penumbra at 14 days post-stroke revealed higher MAP2 fluorescence intensity in the
320 Anti-CHI3L1 group, suggesting enhanced neuronal preservation and recovery (**Fig.**
321 **3R**). The promising outcomes observed with CHI3L1 neutralization support the ther-
322 apeutic potential of this approach. Administering a CHI3L1-neutralizing antibody
323 shortly after stroke onset may minimize brain damage, enhance functional recovery,
324 and offer a novel treatment strategy for acute ischemic stroke.

325 Additionally, we evaluated the long-term therapeutic potential of CHI3L1 neutral-
326 ization by administering a CHI3L1-neutralizing antibody to mice after tMCAO and
327 monitoring outcomes over an extended period (**Fig. S5A**). This assessment included
328 long-term motor function, cognitive performance, cerebral blood flow, and neuronal
329 preservation, all of which showed sustained improvements in the Anti-CHI3L1 group
330 (**Fig. S5**). These findings confirm that post-stroke CHI3L1 inhibition is an effective
331 therapeutic approach and demonstrate that such intervention enhances long-term -
332 recovery, further supporting the potential of CHI3L1-targeted strategies for clinical
333 translation in ischemic stroke treatment.

334 In conclusion, astrocyte-specific CHI3L1 knockout in $Chil1^{cKO}$ mice demonstrated
335 that astrocyte-derived CHI3L1 contributes significantly to stroke pathophysiology, and
336 antibody-mediated neutralization further improved stroke-related outcomes. Together,
337 these findings underscore the potential of targeting CHI3L1 through genetic or
338 pharmacological means as a promising therapeutic approach for ischemic stroke.

339 2.5 Astrocytic CHI3L1 activates NLRP3 signaling via GAL3, 340 influencing neuronal apoptosis and suggesting GAL3 as a 341 stroke - treatment target

342 Primary astrocytes were transfected with CHI3L1-modulating lentiviruses (Lenti-NC,
343 Lenti-CHI3L1, Lenti-shCHI3L1-1, Lenti-shCHI3L1-2) for 48 hours. Following trans-
344 fection, cells were subjected to 6 hours of oxygen-glucose deprivation followed by
345 24 hours of reoxygenation (OGD6h-Re24h) (**Fig. S6A**). TUNEL staining revealed
346 that neither CHI3L1 overexpression nor knockdown influenced astrocyte apoptosis
347 during OGD injury. This suggests that CHI3L1 does not directly regulate astrocyte
348 survival under these conditions (**Fig. S6B**). To investigate the impact of astrocyte-
349 derived CHI3L1 on neuronal outcomes, we collected astrocyte-conditioned medium
350 (ACM) from transfected astrocytes exposed to OGD and applied it to primary cortical
351 neurons undergoing OGD-Re (**Fig. 4A**). Western blot analysis of cleaved caspase-
352 3 in neurons showed that CHI3L1 overexpression in astrocytes increased neuronal
353 apoptosis, whereas CHI3L1 knockdown reduced it (**Fig. 4B**). Morphological analyses
354 revealed alterations in dendritic complexity consistent with these apoptotic changes
355 (**Fig. 4C**). TUNEL staining further confirmed that neurons treated with ACM from
356 CHI3L1-overexpressing astrocytes exhibited more apoptosis. In contrast, ACM from
357 CHI3L1-knockdown astrocytes conferred protection (**Fig. 4D**). Importantly, no sig-
358 nificant differences in neuronal apoptosis were observed under non-OGD conditions
359 (**Fig. S6C–S6D**), indicating that astrocytic CHI3L1 primarily influences neuronal
360 survival under ischemic stress. To investigate the role of CHI3L1 in blood–brain barrier
361 (BBB) regulation *in vitro*, we established a Transwell co-culture system of astro-
362 cytes and mouse brain microvascular endothelial cells (bEnd.3) (**Fig. S6E**). When
363 CHI3L1-overexpressing astrocytes were co-cultured with endothelial cells under OGD
364 conditions, BBB integrity was compromised, as indicated by reduced transendothe-
365 lial electrical resistance (TEER) (**Fig. S6F**) and increased sodium fluorescein (NaF)
366 permeability (**Fig. S6G**). Conversely, CHI3L1 knockdown in astrocytes alleviated
367 OGD-induced BBB disruption. These findings demonstrate that CHI3L1 promotes
368 BBB breakdown under ischemic conditions *in vitro*.

369 To elucidate downstream signaling mechanisms, primary cortical astrocytes were
370 treated with recombinant CHI3L1 protein (250 ng/mL) and analyzed by RNA sequenc-
371 ing. Principal component analysis (PCA) demonstrated distinct gene expression
372 profiles between CHI3L1-treated and PBS-treated astrocytes. Differential expres-
373 sion analysis highlighted significant changes, including upregulation of NLRP3 (**Fig.**
374 **S7A–S7C**). KEGG pathway enrichment analysis revealed significant activation of the
375 NOD-like receptor signaling pathway. This implicated NLRP3 signaling as a down-
376 stream target of CHI3L1 (**Fig. 4E**). Immunofluorescence staining further confirmed
377 that NLRP3 expression in astrocytes (GFAP⁺) was significantly increased in the

378 peri-infarct region of tMCAO mice compared with the contralateral side. This find-
379 ing is consistent with the transcriptional data and supports astrocytic NLRP3 as a
380 downstream mediator of CHI3L1 signaling in ischemic stroke (**Fig. 4F**).

381 To identify the receptor mediating CHI3L1 signaling, we first utilized lentiviral con-
382 structs targeting six candidate receptors (TMEM219, RAGE, GAL3, CD44, CRTH2,
383 IL13R α 2) to knock down their expression in primary astrocytes. Following CHI3L1
384 treatment and OGD exposure, Western blot analysis showed that knockdown of GAL3,
385 but not other candidates, abrogated CHI3L1-induced activation of NLRP3, Caspase-1,
386 pre-IL-1 β , and IL-1 β (**Fig. 4G–4H**).

387 We then performed immunofluorescence staining in the peri-infarct region of
388 tMCAO mice to examine these six candidate receptors. Among them, only GAL3
389 exhibited increased expression in astrocytes within the peri-infarct region, where
390 CHI3L1 is highly expressed. Co-staining for GAL3 and GFAP confirmed ipsilateral
391 upregulation of GAL3 relative to the contralateral hemisphere (**Fig. S7D, 4I–4J**).
392 Partial co-localization of GAL3 with microglia was also observed; however, quanti-
393 tative analysis of GAL3⁺GFAP⁺ and GAL3⁺IBA1⁺ cells in the peri-infarct region
394 indicated that GAL3 is predominantly associated with astrocytes (**Fig. 4K**). These
395 findings suggest that astrocyte-derived GAL3 in the peri-infarct region may serve as
396 the primary receptor mediating CHI3L1-induced activation of the NLRP3 inflamma-
397 some under ischemic conditions. To validate these findings *in vivo*, we stereotactically
398 injected mice with CHI3L1 protein alone, CHI3L1 protein combined with Lenti-
399 shGAL3, or Lenti-shGAL3 alone, followed by tMCAO induction. On day 5 post-stroke,
400 proteins from ipsilateral and contralateral hemispheres were collected for Western blot
401 analysis (**Fig. 4L**). Results indicated that co-treatment with Lenti-shGAL3 notably
402 inhibited NLRP3 pathway activation compared to the CHI3L1-protein-only group,
403 suggesting that GAL3 knockdown may effectively block CHI3L1-mediated NLRP3
404 activation *in vivo* (**Fig. 4M**).

405 To further clarify the downstream functional consequences of the CHI3L1–NLRP3
406 axis, we investigated its role in neuronal injury through the CHI3L1–NLRP3–IL-1 β
407 pathway. First, we confirmed that OGD-Re stimulation of primary astrocytes signif-
408 icantly increased the secretion of CHI3L1 and its downstream effector IL-1 β in the
409 conditioned medium (**Fig. S7E**). To verify that IL-1 β contributes to neuronal dam-
410 age, primary neurons were treated with recombinant IL-1 β (10 ng/ml). Sholl analysis
411 revealed marked morphological alterations (**Fig. S7G**), and TUNEL staining demon-
412 strated a significant increase in neuronal apoptosis (**Fig. S7H**), identifying IL-1 β as
413 a key mediator of neuronal injury.

414 To exclude direct effects of CHI3L1 on neurons, astrocyte-derived conditioned
415 medium (post-OGD-Re) was pretreated with either a CHI3L1-neutralizing antibody
416 or an IL-1 β -neutralizing antibody before being applied to neurons subjected to OGD-
417 Re. Sholl analysis and apoptosis assays showed that only pretreatment with the
418 IL-1 β -neutralizing antibody significantly mitigated OGD-Re-induced neuronal injury,
419 whereas CHI3L1 neutralization had no detectable protective effect (**Fig. S7I–S7K**).

420 Collectively, these findings demonstrate that astrocyte-derived CHI3L1 activates
421 NLRP3 to promote IL-1 β release, and that IL-1 β directly induces neuronal damage—thereby establishing the functional importance of the CHI3L1–NLRP3–IL-1 β
422 axis in ischemic stroke pathology.
423

424 Collectively, these observations suggest that astrocyte-derived CHI3L1 in the peri-
425 infarct region may act as a potential activator of the NLRP3 inflammasome via the
426 GAL3 receptor, with IL-1 β serving as a key downstream mediator of neuronal injury.
427 This raises the possibility that targeting GAL3 could represent a promising therapeutic
428 approach for ischemic stroke, though further investigation is needed to confirm its
429 clinical relevance.

430 2.6 Blocking GAL3 Signaling Pathway in Astrocytes Using 431 AAV - Mediated Knockdown: A Novel Strategy for Stroke 432 Treatment

433 To investigate the role of astrocytic GAL3 in mediating CHI3L1-related effects on
434 neurons, we utilized astrocyte-conditioned medium (ACM). Primary astrocytes were
435 transfected with either Lenti-NC or Lenti-shGAL3 and subjected to oxygen-glucose
436 deprivation followed by reoxygenation (OGD-Re). The resulting ACM was applied to
437 primary cortical neurons undergoing OGD-Re (**Fig. S8A**). Neurons treated with ACM
438 derived from CHI3L1-protein/OGD-Re-stimulated astrocytes exhibited pronounced
439 morphological alterations, which were attenuated by ACM from shGAL3-transfected
440 astrocytes (**Fig. S8B**). TUNEL staining further demonstrated that neurons exposed
441 to ACM from CHI3L1-stimulated astrocytes displayed increased apoptosis, whereas
442 ACM from shGAL3-transfected astrocytes mitigated this effect (**Fig. S8C**). Western
443 blot analysis confirmed efficient GAL3 knockdown in astrocytes and revealed cor-
444 responding alterations in cleaved caspase-3 levels in neurons, reflecting changes in
445 apoptotic signaling based on the source of ACM (**Fig. S8D**). To investigate the role
446 of astrocyte-derived CHI3L1/GAL3 in regulating BBB integrity under ischemic con-
447 ditions in vitro, we found that CHI3L1 overexpression in astrocytes reduced TEER
448 and increased NaF permeability, indicating BBB impairment. In contrast, GAL3
449 knockdown, or combined CHI3L1 overexpression with GAL3 knockdown, restored
450 TEER and decreased NaF permeability. Collectively, these results demonstrate that
451 astrocyte-derived CHI3L1 disrupts BBB integrity through GAL3 under ischemic con-
452 ditions, highlighting GAL3 as a potential therapeutic target for preserving BBB
453 function in ischemic stroke (**Fig. S8E–S8F**). Given the central role of stroke as a
454 major health burden, we next investigated the therapeutic potential of blocking GAL3
455 signaling using adeno-associated virus (AAV)-mediated gene knockdown (**Fig. 5A**).
456 Following stereotaxic injection of cAAV constructs into the mouse cortex, GFP, GFAP,
457 and DAPI staining confirmed specific targeting of astrocytes (**Fig. 5B**). Western
458 blotting validated the effectiveness of AAV-shGAL3 in significantly reducing GAL3
459 expression in the ischemic brain compared to AAV-NC controls (**Fig. S8G**).

460 Motor function recovery was assessed using Garcia scores, Neurological Deficit
461 Scores, rotarod, and beam-walking tests. Statistical analysis demonstrated that GAL3
462 knockdown significantly improved motor performance across all assessments, indicat-
463 ing enhanced functional recovery post-stroke (**Fig. 5C–5E**). Behavioral evaluations,

464 including the OFT, NOL Test, and NOR Test, further confirmed improved perfor-
465 mance in AAV-shGAL3-treated mice compared to controls. This supported a positive
466 effect of GAL3 inhibition on post-stroke cognitive and exploratory behaviors (**Fig.**
467 **5F–5G**). Histological and physiological analyses provided corroborative evidence.
468 TTC staining demonstrated a reduction in infarct volume following GAL3 knockdown
469 (**Fig. 5H**). CBF measurements showed improved perfusion in the ischemic hemi-
470 sphere (**Fig. 5I**), while the Evans blue assay indicated decreased blood-brain barrier
471 (BBB) permeability (**Fig. 5J**), collectively highlighting the neurovascular benefits of
472 GAL3 blockade. Immunohistochemical staining of MAP2 in the peri-infarct penum-
473 bra revealed that GAL3 knockdown promoted neuronal preservation and recovery, as
474 indicated by a higher density of MAP2-positive neurons compared with the control
475 group (**Fig. 5K**). In parallel, Western blot analysis revealed that, when compared to
476 AAV - NC, AAV - shGAL3 treatment effectively reduced both the levels of cleaved cas-
477 pase - 3 and the expression of proteins associated with the NLRP3 signaling pathway,
478 suggesting an attenuation of neuronal apoptosis (**Fig. 5L**). To further confirm that
479 astrocyte-derived GAL3 in the peri-infarct region mediates the downstream effects
480 of CHI3L1, we administered recombinant CHI3L1 protein together with astrocyte-
481 specific AAV-shGAL3 or AAV-NC in mice, followed by tMCAO induction. The results
482 showed that GAL3 knockdown in astrocytes effectively attenuated CHI3L1-induced
483 infarct expansion (TTC staining) (**Fig. S8H**), reduced BBB disruption (Evans Blue
484 assay) (**Fig. S8I**), and promoted neuronal preservation and recovery in the peri-
485 infarct penumbra (MAP2 immunofluorescence) (**Fig. S8J**). Collectively, these findings
486 demonstrate that astrocyte-derived GAL3 mediates the detrimental effects of CHI3L1
487 on infarct size, BBB integrity, and neuronal survival after ischemic stroke, further
488 establishing GAL3 as a critical therapeutic target.

489 **2.7 A GAL3 - Targeting Small - Molecule Inhibitor GB1107** 490 **Shows Promise in Stroke Treatment by Improving** 491 **Multiple Pathophysiological Aspects**

492 Stroke remains a leading cause of death and disability worldwide, underscoring the
493 urgent need for innovative therapeutic strategies. In this study, we evaluated the
494 potential repurpose of GB1107, a GAL3-targeting small-molecule inhibitor originally
495 developed for oncology applications, as a treatment for ischemic stroke. To assess
496 the influence of GB1107 on neuronal outcomes, we collected astrocyte-conditioned
497 medium (ACM) from astrocytes treated with varying concentrations of GB1107 (1
498 μM , 10 μM , 50 μM). Following GB1107 treatment, astrocytes were subjected to
499 oxygen-glucose deprivation followed by reoxygenation (OGD-Re). The resulting ACM
500 was then applied to primary cortical neurons also undergoing OGD-Re (**Fig. 6A**).
501 Neuronal morphology was analyzed by MAP2 staining. In the absence of exogenous
502 CHI3L1 protein, GB1107 at 1 μM significantly improved neuronal morphology (**Fig.**
503 **6B**). When CHI3L1 protein was present, a higher concentration of GB1107 (10–50
504 μM) was required to achieve noticeable improvements in dendritic structure, as demon-
505 strated by distinct morphological differences across treatment groups. Apoptosis was
506 assessed by TUNEL staining. In the absence of CHI3L1 protein, GB1107 at 1 μM
507 reduced neuronal apoptosis. In the presence of CHI3L1, the anti-apoptotic effect of

508 GB1107 was only observed within the 10–50 μ M concentration range (**Fig. S9A**).
509 These findings were further corroborated by Western blot analysis, which confirmed
510 the concentration-dependent inhibition of cleaved caspase-3 expression by GB1107
511 under both conditions (**Fig. 6C**).

512 Together, these *in vitro* results indicate that GB1107 modulates neuronal mor-
513 phology and reduces apoptosis via astrocyte-mediated signaling, with its efficacy
514 modulated by CHI3L1 levels. To investigate the *in vivo* effects of GB1107, mice sub-
515 jected to tMCAO were treated with intraperitoneal injections of GB1107 every other
516 day. In a subset of mice, lentiviral overexpression of CHI3L1 was performed to further
517 probe the interaction between CHI3L1 signaling and GB1107 treatment (**Fig. 6D**).

518 Motor function was evaluated using Garcia scores, Neurological Deficit Scores,
519 rotarod, and beam-walking tests. Statistical analyses demonstrated that GB1107 treat-
520 ment significantly improved motor recovery at multiple time points post-stroke (**Fig.**
521 **6E–6G**). Behavioral assessments revealed further benefits. In the OFT, GB1107-
522 treated mice traveled greater distances and made more center crossings compared to
523 controls (Fig. S9B). Similarly, in the NOL and NOR tests, GB1107 treatment enhanced
524 exploratory behavior, indicating improved cognitive function following stroke (**Fig.**
525 **S9C**).

526 Histological analyses confirmed the neuroprotective effects of GB1107. TTC stain-
527 ing demonstrated a significant reduction in infarct volume in GB1107-treated mice
528 (**Fig. S9D**). CBF measurements on day 7 post-tMCAO revealed that GB1107
529 improved CBF in the ischemic hemisphere (**Fig. S9E**). Immunohistochemical stain-
530 ing of MAP2 in the peri-infarct penumbra revealed that GB1107 promoted neuronal
531 preservation and recovery, as evidenced by a reduced loss of MAP2-positive neurons
532 compared with vehicle-treated controls (**Fig. 6H**).

533 Furthermore, Western blot analysis revealed that intraperitoneal injection of
534 GB1107 could reduce the levels of cleaved caspase - 3 and the expression of proteins
535 associated with the NLRP3 signaling pathway (**Fig. S9F**). To evaluate the therapeu-
536 tic potential of GB1107, we analyzed its pharmacokinetics in tMCAO mice following
537 administration, measuring drug concentrations in serum, contralateral, and ipsilateral
538 brain tissue at multiple time points (**Fig. S9G**), along with plasma-to-brain concen-
539 tration ratios (**Fig. S9H**). These results provide essential pharmacokinetic evidence
540 supporting the potential clinical translation of GB1107.

541 Collectively, the findings support GB1107 as a promising therapeutic candidate for
542 the treatment of ischemic stroke.

543 3 Figures

544

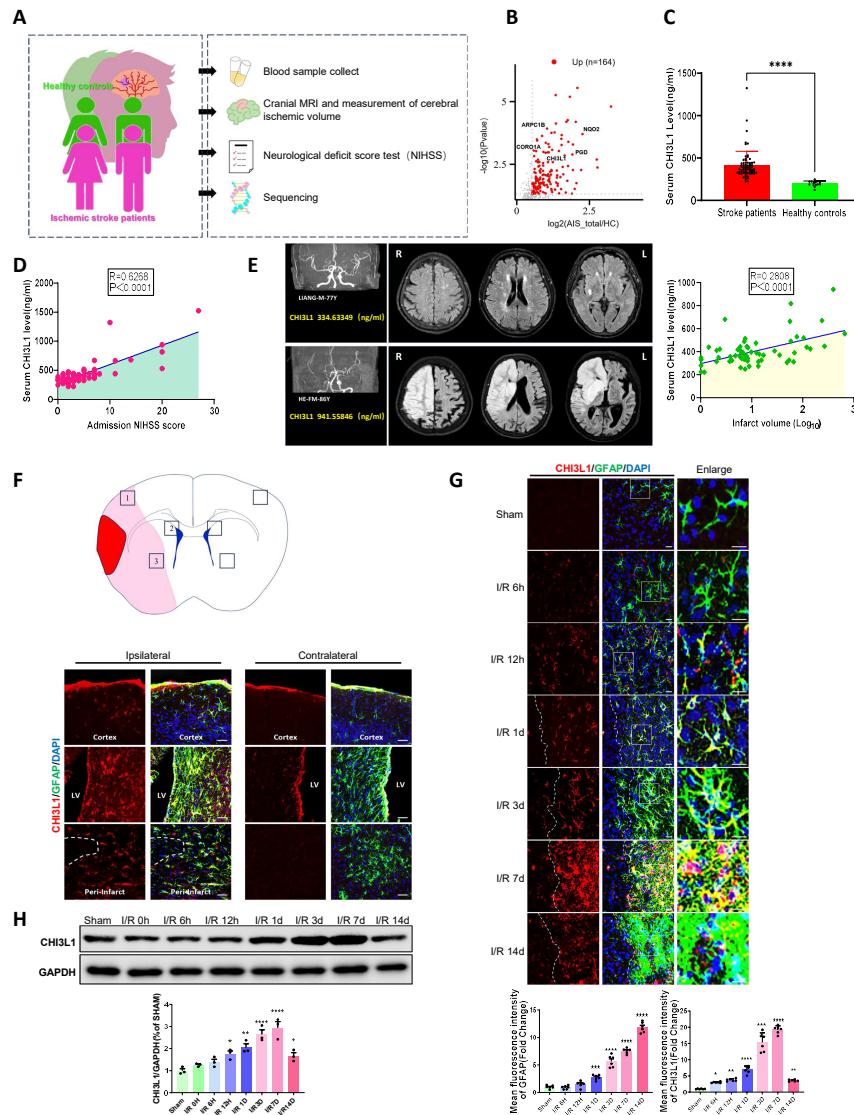


Fig. 1 Profiling of CHI3L1 in Acute Ischemic Stroke Patients and tMCAO Mouse Models
 (A) Schematic diagram illustrating the collection of clinical data, serum samples, imaging information, NIHSS scores, and processing for proteomic sequencing.
 (B) High-throughput protein expression analysis using Data-Independent Acquisition (DIA) sequencing of acute ischemic stroke (AIS) patients ($n = 24$) and healthy controls (HC, $n = 11$).
 (C) Serum CHI3L1 levels measured by ELISA in AIS patients ($n = 70$) and HC ($n = 15$). Statistical significance assessed by Student's *t*-test. Data are presented as mean \pm SD.
 (D-E) Correlations between serum CHI3L1 levels and admission NIHSS scores (D, $n = 70$) and ischemic brain volume (E, $n = 62$).
 (F-G) Immunohistochemical staining of GFAP (green) and CHI3L1 (red) in various brain regions and at different time points after tMCAO; nuclei counterstained with Hoechst (blue). White dotted lines mark infarction border zones (IBZs). Scale bars = $20 \mu\text{m}$ ($n = 6$ mice).
 (H) Western blot analysis of CHI3L1 expression in ischemic hemispheres at different time points post-tMCAO ($n = 5$ per group).
 Statistical significance: ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$. Data are presented as mean \pm SEM.

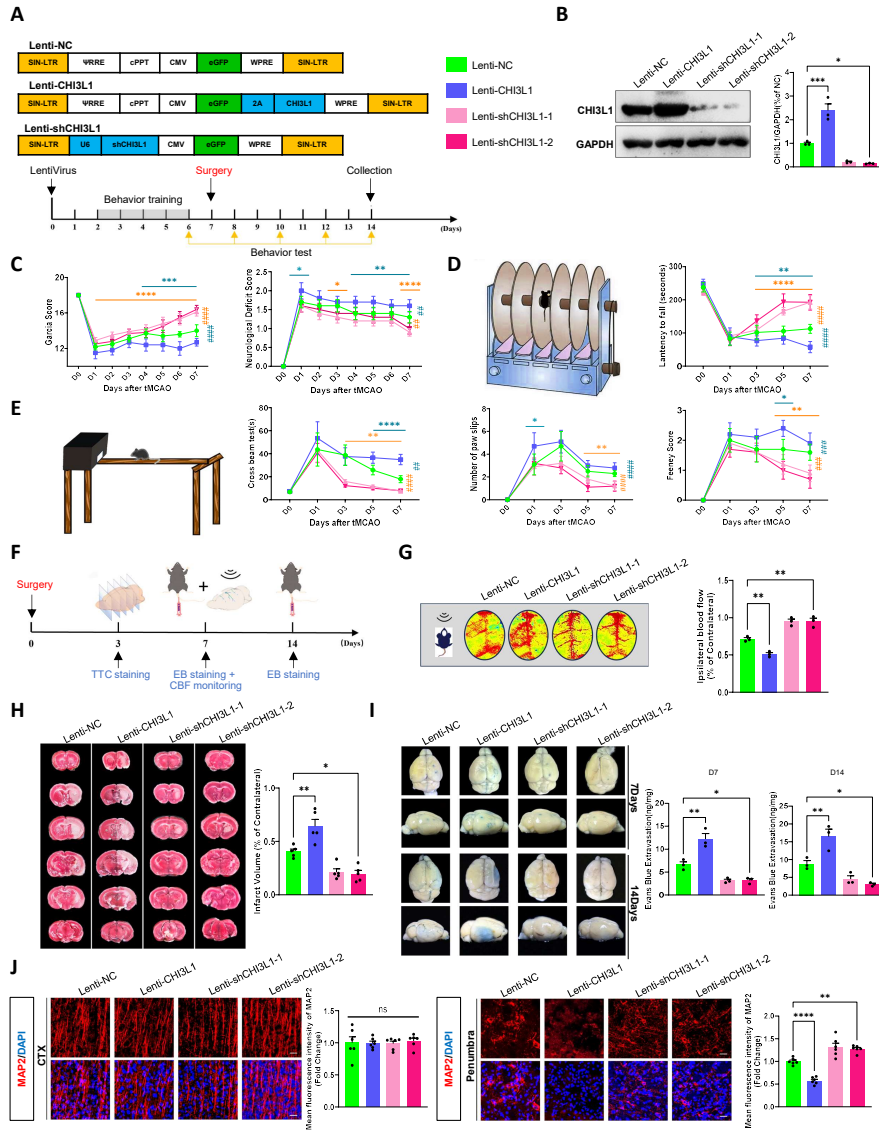


Fig. 2 Functional Impact of Lentivirus-Mediated CHI3L1 Manipulation in a Mouse Model of Ischemic Stroke

(A) Schematic of four lentiviral constructs targeting CHI3L1 (Lenti-NC, overexpression, Lenti-shCHI3L1-1, Lenti-shCHI3L1-2).

(B) Western blot validation of CHI3L1 overexpression and knockdown efficiency ($n = 3$ per group).

(C–E) Motor function recovery assessed by Garcia scores, Neurological deficit scores (C), rotarod test (D), and beam-walking test (E) over 7 days post-tMCAO ($n = 10$ mice per group). Orange bars signify comparisons between the NC group and the CHI3L1 knockdown group, while blue bars indicate comparisons between the NC group and the CHI3L1 overexpression group. Significance levels: $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$ compared to the NC group at indicated time points; $\#p < 0.05$; $\##p < 0.01$; $\###p < 0.001$; $\####p < 0.0001$ compared to the NC group throughout the entire experiment.

(F) Timeline of experimental procedures.

(G) Cerebral blood flow (CBF) assessment by laser speckle imaging ($n = 3$ per group).

(H) Infarct volume quantified by TTC staining at 72 h post-tMCAO ($n = 3$ per group).

(I) Blood-brain barrier (BBB) permeability evaluated by Evans blue assay at days 7 and 14 post-tMCAO ($n = 3$ mice per group).

(J) Immunohistochemistry of MAP2 (red) and DAPI (blue) in cortex and peri-infarct penumbra at 14 days post-stroke ($n = 6$).

Data analyzed by one-way ANOVA or two-way ANOVA (for repeated measures). Significance thresholds: $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$. Data are presented as mean \pm SEM.

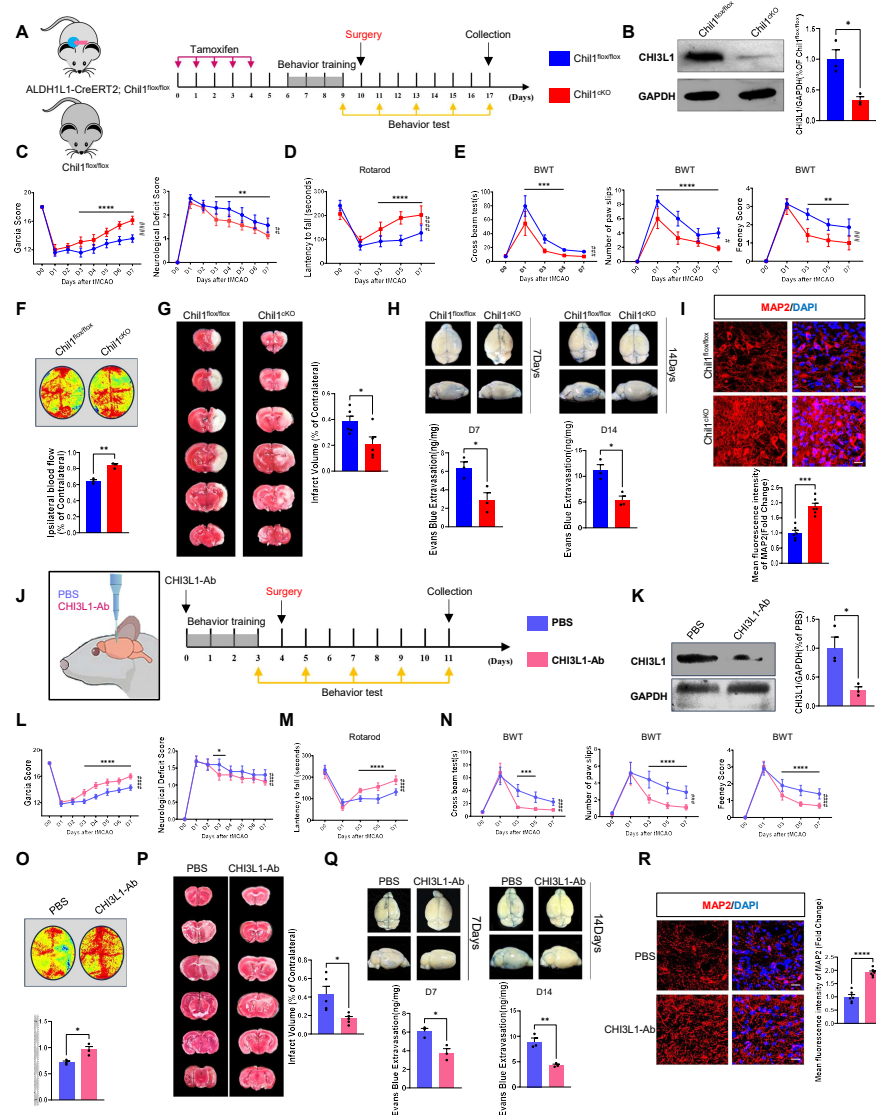


Fig. 3 Genetic and Pharmacological Manipulation of CHI3L1 in Mouse Ischemic Stroke Models
 (A) Schematic of experimental timeline for knockout mice and anti-CHI3L1 antibody interventions.
 (B) Western blot validation of CHI3L1 knockdown in *Chi1cKO* mice ($n = 3$).
 (C–E) Motor behavior assessments (Garcia scores, Neurological deficit scores, rotarod, beam-walking) 7 days post-tMCAO ($n = 7$ mice per group). Significance levels: $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$ compared to the control mice at indicated time points; $\#p < 0.05$; $\#\#p < 0.01$; $\#\#\#p < 0.001$; $\#\#\#\#p < 0.0001$ compared to the control mice across the entire experiment.
 (F–I) Physiological evaluations: CBF, infarct volume (TTC staining), BBB permeability (Evans blue assay), and MAP2 immunostaining in *Chi1cKO* and control mice.
 (J) Timeline for anti-CHI3L1 antibody experiments.
 (K) Western blot validation of CHI3L1 knockdown after antibody injection ($n = 3$).
 (L–N) Behavioral tests ($n = 10$ per group) for PBS versus anti-CHI3L1-treated mice. $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$ compared to the PBS group at indicated time points; $\#p < 0.05$; $\#\#p < 0.01$; $\#\#\#p < 0.001$; $\#\#\#\#p < 0.0001$ compared to the PBS group across the entire experiment.
 (O–R) Physiological outcomes: CBF, infarct volume, BBB permeability, and MAP2 staining.
 Statistical significance assessed by two-way ANOVA, Student's *t*-test, or Mann–Whitney test, as appropriate. Significance thresholds: $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$. Data are presented as mean \pm SEM.

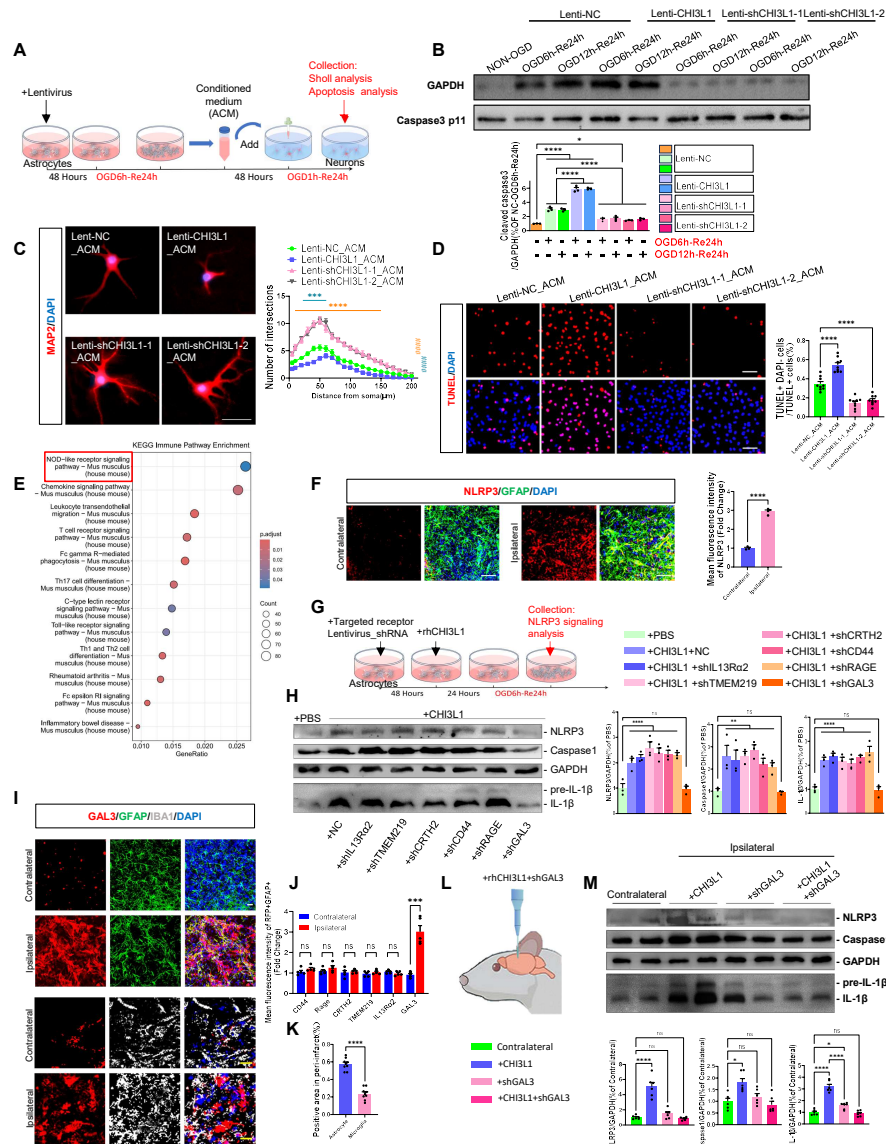


Fig. 4 Cellular and Molecular Mechanisms of CHI3L1 in Modulating the NLRP3 Signaling Pathway (A) Schematic of in vitro experiment: astrocyte transfection, ACM collection, and neuronal OGD/Re24h treatment.

(B) Neuronal apoptosis measured by cleaved caspase-3 expression (n = 3 biological replicates).

(C) sholl analysis of neuronal dendritic complexity (n = 15 neurons from 3 independent experiments). Orange bars represent comparisons between the Lenti-NC ACM group and CHI3L1 knockdown ACM groups (Lenti-shCHI3L1-1 ACM and Lenti-shCHI3L1-2 ACM); blue bars represent comparisons between the Lenti-NC ACM group and CHI3L1 overexpression group (Lenti-CHI3L1 ACM). Significance levels: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$ compared to the Lenti-NC ACM group at indicated distance; # $p < 0.05$; ## $p < 0.01$; ### $p < 0.001$; #### $p < 0.0001$ compared to the Lenti-NC ACM group across the entire distance.

(D) Quantification of TUNEL-positive neurons treated with different ACM preparations (n = 9 from 3 independent experiments).

(E) KEGG pathway enrichment from RNA-seq of CHI3L1-treated astrocytes (n = 4 per group).

(F) Immunofluorescence of NLRP3 (red) and GFAP (green) in ipsilateral and contralateral hemispheres post-tMCAO.

(G–H) Knockdown of six candidate receptors and assessment of NLRP3 pathway activation by Western blot (n = 3 per group).

(I–K) Immunofluorescence of GAL3 (red), GFAP (green), IBA1 (silver) in ipsilateral and contralateral hemispheres post-tMCAO.

(L–M) In vivo validation: stereotaxic injection of CHI3L1-protein ± Lenti-shGAL3, and analysis of NLRP3 pathway activation by Western blot (n = 6).

Statistical significance evaluated using one-way ANOVA or Kruskal–Wallis test. Significance thresholds: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$. Data are presented as mean ± SEM.

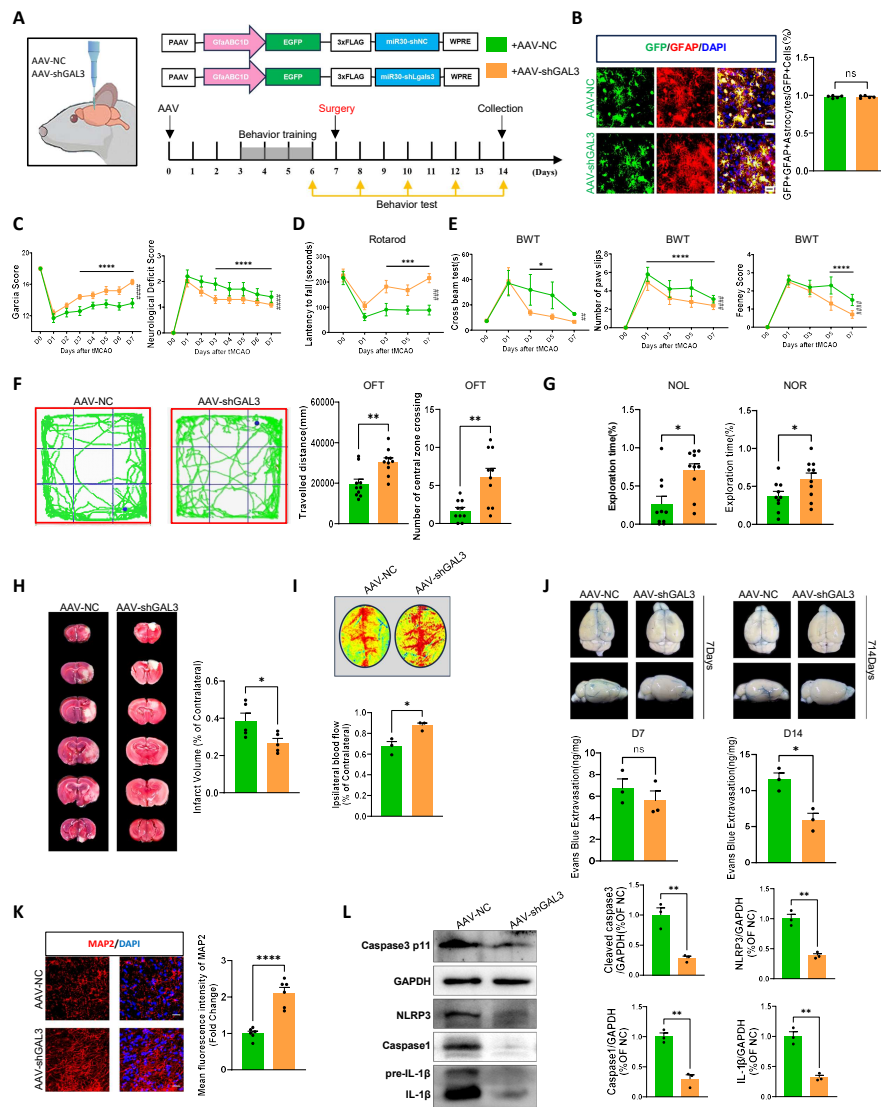


Fig. 5 Role of GAL3 in Mouse Ischemic Stroke Models Using AAV-Mediated Gene Silencing in Astrocytes

(A) Schematic of stereotaxic AAV injection, tMCAO modeling, and behavioral testing timeline. (B) Immunofluorescence confirmation of AAV-GFP expression in astrocytes (GFP+, GFAP+). (C–E) Motor function recovery assessments (Garcia scores, Neurological deficit scores, rotarod, beam-walking) (n = 10 per group). Significance levels: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$ compared to the AAV-NC group at indicated time points; # $p < 0.05$; ## $p < 0.01$; ### $p < 0.001$; #### $p < 0.0001$ compared to the AAV-NC group across the entire experiment. (F–G) Behavioral tests: Open field test (OFT), Novel object location (NOL) test, and Novel object recognition (NOR) test (n = 10 per group). (H–J) Physiological measurements: infarct volume (TTC staining, n = 5), CBF (n = 3), and BBB permeability (n = 3). (K) MAP2 immunostaining in peri-infarct penumbra at 14 days post-stroke (n = 6). (L) Western blot analysis of cleaved caspase-3 levels and assessment of NLRP3 pathway (n = 3). Data analyzed by one-way ANOVA or two-way ANOVA with repeated measures. Significance thresholds: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$. Data are presented as mean \pm SEM.

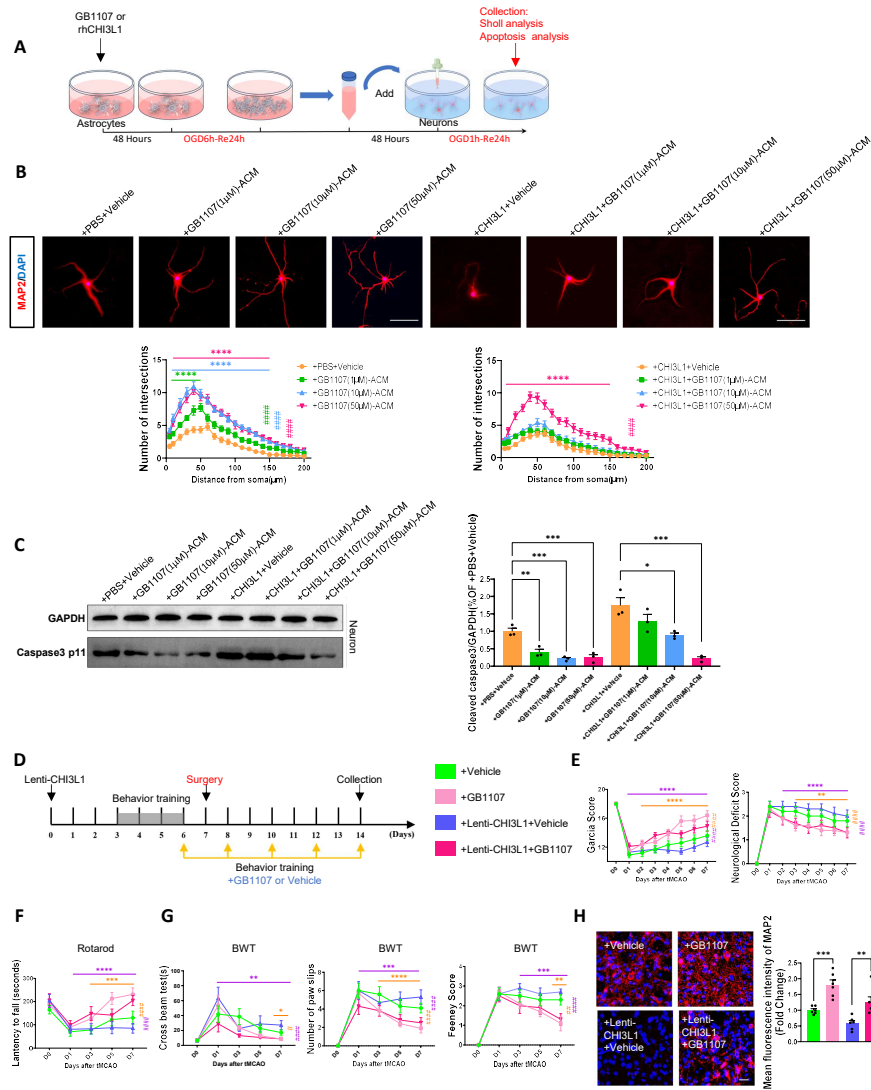


Fig. 6 Therapeutic Intervention Using GB1107 in CHI3L1-Mediated Stroke Models

(A) Primary astrocytes were divided into multiple groups. Some groups were treated with different concentrations of GB1107 (0 μ M, 1 μ M, 10 μ M, 50 μ M), while other groups were treated with both rhCHI3L1 and different concentrations of GB1107. After 48 hours of treatment, all astrocytes were subjected to oxygen - glucose deprivation for 6 hours followed by reoxygenation for 24 hours (OGD6h - Re24h). The conditioned medium (ACM) from these astrocytes was then collected. Primary - cultured neurons were treated with the respective ACMs for 48 hours and subsequently subjected to oxygen - glucose deprivation for 1 hour and reoxygenation for 24 hours (OGD1h - Re24h). Finally, Sholl analysis and apoptosis analysis were conducted on the neurons.

(B) Neuronal Morphology Analysis was performed on neurons treated with ACM from astrocytes exposed to different treatments. Representative images of MAP2 (red) and DAPI (blue) staining are shown. Green bars represent comparisons between the 0 μ M and 1 μ M groups; blue bars represent comparisons between the 0 μ M and 10 μ M groups; red bars represent comparisons between the 0 μ M and 50 μ M groups. N = 15 neurons from 3 independent experiments. Scale bar = 10 μ m. Significance levels: * p < 0.05; ** p < 0.01; *** p < 0.001; **** p < 0.0001 compared to the 0 μ M group at indicated distances; # p < 0.05; ## p < 0.01; ### p < 0.001; #### p < 0.0001 compared to the 0 μ M group across the entire distance.

(C) Western blotting was used to quantify cleaved caspase-3 (Caspase3 p11) levels in neurons treated with ACM from the various astrocyte conditions. n = 3 per group. GAPDH or β -actin served as loading controls.

(D) Schematic of study design with stereotaxic lentiviral injection, GB1107 systemic administration, and behavioral testing.

(E-G) Motor behavior assessments (Garcia scores, Neurological deficit scores, rotarod, beam-walking) in four experimental groups (n = 10 per group). Orange bars compare the Vehicle and GB1107 groups; purple bars compare the Lenti-CHI3L1+Vehicle and Lenti-CHI3L1-GB1107 groups. Significance levels: * p < 0.05; ** p < 0.01; *** p < 0.001; **** p < 0.0001 for differences at specific time points; # p < 0.05; ## p < 0.01; ### p < 0.001; #### p < 0.0001 for differences across the entire experiment.

(H) MAP2 immunostaining in peri-infarct penumbra at 14 days post-stroke (n = 6). Statistical significance assessed by one-way ANOVA, two-way ANOVA, or Kruskal-Wallis test as appropriate. Significance levels: * p < 0.05; ** p < 0.01; *** p < 0.001; **** p < 0.0001. Data are presented as mean \pm SEM.

545 4 Methods

546 4.1 Animals and Genotyping

547 Wild-type C57BL/6 male mice (6–8 weeks old) were obtained from Vital River Labo-
548 ratories and maintained under standardized conditions at the Guangdong Laboratory
549 Animals Monitoring Institute, with ad libitum access to food and water. *Chil1* con-
550 ditional knockout (cKO) mice were generated by crossing *Chil1*^{flox/flox} (*Chil1*^{f/f}) mice
551 with tamoxifen-inducible ALDH1L1-CreER mice. *Chil1*^{f/f} littermates were used as
552 controls. Genotyping was performed to confirm the generation of *Chil1*^{cKO} mice; the
553 primer sequences used for genotyping are listed in Table S4. Tamoxifen (HY-13757A,
554 MedChem Express) was dissolved in corn oil at a concentration of 30 mg/mL and
555 administered by intraperitoneal injection at a dose of 180 mg/kg for five consecutive
556 days to induce Cre-mediated recombination. All animal experiments were conducted
557 in accordance with protocols approved by the Institutional Animal Care and Use
558 Committee of Sun Yat-sen University.

559 4.2 Murine Models of Acute Cerebral Ischemic Stroke 560 Induced by tMCAO/R

561 Murine models of focal acute ischemic stroke were established tMCAO to simulate
562 ischemia/reperfusion injury. Mice were anesthetized with 1.5–2.0% isoflurane, and
563 body temperature was maintained at $37 \pm 0.5^\circ\text{C}$ using a heating pad throughout
564 the procedure. A silicone-coated monofilament was introduced into the right inter-
565 nal carotid artery via the right common carotid artery and advanced to occlude the
566 origin of the right middle cerebral artery (MCA) for 60 minutes. After the occlusion
567 period, the filament was carefully withdrawn to initiate reperfusion. Mice exhibiting
568 less than 70% reduction in CBF during occlusion or less than 70% recovery within 10
569 minutes of reperfusion, as well as mice with intraoperative brain hemorrhage or mor-
570 tality, were excluded from further analyses. Sham-operated mice underwent the same
571 surgical procedures without filament insertion. Following surgery, mice were placed in
572 a temperature-controlled incubator for 4 hours before being returned to their home
573 cages. All experimental procedures were conducted in accordance with ethical guide-
574 lines to minimize animal suffering. The overall surgical mortality rate was recorded at
575 13.38%.

576 4.3 Neurological Deficit and Garcia scoring

577 Neurological deficits were assessed daily for seven days following ischemia-reperfusion
578 injury. A neurological deficit score ranging from 0 to 4 was used, where 0 indicated
579 no apparent deficit, 1 represented weakness in the ipsilateral forelimb, 2 denoted cir-
580 cles toward the ipsilateral side, 3 indicated body imbalance and trunk inclination
581 toward the ipsilateral side, and 4 reflected no spontaneous motor activity or death.
582 Sensorimotor functions were evaluated using the Modified Garcia Score at 1–7 days
583 after tMCAO. Assessments were performed by an observer blinded to the experimen-
584 tal groups. The Modified Garcia Score comprised six subtests, each scored from 0 to 3,
585 with higher scores indicating milder injury. The maximum total score was 18 points.

586 The evaluated parameters included: (1) spontaneous activity, (2) symmetry of fore-
587 limb movement, (3) forepaw extension, (4) climbing ability, (5) body proprioception,
588 and (6) response to vibrissae touch.

589 **4.4 Human Serum Sample Collection and CHI3L1 Level** 590 **Measurement**

591 This prospective cohort study consecutively enrolled 77 patients with first-ever acute
592 ischemic stroke (AIS), confirmed by brain computed tomography (CT) or magnetic
593 resonance imaging (MRI), at The Third Affiliated Hospital of Sun Yat-sen Univer-
594 sity between January 2023 and December 2024. Inclusion criteria were: (1) age > 18
595 years and (2) hospitalization within 24 hours of symptom onset. Exclusion criteria
596 included recurrent stroke, arthritis, infection, autoimmune disease, malignancy, severe
597 hepatic or respiratory disease, pregnancy, or hormone therapy. To enable stroke sub-
598 type analysis, the enrollment criteria explicitly excluded patients with recent atrial
599 fibrillation, valvular heart disease, or other cardiogenic risk factors. As a result, the
600 enrolled AIS cohort primarily comprised patients with the large-artery atherosclerotic
601 subtype. Age-matched healthy controls without cerebrovascular disease were recruited
602 from the hospital’s health examination center during the same period. The study was
603 approved by the Ethics Committee of The Third Affiliated Hospital of Sun Yat-sen
604 University.

605 Written informed consent was obtained from all participants or their legal represen-
606 tatives. Demographic data, vascular risk factors (e.g., smoking, alcohol consumption,
607 hypertension, diabetes mellitus, and other relevant medical histories), time from symp-
608 tom onset, and stroke severity assessed by the National Institutes of Health Stroke
609 Scale (NIHSS) at admission and discharge were recorded for all participants. In addi-
610 tion, magnetic resonance imaging (MRI) including magnetic resonance angiography
611 (MRA) was performed. Ischemic lesion volume was calculated using the modified
612 formula:

$$Volume = \frac{A \times B \times C \times 0.5}{2 \text{ ml}} \quad (1)$$

613 where A and B represent the maximum perpendicular diameters of the largest
614 infarct cross-section on FLAIR images, and C is the number of slices with a thick-
615 ness of 5 mm. Detailed patient and control information is provided in Table S3. For
616 CHI3L1 level measurement, heparin-anticoagulated blood samples were centrifuged at
617 3000 rpm for 15 minutes at 4°C, and the serum supernatant was collected. Human
618 CHI3L1 concentrations were quantified using a commercial ELISA kit (MEIMIAN,
619 MM-1816H1), and absorbance was measured at 450 nm.

620 **4.5 Primary Cerebral Cortical Neuron and Astrocyte Culture** 621 **and Oxygen-Glucose Deprivation/Reoxygenation** 622 **(OGD/Re) Model**

623 Primary cortical neurons and astrocytes were isolated from the cerebral cortices of
624 1-day-old C57BL/6 mouse neonates. Brain tissues were digested with 0.25% trypsin

625 at 37°C for 10 minutes, and enzymatic activity was terminated by the addition of
626 complete culture medium. After removal of the medium, tissues were resuspended in
627 10 mL of complete culture medium, treated with DNase solution for 5 minutes at
628 room temperature, and gently washed twice with complete medium. The resulting cell
629 suspension was filtered through a sterile 40 μm nylon cell strainer. For neuronal cul-
630 tures, cells were maintained in neurobasal medium (Gibco, 21103-049) supplemented
631 with 2% B-27 (Gibco, 17504-044), 0.5 mM L-glutamine (Sigma-Aldrich, G8540), and
632 100 U/mL penicillin/streptomycin (Beyotime Biotechnology, C0222). For astrocyte
633 cultures, cells were maintained in DMEM/F12 medium (Gibco, 11330) containing
634 10% fetal bovine serum (Gibco, 10099) and 100 U/mL penicillin/streptomycin (Bey-
635 otime Biotechnology, C0222). Both neuronal and astrocytic cultures were incubated
636 at 37°C in a humidified atmosphere containing 5% CO₂. Cell purity was assessed by
637 immunocytochemistry using the neuronal marker MAP2 for neurons and the astro-
638 cytic marker GFAP for astrocytes; in both cases, purity exceeded 95%. To establish
639 the oxygen-glucose deprivation (OGD) model, culture medium was replaced with
640 glucose-free DMEM (Gibco, #11966), and cells were placed in a sealed hypoxia cham-
641 ber (Billups-Rothenberg, CA, USA) filled with a gas mixture of 95% N₂ and 5%
642 CO₂ for the designated duration. For reoxygenation (Re), cells were returned to a
643 normoxic incubator, and the medium was replaced with complete glucose-containing
644 medium. Control cells were maintained under normoxic conditions in standard glucose-
645 containing DMEM. For the preparation of astrocyte-conditioned medium (ACM),
646 primary astrocytes were subjected to OGD for 6 hours followed by 24 hours of reoxy-
647 genation. ACM was collected, filtered through a 0.22 μm nylon mesh (BS-QT-037,
648 Biosharp), and supplemented with additional factors before use as a culture medium
649 for primary neurons.

650 **4.6 Lentivirus and Retrovirus Packaging and Cell Transfection**

651 Lentivirus and retrovirus packaging were performed as previously described. Briefly,
652 HEK293T cells at 70-90% confluency were co-transfected with retroviral or lentiviral
653 transfer vector DNA along with helper packaging plasmids (CMV-GP and VSVG
654 for retrovirus; psPAX2 and pMD2.G for lentivirus) using polyethyleneimine (PEI;
655 PR40001, Proteintech Group). Four hours after transfection, the medium was replaced
656 with complete DMEM supplemented with 10% fetal bovine serum (FBS) and 1%
657 penicillin-streptomycin. Viral particles were harvested from the culture medium at
658 48, 72, and 96 hours post-transfection, filtered through a 0.22 μm filter (Biosharp,
659 BS-QT-037), and concentrated by ultracentrifugation (Beckman Coulter SW32 Ti
660 rotor) at 20,000 rpm for 2 hours at 4°C. Concentrated viral stocks achieved titers of
661 10⁸-10⁹ TU/mL and were resuspended in 100 μL of complete medium, then stored at
662 -80°C until use. For cell transfection, second-passage primary astrocytes or primary
663 cortical neurons were transduced following standard protocols. Astrocytes were seeded
664 at 2×10^5 cells per well, and 1 hour prior to transfection, the culture medium was
665 replaced with neuron culture medium lacking penicillin-streptomycin. Lentiviruses (1
666 $\times 10^8$ TU/mL, 5-20 μL) were diluted in enhanced infection solution and added to the
667 cells. After 72 hours, the medium was replaced with fresh astrocyte culture medium
668 supplemented with either PBS (control) or recombinant CHI3L1 protein (500 ng/mL).

669 Transfection efficiency was validated by Western blot analysis. Transfected cells were
670 subsequently used for immunofluorescence staining or protein extraction.

671 **4.7 Establishment of an In Vitro Blood-Brain Barrier Model**

672 An *in vitro* blood-brain barrier (BBB) model was established using a Transwell co-
673 culture system with three experimental groups: (1) Blank group (cell-free inserts), (2)
674 Co-culture NON-OGD group, and (3) Co-culture OGD group. Astrocytes were seeded
675 on the basolateral side of the Transwell inserts at a density of 1×10^7 cells/mL (200 μ L
676 suspension) and incubated for 6 hours. The inserts were then inverted and transferred
677 to a 24-well plate. Mouse brain microvascular endothelial cells (bEnd.3) were seeded
678 into the apical chamber at 1×10^5 cells/mL (600 μ L), while the basolateral chamber
679 was filled with 1.2 mL of astrocyte-specific culture medium. The co-culture system
680 was maintained for 5 days before subsequent assays.

681 **4.8 Measurement of Transendothelial Electrical Resistance**

682 Transepithelial electrical resistance (TEER) was measured using an epithelial voltam-
683 meter. Standard electrodes were calibrated to 1000 Ω before each use. The measuring
684 electrodes were sterilized in 75% ethanol for 30 minutes and equilibrated in phosphate-
685 buffered saline (PBS) for 15 minutes. After stabilization, TEER values were recorded
686 using short apical and long basolateral electrodes. The final TEER value was calcu-
687 lated as follows: $\text{TEER } (\Omega \cdot \text{cm}^2) = (\text{Measured TEER value} - \text{Blank TEER value}) \times$
688 membrane surface area.

689 **4.9 Assessment of Paracellular Permeability**

690 Paracellular permeability was assessed by measuring the flux of sodium fluorescein
691 (NaF) across the endothelial-astrocyte barrier. After OGD or control treatment, 300
692 μ L of NaF solution (10 μ g/mL) was added to the apical chamber, while the basolat-
693 eral chamber was filled with 800 μ L of PBS. Following a 1-hour incubation at 37°C,
694 100 μ L of the basolateral medium was collected for analysis. Fluorescence intensity
695 was measured at excitation and emission wavelengths of 485 nm and 535 nm, respec-
696 tively. NaF concentration was calculated from a standard curve, and the corresponding
697 permeability coefficient was derived accordingly.

698 **4.10 Stereotaxic Injection of Viruses and CHI3L1 Antibody**

699 For *in vivo* viral delivery, mice were anesthetized with isoflurane and securely posi-
700 tioned in a stereotaxic apparatus (RWD Life Science, Shenzhen, China). A midline
701 scalp incision was made, and a small burr hole (approximately 1 mm in diameter)
702 was drilled into the skull at predetermined coordinates relative to the bregma. Using
703 a 26-gauge needle connected to a 10 μ L gas-tight glass syringe (Hamilton), 1 μ L of
704 viral suspension (*titer* $> 1 \times 10^9$ viral particles/mL) or 50 μ g of CHI3L1 antibody
705 was injected into the target cortical region. The injection was performed at a rate of
706 0.1 μ L/min. Following injection, the needle was left in place for 10 minutes to mini-
707 mize reflux, then slowly withdrawn over an additional 5 minutes. Mice were allowed

708 to recover in a temperature-controlled chamber and were monitored until resumption
709 of normal activity. The nucleotide sequences of the viral constructs used are listed
710 in Table S4. Eight-week-old C57BL/6J mice received a single microinjection of either
711 the viral preparation or CHI3L1 antibody into the ipsilateral cortex at the ischemic
712 lesion site. The injection coordinates relative to the bregma were as follows: 0.3 mm
713 anterior, 3 mm lateral, and 2 mm deep (AP: 0.3, ML: 3, depth: 2); 1.9 mm posterior,
714 3 mm lateral, and 2 mm deep (AP: -1.9, ML: 3, depth: 2).

715 4.11 Drug Treatment

716 GB1107 (HY-114409, MedChem Express) was dissolved in dimethyl sulfoxide (DMSO)
717 to prepare a stock solution at a concentration of 50 mg/mL, which was stored at
718 -80°C until use. For *in vitro* experiments, the stock solution was diluted with complete
719 culture medium to achieve final concentrations of 1 μM , 10 μM , and 50 μM . GB1107
720 was administered to primary astrocytes on the first day after the initial passage. For
721 *in vivo* experiments, GB1107 was diluted in corn oil to a final dosage of 15 mg/kg per
722 mouse and administered by intraperitoneal injection. Treatment commenced one day
723 prior to surgery and continued with injections administered every 48 hours thereafter.

724 4.12 Pharmacokinetic Analysis

725 Plasma and brain tissue concentrations of GB1107 were quantified by liquid
726 chromatography-tandem mass spectrometry (LC-MS/MS). For plasma samples, a 50
727 μL aliquot was protein-precipitated with 300 μL of ice-cold methanol containing 1
728 ng/mL regorafenib (internal standard). After vortexing (10 min) and centrifugation
729 (15,000 rpm, 10 min, 4°C), the supernatant was analyzed. Brain tissue (0.2 g) was
730 homogenized in 80% methanol, and a 50 μL aliquot of the homogenate was pro-
731 cessed identically to the plasma protocol. Samples exceeding the calibration range
732 were appropriately diluted with blank matrix prior to re-analysis.

733 4.13 Infarct Volume Measurement (2,3,5-triphenyltetrazolium 734 chloride (TTC) staining)

735 Mice were euthanized 72 hours after ischemia-reperfusion (I/R) injury. Infarct vol-
736 ume was assessed using 2,3,5-triphenyltetrazolium chloride (TTC) staining (Solarbio,
737 T8170).

738 Briefly, brains were harvested and coronally sectioned into 2 mm thick slices using
739 a brain mold. The slices were incubated in 2% TTC solution prepared in phosphate
740 buffer (PB) at room temperature. TTC-stained viable brain tissue appeared red, while
741 infarcted regions remained pale.

742 The infarct area was calculated as the difference between the TTC-stained (red)
743 area of the contralateral hemisphere and the ipsilateral hemisphere for each slice. Brain
744 atrophy volume was quantified using ImageJ software. The ratio of brain atrophy
745 was calculated according to the following formula: Brain atrophy (%) = (Sum of the
746 ischemic (white) areas across all slices) / (Sum of the total brain slice areas) \times 100%.

747 4.14 Blood-Brain Barrier Permeability Assay

748 Blood-brain barrier (BBB) integrity was assessed 7 or 14 days after ischemia-
749 reperfusion (I/R) injury. Mice were intravenously injected via the tail vein with 2%
750 Evans blue (EB; 4 mg/kg; Sigma-Aldrich, E2129) dissolved in sterile phosphate-
751 buffered saline (PBS). Four hours post-injection, mice were sacrificed, and brains were
752 harvested and weighed. Evans blue was extracted by homogenizing brain tissue and
753 incubating it in formamide at 55°C for 24 hours. The absorbance of the supernatant
754 was measured at 620 nm, and EB concentrations were determined using a standard
755 curve. Results were normalized to those from sham-operated mice and expressed as
756 nanograms of Evans blue per milligram of brain tissue.

757 4.15 Cerebral Blood Flow Imaging

758 Cerebral blood flow (CBF) was measured using the PeriCam PSI System, a laser
759 speckle contrast analysis (LASCA)-based perfusion imaging device. This system pro-
760 vides real-time images of cortical blood flow based on changes in laser speckle patterns.
761 During imaging, mice were anesthetized with 1.25% isoflurane in oxygen and main-
762 tained at 37°C using a heated pad. Post-stroke imaging was performed on day 7 after
763 tMCAO, with each session lasting less than 10 minutes. Results were expressed as the
764 percentage of CBF in the ischemic hemisphere relative to the contralateral hemisphere.

765 4.16 Western Blot Analysis

766 Tissue samples and cultured cells were homogenized and lysed in ice-cold radioim-
767 munoprecipitation assay (RIPA) buffer (P0013, Beyotime) supplemented with protease
768 inhibitors (P1006, Beyotime) and phosphatase inhibitors (P1045, Beyotime) for 30
769 minutes. Lysates were centrifuged at 12,000 rpm for 15 minutes at 4°C to isolate
770 total protein. Protein concentrations were quantified using a BCA Protein Assay Kit
771 (P0012S, Beyotime). The samples were mixed with 5× loading buffer (P0015, Bey-
772 otime), boiled at 96°C for 10 minutes, separated by SDS-PAGE, and transferred
773 to polyvinylidene difluoride (PVDF) membranes. Membranes were blocked with 5%
774 skim milk in TBST for 1 hour at room temperature, incubated overnight at 4°C
775 with primary antibodies, and then with horseradish peroxidase (HRP)-conjugated
776 secondary antibodies for 1–2 hours at room temperature. Protein bands were visu-
777 alized using enhanced chemiluminescence (ECL) reagents and imaged with a Tanon
778 5500 Imaging System. Quantitative analysis was performed using ImageJ software.
779 Primary antibodies included Mouse anti-GAPDH (1:1000, Beyotime, # AF0006),
780 Mouse anti- β actin (1:1000, Huabio, # M1210-2), Rabbit anti-CHI3L1 (1:1000, Solar-
781 bio, Cat# K109584P), Rabbit anti-Gal3 (1:1000, Proteintech, # 14979-1-AP), Mouse
782 anti-Cleaved-caspase3 (1:1000, CST, Cat# 9661S), Rabbit anti-NLRP3 (1:1000, Bey-
783 otime, Cat# AF2155), Mouse anti-Caspase1 (1:1000, Santa Cruz Biotechnology, Cat#
784 sc-392736), Mouse anti-IL-1 β (1:1000, Santa Cruz Biotechnology, Cat# sc-52012).

785 4.17 Immunofluorescence Staining and Analysis

786 Mice were deeply anesthetized with 2% pentobarbital sodium and transcardially per-
787 fused with PBS followed by 4% paraformaldehyde (PFA). Brains were post-fixed
788 overnight in 4% PFA, cryoprotected in 30% sucrose at 4°C, and coronally sectioned
789 at 30 μ m thickness using a freezing microtome. Sections were stored in cryoprotectant
790 solution at 4°C until staining. For immunofluorescence staining, sections were blocked
791 with 3% goat serum and 0.25% Triton X-100 in PBS for 1 hour at room temperature
792 and incubated with primary antibodies overnight at 4°C. After PBS washes, sections
793 were incubated with fluorophore-conjugated secondary antibodies for 1 hour at room
794 temperature in the dark. Nuclei were counterstained with DAPI (Sigma-Aldrich,
795 #2261b), and sections were mounted with polyvinyl alcohol (PVA; Polysciences,
796 #02815). Imaging was performed using a Leica TCS SP8 confocal microscope with
797 excitation wavelengths of 405, 488, 568, and 647 nm. Quantitative evaluation of fluores-
798 cence intensity was performed using ImageJ software. At least three randomly selected
799 fields per section and three biological replicates per group were analyzed, with all
800 experiments independently repeated at least three times. Primary antibodies included
801 Rabbit anti-CHI3L1 (1:500, Solarbio, Cat# K109584P), Rabbit anti-Gal3 (1:500,
802 Proteintech, # 14979-1-AP), Mouse anti-GFAP (1:1000, CST, # 3670S), Rabbit anti-
803 GFAP (1:1000, CST, # 12389S), Mouse anti-IBA1 (1:500, Servicebio, # GB12105),
804 Rabbit anti-MAP2 (1:500, Proteintech, # 17490-1-AP), Rabbit anti-NeuN (1:500,
805 Abcam, # 177487), Rabbit anti-IL13R α 2 (1:200, Biorbyt, # orb114178), Mouse anti-
806 TMEM219 (1:200, Abmart, # M030834), Mouse anti-CRTH2 (1:200, Santa Cruz
807 Biotechnology, # sc-271898), Rabbit anti-CD44 (1:200, Proteintech, # 15675-1-AP),
808 Rabbit anti-Rage (1:200, Proteintech, # 16346-1-AP).

809 4.18 Neuronal Morphology Analysis Using the Sholl Method

810 Neuronal morphology, including the number and length of neuritic processes, was
811 assessed using Sholl analysis in Fiji-ImageJ. Morphological analyses were performed
812 on neurons subjected to oxygen-glucose deprivation and reoxygenation (OGD/Re).
813 For each experimental condition, at least 15 neurons derived from three independent
814 cultures were analyzed to ensure statistical robustness.

815 4.19 TUNEL Staining for Apoptosis Detection

816 Apoptosis was detected using the TUNEL BrightRed Apoptosis Detection Kit
817 (Vazyme, # A113-03) according to the manufacturer's instructions. Quantification of
818 TUNEL-positive and DAPI-positive cells was performed in at least three sections from
819 three independent animals per group.

820 4.20 Behavioral Tests

821 4.20.1 Rotarod Test

822 Motor coordination and balance were assessed using an accelerating rotarod appara-
823 tus. Prior to middle cerebral artery occlusion (tMCAO), mice were trained for three
824 consecutive days to acclimate to the task. Testing was conducted on days 1, 3, 5, and

825 7 post-stroke. During testing, mice were required to balance and walk on a rotating
826 cylinder, with the speed increasing from 10 rotations per minute (rpm) to 40 rpm
827 over a 2.5-minute period. Each mouse underwent three trials with 30-minute intervals
828 between trials. The time each mouse remained on the rotarod was recorded, and the
829 average performance was calculated.

830 **4.20.2 Beam-Walking Test**

831 The beam-walking test evaluated fine motor coordination. A cylindrical ridged wooden
832 beam (10 mm in diameter, 100 cm in length) was positioned 70 cm above the bench
833 top. The experiment included a 3-day training phase prior to tMCAO, during which
834 mice were trained to traverse the beam into a dark box. Each mouse received three
835 training sessions per day. During testing, the time taken for each mouse to traverse
836 the beam was recorded, with a maximum allowed time of 2 minutes. The number of
837 paw slips was documented. Additionally, the Feeney score was used to assess motor
838 deficits according to the following criteria: 0 = traverses the beam without falling; 1
839 = traverses the beam with < 50% probability of falling; 2 = traverses the beam with
840 > 50% probability of falling; 3 = traverses the beam but the paralyzed hindlimb does
841 not assist forward movement; 4 = unable to traverse the beam but can sit on it; 5 =
842 falls immediately after being placed on the beam

843 **4.20.3 Open Field Test (OFT)**

844 The open field test (OFT) was used to evaluate anxiety-like behaviors and general
845 locomotor activity. The testing apparatus consisted of a square arena (50 cm × 50 cm ×
846 50 cm) with transparent walls, under bright lighting conditions. The floor was virtually
847 divided into peripheral and central zones. Prior to testing, mice were acclimated to
848 the experimental room to minimize stress. Each mouse was placed in the center of
849 the arena and allowed to explore freely for 10 minutes. Movements were recorded
850 with an automated tracking system (Panlab system and SMART v3.0 software), and
851 key parameters, including total distance traveled and time spent in the central zone,
852 were analyzed. The arena was thoroughly cleaned with 70% ethanol between trials to
853 eliminate olfactory cues.

854 **4.20.4 Novel Object Location Test(NOL)**

855 The novel object location (NOL) test assessed spatial memory and cognitive func-
856 tion. Testing was conducted in a square open field arena (50 cm diameter) and
857 consisted of three phases: habituation, training, and testing. Habituation phase (Day
858 1): Mice explored the empty arena for 10 minutes. Training phase (Days 2 and
859 3): Two identical objects (object A on day 2, object B on day 3) were placed in
860 opposite corners, and mice explored for 10 minutes each day. Testing phase (Day
861 4): Two identical objects (object B) were placed in parallel locations, with one
862 object moved to a novel position. Mice were allowed to explore for 10 minutes.
863 The novel location preference score was calculated novel location preference score =
864 $\frac{\text{Time spent exploring the object in the novel location}}{\text{Total time spent exploring both objects}} \times 100\%$

865 The arena and objects were cleaned with 75% ethanol after each session. Behavioral
866 analyses were performed by blinded observers based on recorded video footage.

867 4.20.5 Novel Object Recognition Test(NOR)

868 The novel object recognition (NOR) test evaluated recognition memory. Testing was
869 performed in three phases: Adaptation phase: Mice explored an empty arena (50 cm
870 × 50 cm × 50 cm) for 10 minutes. Training phase (Days 1 and 2): Mice explored two
871 identical objects (object A on day 1, object B on day 2) placed in parallel locations
872 for 10 minutes each day. Testing phase (Day 3): One familiar object (object B) was
873 replaced with a novel object (object C), and mice were allowed to explore for 10
874 minutes.

875 The discrimination index was calculated as:
876 discrimination index = $\frac{\text{Time spent exploring the novel object C}}{\text{Total time spent exploring objects B and C}} \times 100\%$

877 The arena and objects were sanitized with 75% ethanol between sessions to prevent
878 olfactory bias. Behavioral data were recorded and analyzed using video-based tracking
879 by blinded observers.

880 4.21 Statistical analysis

881 Statistical analyses were performed using GraphPad Prism version 9, and all graphs
882 were generated using the same software. Data are presented as mean ± standard
883 error of the mean (SEM). For comparisons between two groups, either an unpaired
884 two-tailed Student's t-test or a Mann–Whitney test was used, depending on the distri-
885 bution of the data. Comparisons among multiple groups were analyzed using one-way
886 or two-way analysis of variance (ANOVA), followed by Tukey's multiple comparisons
887 test or Kruskal–Wallis test, as appropriate. For specific datasets involving repeated
888 measures, such as neurological deficit scores, repeated measures ANOVA was used
889 with false discovery rate (FDR) correction, employing the two-stage linear step-up
890 procedure of Benjamini, Krieger, and Yekutieli. The normality of data distribution
891 was assessed using the Shapiro–Wilk test. A p-value of < 0.05 was considered indica-
892 tive of a non-normal distribution. Statistical significance was set as follows: $p^* < 0.05$;
893 $p^{**} < 0.01$; $p^{***} < 0.001$; $p^{****} < 0.0001$. Sample sizes were not predeter-
894 mined by statistical methods due to the lack of available effect size estimates prior to
895 experimentation. Sample sizes for each experimental group are provided in the corre-
896 sponding figure legends. No pre-established exclusion criteria were applied. Descriptive
897 statistics were used to analyze demographic and neuropsychological data. Relation-
898 ships between CHI3L1 levels and both NIHSS scores and ischemic brain volume were
899 evaluated using Pearson correlation analysis. All experiments included at least three
900 independent biological replicates.

5 Discussion

5.1 CHI3L1 as a Key Player in Stroke Pathophysiology

This study provides comprehensive insights into the role of CHI3L1 in stroke. Initial proteomic analysis of acute ischemic stroke (AIS) patients not only replicated previous findings of elevated CHI3L1 levels but also identified a suite of co-dysregulated proteins and key signaling pathways, including NLRP3, MAPK, PI3K-Akt, and TNF signaling[27, 34, 35]. The observed positive correlations between CHI3L1 levels, stroke severity (NIHSS scores), and infarct volume strongly support its significance in stroke pathophysiology. These findings position CHI3L1 as a potential biomarker for early diagnosis and prognosis in stroke patients[27, 33].

Notably, prior work by Im et al. (2020) explored CHI3L1 in stroke, suggesting that CHI3L1 deletion may exacerbate stroke via enhanced neuroinflammation and it is proposed that CHI3L1 may regulate stroke outcomes through microglial polarization. In the context of this prior exploration, our study provides new insights into CHI3L1's cellular localization and functional context in stroke. Using immunofluorescence co-localization, primary cell models, and public single-cell sequencing data (GEO, Fig. S2), we observed that CHI3L1 upregulation in the tMCAO model occurs predominantly in astrocytes (GFAP⁺), with minimal co-localization in microglia (IBA1⁺). This finding refines the current understanding by indicating that CHI3L1 likely exerts its effects primarily through astrocyte–neuron interactions and astrocyte-mediated inflammatory processes, offering a more detailed perspective on CHI3L1's role in stroke pathophysiology.

In the tMCAO mouse model and *in vitro* OGD model, CHI3L1 was predominantly upregulated in astrocytes. This is supported by immunofluorescence data showing co-localization of CHI3L1 with GFAP-positive astrocytes in peri-infarct regions. The dynamic increase in CHI3L1 expression following stroke-like insults aligns with emerging concepts that highlight the significance of glial-neuronal interactions in stroke outcomes. Astrocytes are known to release various factors that influence neuronal survival, inflammation, and tissue repair following stroke[36, 37]. Upregulation of CHI3L1 may represent part of a complex astrocyte-mediated response that modulates these processes. The temporal dynamics of CHI3L1 expression in astrocytes following ischemic insult are particularly notable. In the tMCAO model, CHI3L1 levels increased in the peri-infarct zone as early as 24 hours and remained elevated through day 7, suggesting an active and sustained role in the glial response to injury. Given the established function of reactive astrocytes in regulating extracellular glutamate, maintaining barrier integrity, and modulating neuroinflammation[35, 37–40], CHI3L1 may act as a context-dependent regulator that amplifies neurotoxic signaling under ischemic conditions.

A key aspect of our study is the rationale for selecting CHI3L1 as a therapeutic target, particularly since our proteomic screen (Fig. 1B) identified several other proteins with marked acute alterations. We focused on CHI3L1 based on three converging lines of evidence. First, multiple clinical studies have established serum CHI3L1 as a reliable prognostic biomarker for stroke, a foundation that many other altered proteins lack. Second, our ELISA data from supplementary cohorts demonstrated a dose-dependent

945 relationship between CHI3L1 levels and stroke severity indicators such as NIHSS scores
946 and infarct volume, linking it directly to stroke progression rather than to general tis-
947 sue injury. Third, CHI3L1 represents an inflammation-associated molecule with known
948 neurological relevance but incomplete characterization in cerebral infarction, position-
949 ing it as a mechanistically significant bridge between neuroinflammation and ischemic
950 injury.

951 Other proteins identified in the proteomic analysis—such as CORO1A and PGD
952 (related to neuronal morphogenesis), S100A12 and ARPC1B (involved in inflamma-
953 tion), and ADH1A/ADH1B and NQO2 (associated with metabolism)—also warrant
954 attention. Although their specific roles in stroke remain unexplored, their potential
955 involvement highlights additional molecular pathways worth investigating in future
956 studies to expand our understanding of stroke pathophysiology.

957 **5.2 Functional Consequences of CHI3L1 Manipulation**

958 Specifically, CHI3L1 overexpression led to increased infarct volumes and worsened
959 neurological scores, while knockdown reduced infarct size and improved behav-
960 ioral outcomes. Overexpression impaired cognitive and motor functions, increased
961 infarct volume, reduced cerebral blood flow, compromised blood-brain barrier (BBB)
962 integrity, and decreased neuronal preservation. Conversely, CHI3L1 knockdown signif-
963 icantly improved functional outcomes and reduced tissue damage[7]. These findings
964 highlight the potential of targeting CHI3L1 as a therapeutic strategy for stroke.
965 Our conditioned medium experiments underscore the importance of astrocyte-neuron
966 crosstalk in stroke pathology. Neurons exposed to media from CHI3L1-overexpressing
967 astrocytes exhibited increased apoptosis and simplified dendritic architecture, even
968 in the absence of direct ischemic insult. This indicates that astrocytic CHI3L1 is
969 sufficient to propagate neurotoxic signals, potentially through the secretion of pro-
970 inflammatory mediators or EVs. These findings emphasize the role of astrocytes not
971 merely as passive responders, but as active drivers of secondary neuronal injury.
972 In support of this, Sholl analysis of dendritic complexity revealed that ACM from
973 CHI3L1-overexpressing astrocytes significantly reduced neurite arborization, while
974 knockdown preserved structural integrity. These data add morphological evidence to
975 the pro-apoptotic influence of astrocyte-derived CHI3L1 and reinforce the importance
976 of astrocyte-to-neuron signaling in ischemia. Astrocyte-specific knockout of CHI3L1
977 in $Chil1^{cKO}$ mice further confirmed the detrimental role of astrocyte-derived CHI3L1.
978 Improved behavioral, physiological, and histological outcomes in $Chil1^{cKO}$ mice after
979 stroke provide *in vivo* genetic evidence supporting the pathogenic contribution of
980 CHI3L1. Similarly, administration of a CHI3L1-neutralizing antibody promoted stroke
981 recovery, reinforcing the therapeutic potential of CHI3L1 inhibition⁸. Across multi-
982 ple experimental conditions, MAP2 staining consistently revealed greater preservation
983 of neuronal structures in the treatment and knockdown groups, further substantiat-
984 ing the neuroprotective effects of CHI3L1 inhibition and its downstream signaling
985 blockade. These results are particularly significant given the current lack of effective
986 therapies for stroke. However, further studies are necessary to optimize the delivery,
987 dosage, and timing of CHI3L1-targeted therapies.

5.3 CHI3L1 Signaling Pathway and GAL3 as a Therapeutic Target

We identified GAL3 as the downstream receptor through which CHI3L1 activates the NLRP3 signaling pathway. *In vitro* experiments with primary astrocytes and *in vivo* validation consistently showed that CHI3L1 activation of NLRP3 signaling was mediated via GAL3, leading to neuronal apoptosis[9, 25]. This discovery of the CHI3L1–GAL3–NLRP3 axis provides a novel molecular mechanism underlying ischemic neuronal injury.

Targeting GAL3 emerges as a promising therapeutic strategy. Both AAV-mediated knockdown of GAL3 in astrocytes and pharmacological inhibition with the small-molecule inhibitor GB1107 led to significant improvements across multiple outcome measures. These included motor and cognitive performance, infarct volume, cerebral blood flow, BBB integrity, and neuronal preservation[41–43]. These findings suggest that inhibition of GAL3 signaling could be an effective approach to mitigate stroke-induced damage. Notably, the *in vivo* knockdown of GAL3 in astrocytes not only reduced NLRP3 pathway activation but also led to consistent improvements across multiple functional domains. These included motor coordination, memory, and anxiety-like behavior. These behavioral improvements were accompanied by smaller infarct volumes, restored cerebral blood flow, and preservation of MAP2⁺ neurons. This convergence of molecular, histological, and behavioral data strongly supports the candidacy of GAL3 as a central mediator of CHI3L1’s deleterious effects and a viable pharmacological target. Importantly, our receptor screen revealed that among six potential CHI3L1-interacting receptors, only GAL3 was consistently upregulated in ischemic astrocytes. This selectivity underscores GAL3’s unique role in mediating downstream NLRP3 activation. It also sets GAL3 apart from other candidates like CD44 or RAGE, which did not alter NLRP3 signaling upon knockdown.

Small-molecule inhibitors like GB1107 are particularly attractive due to their drug-like properties; however, further optimization of their pharmacokinetic and pharmacodynamic profiles is necessary. GB1107’s efficacy in reducing infarct size and improving neurological outcomes in the tMCAO model underscores its potential as a therapeutic agent targeting GAL3. Potential off-target effects must also be carefully assessed, given the physiological functions of GAL3 beyond the brain[43–46]. Notably, CHI3L1 knockout, antibody neutralization, and GAL3 inhibition all yielded consistent neuroprotective effects, suggesting a robust and targetable signaling axis. These findings not only validate the CHI3L1–GAL3–NLRP3 pathway as a pathogenic mechanism but also provide multiple entry points for therapeutic development.

A key unresolved question concerns the upstream regulation of CHI3L1 - specifically, why its upregulation occurs selectively in astrocytes following ischemia. We hypothesize that this specificity results from the combined influence of Stat3 pathway activation, the ischemia-induced inflammatory microenvironment, and intrinsic astrocyte epigenetic properties. Previous studies have demonstrated Stat3 activation after ischemic stroke, and in non-stroke disease models, such as tumor systems, Stat3 acts as an upstream regulator of CHI3L1. Moreover, p-Stat3-positive reactive astrocytes have been reported to secrete CHI3L1. Future work will aim to elucidate the

1032 Stat3–CHI3L1 axis in cerebral infarction models to clarify the molecular mechanisms
1033 driving astrocyte-specific CHI3L1 upregulation after ischemia.

1034 5.4 Clinical Implications and Future Directions

1035 The findings of this study have several important clinical implications. CHI3L1
1036 could serve as a biomarker for stroke, aiding early diagnosis, prognosis evaluation,
1037 and patient stratification. Furthermore, therapeutic strategies targeting CHI3L1 or
1038 its downstream effector GAL3, through neutralizing antibodies or small-molecule
1039 inhibitors like GB1107, represent promising avenues for clinical translation[47, 48].
1040 *In vitro*, GB1107 demonstrated a dose-dependent ability to mitigate CHI3L1-induced
1041 neuronal stress, as evidenced by reduced apoptosis and preserved neurite morphology.
1042 This effect was modulated by the presence of exogenous CHI3L1, suggesting a direct
1043 pharmacodynamic interaction with astrocyte-derived signaling.

1044 5.5 Limitations of the Study

1045 Several limitations should be acknowledged. First, *in vitro* models such as the OGD
1046 model simplify the complex *in vivo* brain environment and lack critical components
1047 such as the full immune system and vascular network, which may influence ischemic
1048 responses[49, 50]. Additionally, the OGD model does not account for the dynamic
1049 interactions between neurons, glia, and endothelial cells present *in vivo*, potentially
1050 limiting the translatability of findings. Second, the tMCAO mouse model, although
1051 widely used, has intrinsic limitations, including species-specific differences in brain
1052 anatomy and stroke pathology, which may affect translatability to humans[51, 52].
1053 Third, our proteomic analysis was conducted on a relatively small AIS patient cohort
1054 ($n = 16$), necessitating validation in larger, more diverse populations to confirm
1055 CHI3L1’s biomarker potential and associations with clinical subtypes. Finally, while
1056 this study focused on the CHI3L1–GAL3–NLRP3 axis, it is possible that other par-
1057 allel or compensatory signaling pathways contribute to stroke pathophysiology and
1058 warrant further investigation[53–55].

1059 In conclusion, this study clarifies the critical role of CHI3L1 and its downstream
1060 effector GAL3 in the pathophysiology of stroke and provides preclinical evidence sup-
1061 porting GAL3 signaling as a viable therapeutic target. Collectively, our findings not
1062 only elucidate the CHI3L1–GAL3–NLRP3 axis in stroke pathology but also identify
1063 actionable targets for therapeutic intervention, paving the way for novel treatment
1064 strategies in ischemic stroke.

1065 6 Conclusion

1066 This study clarifies the critical role of CHI3L1 and its downstream effector GAL3
1067 in the pathophysiology of stroke and provides preclinical evidence supporting GAL3
1068 signaling as a viable therapeutic target. Collectively, our findings not only elucidate the
1069 CHI3L1–GAL3–NLRP3 axis in stroke pathology but also identify actionable targets
1070 for therapeutic intervention, paving the way for novel treatment strategies in ischemic
1071 stroke.

1072 **Supplementary information.**

- 1073 • Figs. S1 to S9
1074 • Tables S1-S4

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1085 **Declarations**

- 1086 • The authors declare no competing interests.
1087 • Consent for publication was obtained from all individual participants included in
1088 the study.
1089 • The data supporting the findings of this study are available from the corresponding
1090 author upon reasonable request.
1091 • Author contribution:/Conceptualization: CT, YD; Methodology: CT, YD; Software:
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1095 YD, GYW; Visualization: CT, YD, DM, XL; Supervision: YH, GW, BJZ; Project
1096 administration: CT, YD, HL; Funding acquisition: CT, HL, TL, XL

1097 **Ethics Declaration**

1098 All animal care and experimental procedures were performed in accordance with the
1099 National Institutes of Health (NIH) Guide for the Care and Use of Laboratory Ani-
1100 mals and approved by the Institutional Animal Care and Use Committee (IACUC)
1101 of Sun Yat-sen University. All human studies were conducted in compliance with the
1102 1964 Declaration of Helsinki and its later amendments or comparable ethical stan-
1103 dards, as well as the principles of ICH-GCP, CIOMS, and Chinese GCP. The study
1104 was approved by the Medical Ethics Committee of Sun Yat-sen University(RG2023-
1105 222-02). Written informed consent was obtained from all individual participants
1106 included in the study.

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1112 **Appendix A Section title of first appendix**

1113 An appendix contains supplementary information that is not an essential part of the
1114 text itself but which may be helpful in providing a more comprehensive understanding
1115 of the research problem or it is information that is too cumbersome to be included in
1116 the body of the paper.

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