

## **Supplementary Information for**

Anticipatory Postural Control Emerges from a Predictive and Optimized Strategy for Movement Preparation

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## Supplementary Methods

**Calculation of co-contraction index.** To investigate differences in muscle activity between subjects who exhibit preceding COM shift and those who do not, we calculated the co-contraction index (CCI) around the ankle from each subject's muscle (TA and GC) activity<sup>1, 2</sup>.

$$CCI(t) = \frac{2 \times EMG_L(t)}{EMG_L(t) + EMG_H(t)} \times 100$$

Here,  $EMG_L(t)$  represents the lower of the EMG values between the TA and GC at time  $t$ , and  $EMG_H(t)$  represents the higher of the two values. To evaluate the CCI during the prediction period, the time-averaged  $CCI(t)$  from CS to FS was calculated and used for evaluation.

**Body Model.** The body model consists of two links with two joints at the ankle and hip and is modeled so that changes in the floor angle act as external forces. The equations of motion are as follows.

$$\mathbf{M}\ddot{\boldsymbol{\theta}} + \mathbf{G}g + \mathbf{C} = \boldsymbol{\tau} + \boldsymbol{\tau}_{noise} \quad (1)$$

Inertia term (mass term)

$$\mathbf{M} = \begin{bmatrix} M_{11} & M_{12} \\ M_{12} & M_{22} \end{bmatrix} \quad (2)$$

$$M_{11} = J_1 + J_2 + h_1^2 m_1 + h_2^2 m_2 + 2 \cos \theta_2 h_2 l_1 m_2 + l_1^2 m_2$$

$$M_{12} = J_2 + h_2^2 m_2 + \cos \theta_2 h_2 l_1 m_2$$

$$M_{22} = J_2 + h_2^2 m_2$$

Nonlinear terms (centrifugal and Coriolis terms)

$$\mathbf{C} = \begin{bmatrix} C_1 \\ C_2 \end{bmatrix} \quad (3)$$

$$C_1 = -2m_2 l_1 h_2 \dot{\theta}_1 \dot{\theta}_2 \sin \theta_2 - m_2 l_1 h_2 \dot{\theta}_2^2 \sin \theta_2$$

$$-2m_2 l_1 h_2 \dot{\theta}_2 \sin \theta_2 \dot{\theta}_f$$

$$+ \ddot{\theta}_f (J_1 + J_2 + h_1^2 m_1 + h_2^2 m_2 + 2 \cos \theta_2 h_2 l_1 m_2 + l_1^2 m_2)$$

$$C_2 = m_2 l_1 h_2 \dot{\theta}_1^2 \sin \theta_2 + 2m_2 l_1 h_2 \dot{\theta}_1 \sin \theta_2 \dot{\theta}_f$$

$$+ m_2 l_1 h_2 \sin \theta_2 \dot{\theta}_f^2 + \ddot{\theta}_f (J_2 + h_2^2 m_2 + \cos \theta_2 h_2 l_1 m_2)$$

Gravitational term

$$\mathbf{G} = \begin{bmatrix} G_1 \\ G_2 \end{bmatrix} \quad (4)$$

$$G_1 = -m_1 h_1 \sin(\theta_1 + \theta_f) - m_2 h_2 \sin(\theta_1 + \theta_2 + \theta_f) - m_2 l_1 \sin(\theta_1 + \theta_f)$$

$$G_2 = -m_2 h_2 \sin(\theta_1 + \theta_2 + \theta_f)$$

Here,  $\boldsymbol{\theta} = [\theta_1, \theta_2]^T$ . The ankle joint angle  $\theta_1$  is the angle relative to the perpendicular to the floor, and the hip joint angle  $\theta_2$  is the angle relative to the lower body (Supplementary Figure S5).  $m_1$  and  $m_2$  are the masses of the lower and upper body, respectively, and  $l_1$  and  $l_2$  are length of the lower and upper body,  $h_1$  and  $h_2$  are the distance from the joint to the COM of the lower and upper body.  $J_1$  and  $J_2$  are the moments of inertia around the COM of the lower and upper body,  $g$  is acceleration due to gravity.  $\boldsymbol{\tau} = [\tau_1, \tau_2]^T$ , where  $\tau_1$  and  $\tau_2$  are joint torques. The noise term  $\boldsymbol{\tau}_{\text{noise}}$  is the torque due to biological noise and is modeled as Gaussian white noise with a magnitude of  $0.33 \text{ Nm}^3$ , generated as separate series for the hip and ankle, respectively. The values of each body parameter were taken from a quiet standing study using a two-link body model<sup>4</sup> (Supplementary Table S6A).

**Muscle Model.** Four muscles are arranged in the musculoskeletal model: the tibialis anterior (TA) and gastrocnemius (GC) muscles around the ankle, and the iliopsoas (IL) and gluteus maximus (GM) muscles around the hip. Control inputs are generated as muscle activity levels and converted into torque through the dynamic properties of the muscles, resulting in physical effects on the body. The dynamic muscle model consists of contraction elements (CE) that contract in response to control inputs and passive elastic elements (PE), and generates muscle force according to the force-length and force-velocity relationships in these elements, using the following mathematical model<sup>5, 6</sup>.

$$F_m = \bar{F}_m^{CE} \cdot k\left(\frac{L_m}{\bar{L}_m}\right) \cdot h\left(\frac{\dot{L}_m}{\bar{L}_m}\right) y_m + F_m^{PE} \quad (5)$$

$$F_m^{PE} = 0.0159 \bar{F}_m^{CE} \exp\left(5.9 \bar{L}_m \left(\frac{L_m}{\bar{L}_m} - 1\right) - 1\right)$$

where  $F_m$  is the muscle force,  $\bar{F}_m^{CE}$  is the isometric maximum contractile force,  $\bar{L}_m$  is the natural length.  $\bar{L}_m$  is the maximum contraction velocity, which was set to  $3.0 \text{ m/s}$  for all muscles.  $y_m$  is muscle activity level and is generated by model predictive control. The force-length relationship  $k(L_m/\bar{L}_m)$  and force-velocity relationship  $h(\dot{L}_m/\bar{L}_m)$  are expressed by the following equations using normalized muscle length  $\xi_m = L_m/\bar{L}_m$  and normalized muscle contraction velocity.  $\eta_m = \dot{L}_m/\bar{L}_m$ .

$$k(\xi_m) = 0.32 + 0.71 \exp\{-1.112(\xi_m - 1)\} \cdot \sin\{3.722(\xi_m - 0.656)\}$$

$$h(\eta_m) = \{1 + \tanh(3.0\eta_m)\}$$

Joint torque is calculated by multiplying the muscle force  $F_m$  with the moment arm. The values of each muscle parameter are shown in Supplementary Table S6B.

**Effect of Floor Tilt.** The effect of the change in the floor tilt angles  $\theta_f$ , the body appears as a function of the floor angular velocity  $\dot{\theta}_f$  and the floor angular acceleration  $\ddot{\theta}_f$  in the centrifugal and Coriolis terms (equation (3)). Here, in eq. (3) the coefficients of  $\ddot{\theta}_f$  in  $C_1$  and  $C_2$  are equal to  $M_{11}$  and  $M_{12}$ , respectively. Then, if we divide  $\mathbf{C}$  into the  $\ddot{\theta}_f$  term  $\mathbf{C}_f \ddot{\theta}_f = [M_{11} \quad M_{12}]^T \ddot{\theta}_f$  and the other terms  $\tilde{\mathbf{C}}(\theta_2, \dot{\theta}_1, \dot{\theta}_2, \dot{\theta}_f)$ , the equation becomes as follows.

$$\begin{aligned}\mathbf{M}\ddot{\boldsymbol{\theta}} + \mathbf{G}g + \tilde{\mathbf{C}}(\theta_2, \dot{\theta}_1, \dot{\theta}_2, \dot{\theta}_f) + \mathbf{C}_f\ddot{\theta}_f &= \boldsymbol{\tau} \\ \ddot{\boldsymbol{\theta}} &= \mathbf{M}^{-1}(-\mathbf{G}g - \tilde{\mathbf{C}} + \boldsymbol{\tau}) - \mathbf{M}^{-1}\mathbf{C}_f\ddot{\theta}_f\end{aligned}$$

Furthermore, since  $\mathbf{M}^{-1}\mathbf{C}_f = \mathbf{M}^{-1}[M_{11} \quad M_{12}]^T = [1 \ 0]^T$ ,

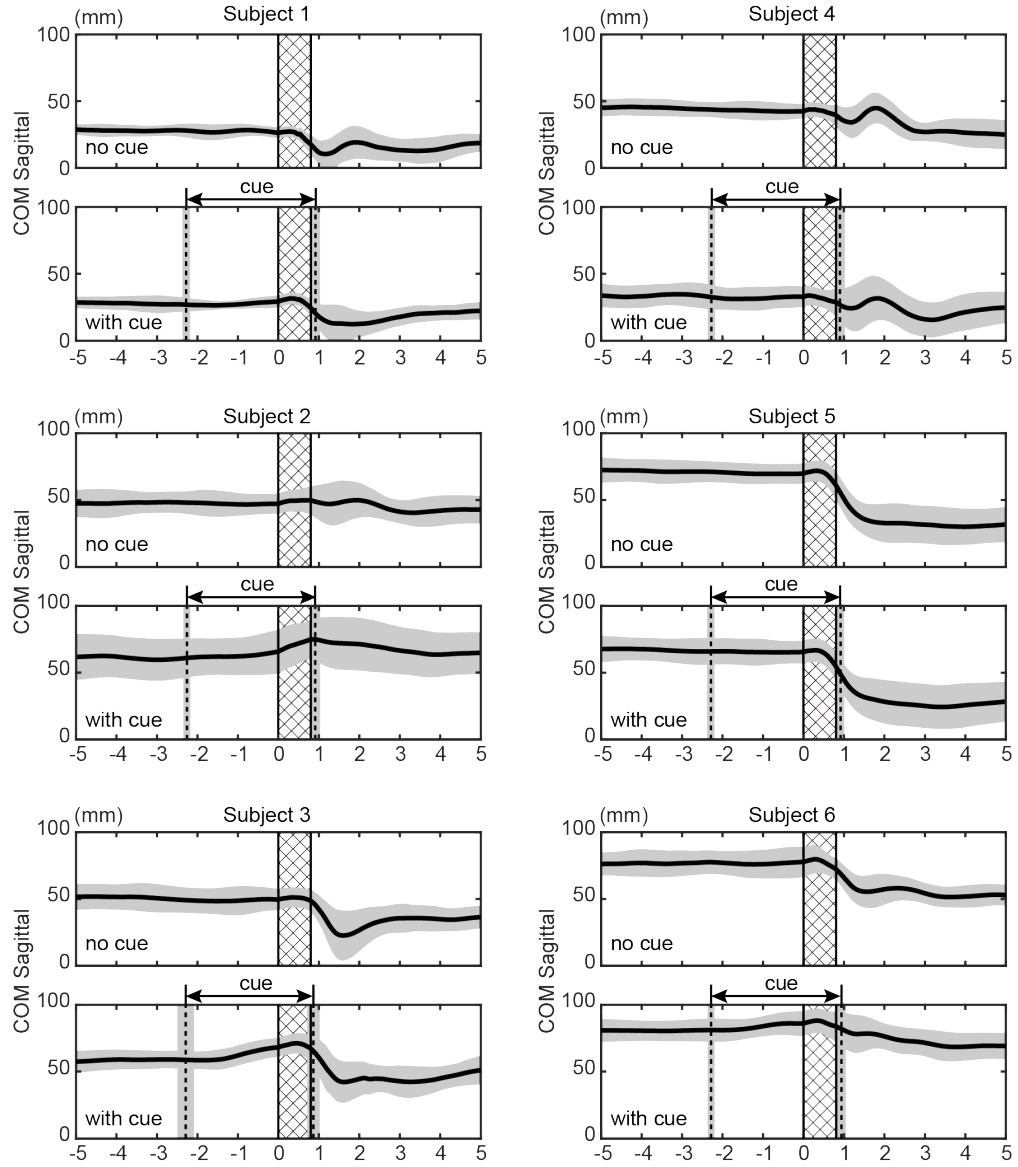
$$\ddot{\boldsymbol{\theta}} = \mathbf{M}^{-1}(-\mathbf{G}g - \tilde{\mathbf{C}} + \boldsymbol{\tau}) - \begin{bmatrix} 1 \\ 0 \end{bmatrix} \ddot{\theta}_f \quad (6)$$

This means that the floor acceleration  $\ddot{\theta}_f$  acts directly only on the ankle acceleration  $\ddot{\theta}_1$ , i.e., the  $\ddot{\theta}_f$  term acts as if the floor inclination changes the angle of the ankle as it does (note that the floor velocity term  $\dot{\theta}_f$  also acts as the  $\tilde{\mathbf{C}}(\theta_2, \dot{\theta}_1, \dot{\theta}_2, \dot{\theta}_f)$  term).

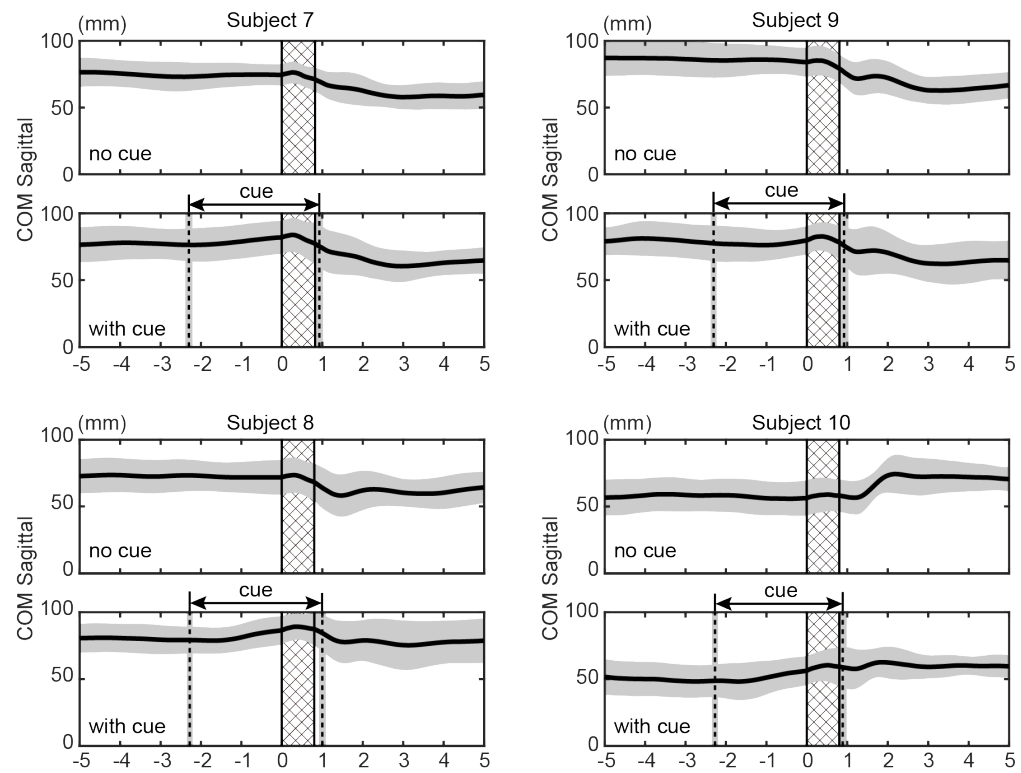
**Stiffness Control.** A control element contributing to stability during standing includes the passive effect of body stiffness, which plays a stabilizing role in cooperation with the stretch reflex<sup>7</sup>. This mechanism generates the following torques at the ankle and hip, respectively:

$$\tau_{\text{stiff}} = -K_{a,h}(\theta - \hat{\theta}) - B_{a,h} \dot{\theta}$$

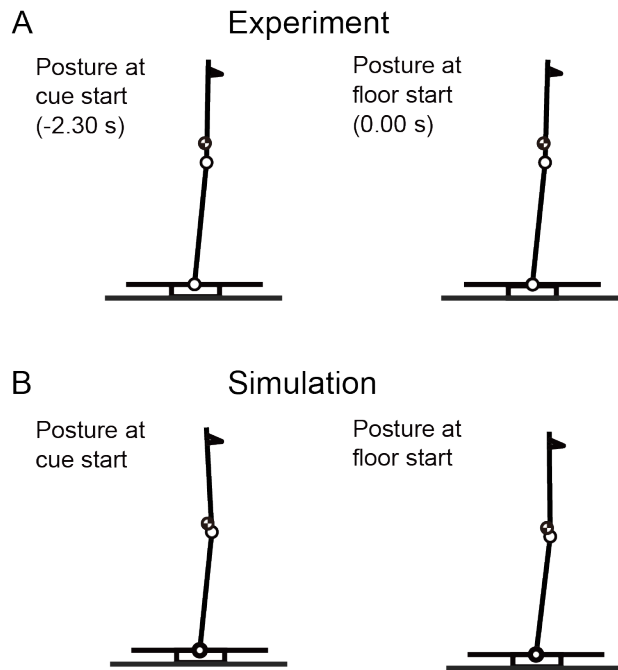
The values of  $K_a$ ,  $K_h$ ,  $B_a$ , and  $B_h$ , which correspond to ankle and hip stiffness and viscosity, were set based on previous literature<sup>4</sup>. Note that  $K_a$  values of  $0.7\text{--}0.8 \times mgh$  were used in past standing model studies, while measurements of the muscles around the ankle using an ergometer<sup>8</sup> showed lower values. Therefore, in this study, we examined the simulation behavior in the range of  $0.1$  to  $0.8 \times mgh$  (Supplementary Figure S6). The results showed that control by GC activity, as observed in human experiments, was observed when the  $K_a$  value was  $0.3 \times mgh$  or less, and control by TA activity was observed when the value was  $0.4 \times mgh$  or higher. Therefore, we decided to proceed with the analysis using  $K_a = 0.3 \times mgh$ . The values of these control parameters are summarized in Supplementary Table S6C.



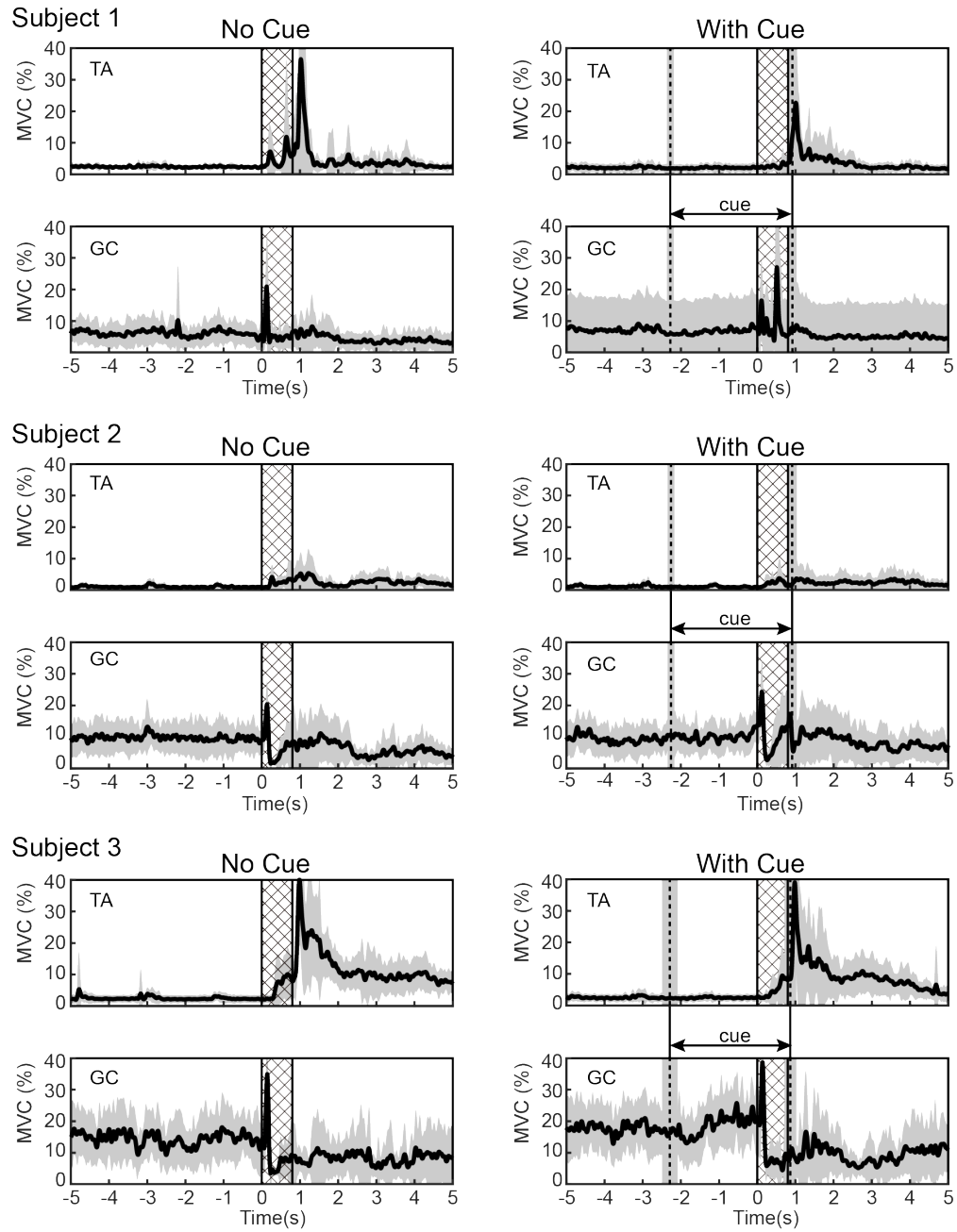
**Fig. S1. Results of COM time series for all subjects obtained in the experiment.** The anteroposterior position of the COM is shown with the ankle position as 0 mm. The results of 20 trials for each subject (excluding the first 10 trials in each condition) are shown as mean (solid line) and standard deviation (SD) (gray area). The slanted area represents the floor tilt period, and the dotted line (area behind the dotted line) in the trials with a cue indicates the mean (SD) of the start and stop times of the cue sound.



**Fig. S1.** (Continued from the previous page)

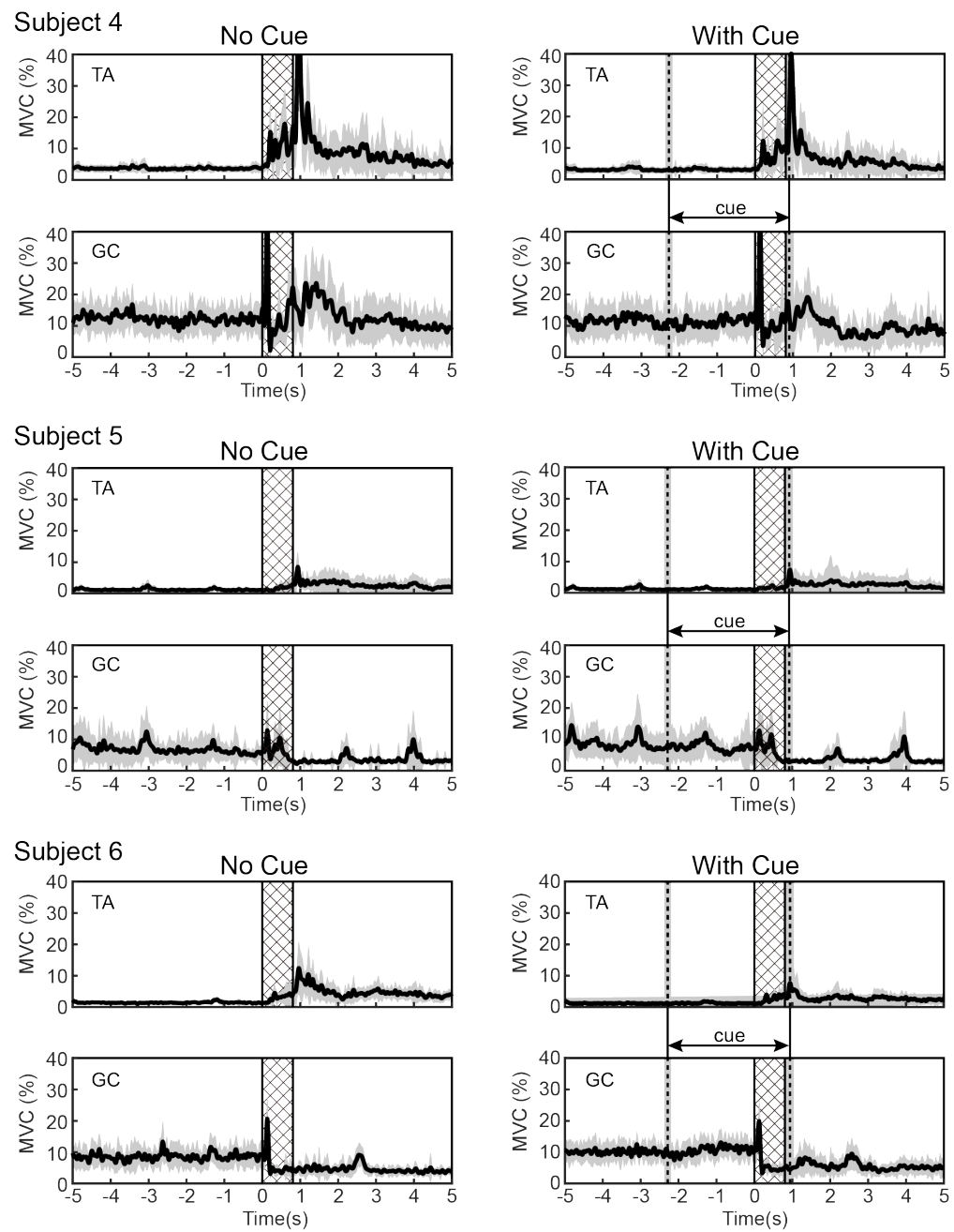


**Fig. S2. Average posture at cue start (CS) and floor start (FS).** (A) result of the experiment for the same subject as Fig. 2ACE. (B) result of the simulation.

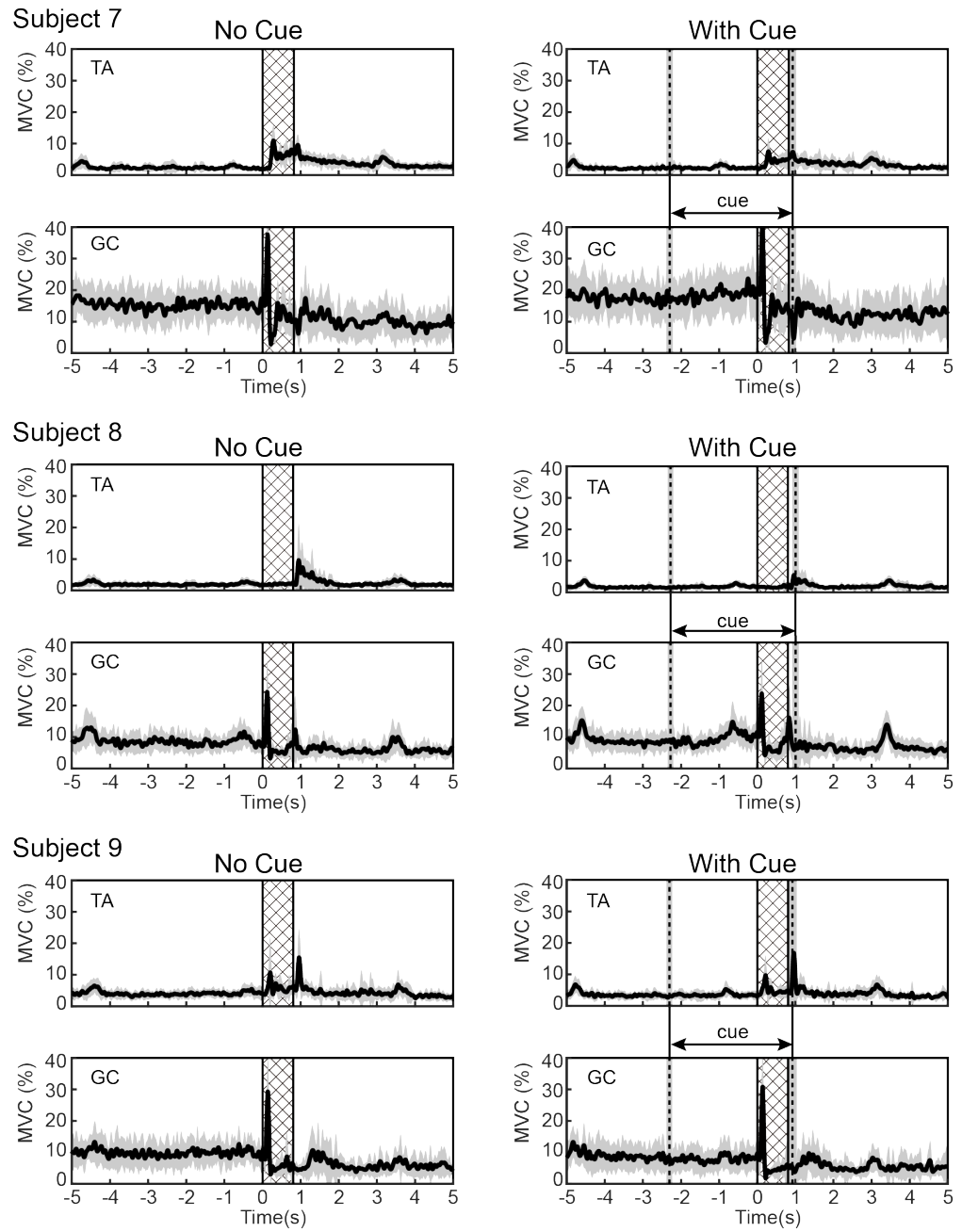


**Fig. S3. Results of EMG time series for all subjects obtained in the experiment.** TA: Tibialis Anterior; GC: Gastrocnemius. The results of 20 trials for each subject (excluding the first 10 trials in each condition) are shown as mean (solid line) and standard deviation (SD) (gray area). The slanted area represents the floor tilt period, and the dotted line (area behind the dotted line) in the trials with a cue indicates the mean (SD) of the start and stop times of the cue sound.

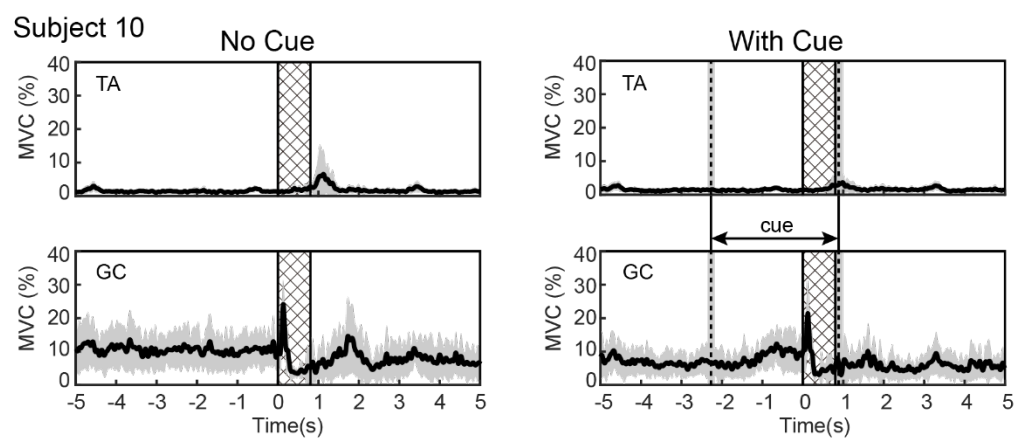




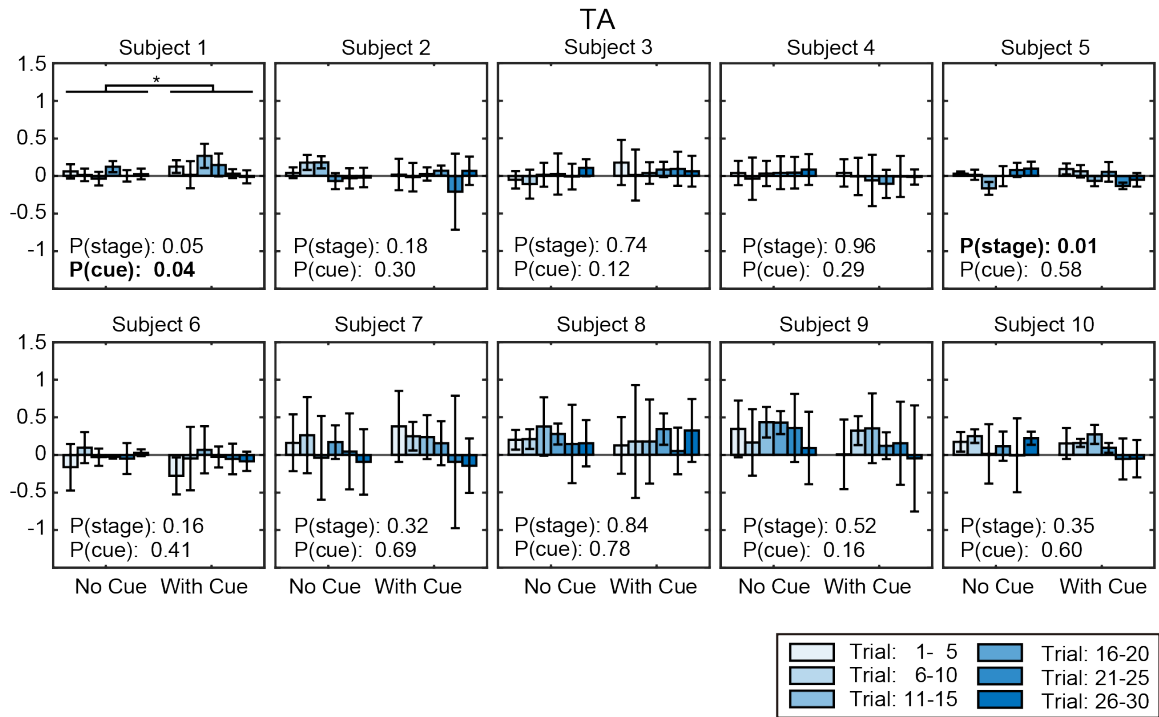
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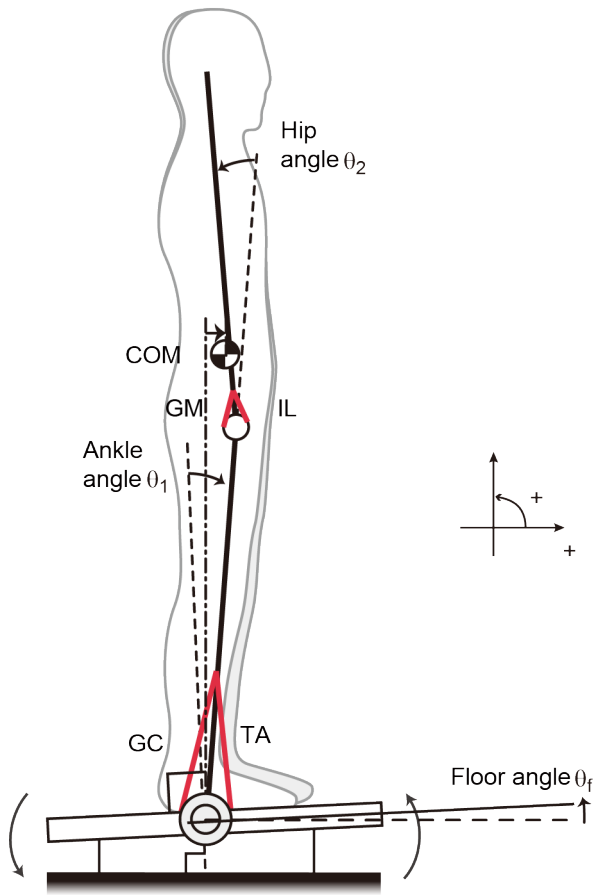
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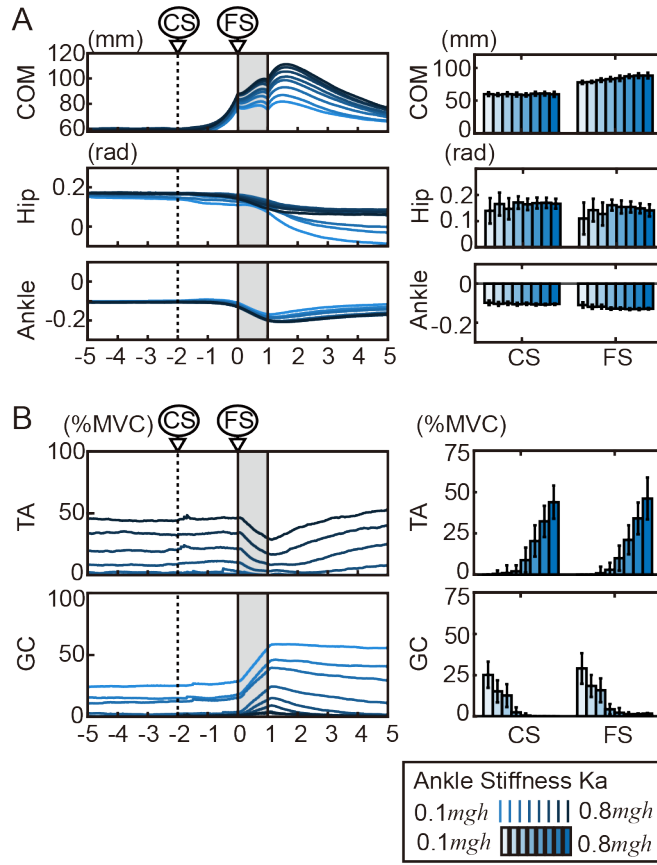
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**Fig. S4. Effect of trial stage on Tibialis Anterior (TA) activity changes during the cue start (CS)-floor start (FS) period.** Each condition (no cue, cue) consists of 30 trials divided into 6 stages of 5 trials each. Values (TA activity change) for each stage are represented as mean and standard deviation on error bars. The P values in the figure represent the results of a 2-way ANOVA for trial stage and cue presence. Significant differences due to cue are indicated by \* in the figure. \*:  $P < 0.05$ .



**Fig. S5. Definition of the body model for simulation.** The body model consists of two links with two joints at the ankle and hip, and changes in the floor angle act as external forces. Four muscles are included in the muscle model: the tibialis anterior (TA) and gastrocnemius (GC) muscles around the ankle, and the iliopsoas (IL) and gluteus maximus (GM) muscles around the hip. The floor tilt angle, ankle angle, and hip angle are defined as the relative angles from the horizontal plane, the angle perpendicular to the floor, and the angle from the lower body, respectively. COM is defined as the anteroposterior displacement, with the ankle position as 0.



**Fig. S6. Effect of the ankle stiffness  $K_a$  on predictive behavior in the simulation.** The results of simulations in which the ankle stiffness  $K_a$  was changed at equal intervals from 0.1 mgh to 0.8 mgh are shown. Each result shows the average of 20 trials performed with different random noise. **(A)** Results for COM, hip joint, and ankle joint. The time at which the tilt became predictable (CS) and the start time of floor tilting (FS). **(B)** Results for muscle activity. TA: tibialis anterior; GC: gastrocnemius.

**Table S1. Statistical values for the significance of changes in movement and muscle activity during the cue period.** Results for p-values, t-values, and degrees of freedom (df) for **(A)** movement (COM, hip, ankle) and **(B)** muscle activity (TA: tibialis anterior; GC: gastrocnemius). Bold p-values indicate significance at  $p < 0.05$ .

**A**

COM			Hip			Ankle		
p-value	t-value	df	p-value	t-value	df	p-value	t-value	df
<b>0.020</b>	-2.43	38	<b>0.020</b>	-2.42	38	<b>0.003</b>	3.16	38
<b>0.009</b>	-2.74	38	0.396	-0.86	38	<b>0.011</b>	2.66	38
<b>0.002</b>	-3.41	37	<b>0.050</b>	2.03	37	<b>0.003</b>	3.16	37
0.389	-0.87	38	0.357	0.93	38	0.614	0.51	38
0.587	-0.55	38	0.397	-0.86	38	0.389	0.87	38
<b>0.002</b>	-3.35	36	0.505	0.67	36	<b>0.001</b>	3.70	36
<b>0.049</b>	-2.03	38	0.203	1.30	38	<b>0.031</b>	2.24	38
<b>0.000</b>	-6.32	38	0.128	1.55	38	<b>0.000</b>	6.51	38
0.207	-1.28	37	0.090	1.74	37	0.158	1.44	37
<b>0.000</b>	-4.08	38	0.257	-1.15	38	<b>0.000</b>	5.66	38

**B**

TA			GC		
p-value	t-value	df	p-value	t-value	df
0.066	-1.89	38	0.059	-1.94	38
0.720	0.36	38	0.419	-0.82	38
0.672	-0.43	37	0.087	-1.76	37
0.138	1.52	38	0.354	-0.94	38
0.217	1.25	38	0.915	0.11	38
0.863	0.17	38	<b>0.046</b>	-2.06	38
0.990	0.01	38	<b>0.021</b>	-2.40	38
0.904	0.12	38	<b>0.003</b>	-3.18	38
0.154	1.45	37	0.547	-0.61	37
0.821	0.23	38	<b>0.005</b>	-2.95	38

**Table S2. Results of ANOVA tests for COM displacement during the cue period. (A)** Results of two-way ANOVA by trial stage and cue presence. **(B)** Results of one-way ANOVA testing significant differences by trial stage separately for No Cue and With Cue conditions. Bold text indicates results with  $P < 0.05$ .

**A**

Subject	1		2		3		4		5	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.58	<b>0.05</b>	0.50	<b>0.00</b>	0.52	<b>0.00</b>	0.17	0.34	<b>0.01</b>	0.13
f-value	0.77	4.09	0.89	14.20	0.85	18.70	1.62	0.93	3.36	2.35
df	5	1	5	1	5	1	5	1	5	1

Subject	6		7		8		9		10	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.44	<b>0.00</b>	0.05	<b>0.04</b>	0.16	<b>0.00</b>	0.18	0.16	<b>0.02</b>	<b>0.00</b>
f-value	0.97	16.70	2.39	4.67	1.65	56.70	1.58	2.02	2.89	40.50
df	5	1	5	1	5	1	5	1	5	1

**B**

No Cue

Subject	1	2	3	4	5	6	7	8	9	10
p-value	0.20	0.57	0.33	0.34	0.06	0.92	0.12	0.68	0.20	0.10
f-value	1.61	0.79	1.22	1.19	2.49	0.27	2.01	0.64	1.58	2.09
df	5	5	5	5	5	5	5	5	5	5

With Cue

Subject	1	2	3	4	5	6	7	8	9	10
p-value	0.40	0.14	0.72	0.29	<b>0.03</b>	0.24	0.20	0.14	0.45	<b>0.01</b>
f-value	1.07	1.83	0.58	1.31	3.15	1.47	1.59	1.88	0.99	4.20
df	5	5	5	5	5	5	5	5	5	5



**Table S3. Results of ANOVA tests for gastrocnemius (GC) activity change during the cue period. (A)** Results of two-way ANOVA by trial stage and cue presence. **(B)** Results of one-way ANOVA testing significant differences by trial stage separately for No Cue and With Cue conditions. **(C)** Results of linear regression analysis for changes in GC activity on stages under cue conditions. Bold text indicates results with  $P < 0.05$ .

**A**

Subject	1		2		3		4		5	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.18	0.16	<b>0.04</b>	0.06	0.77	<b>0.00</b>	0.81	0.14	0.09	0.46
f-value	1.59	2.07	2.55	3.56	0.51	9.81	0.45	2.29	2.04	0.54
df	5	1	5	1	5	1	5	1	5	1

Subject	6		7		8		9		10	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.13	<b>0.02</b>	0.39	0.15	0.14	<b>0.00</b>	0.36	0.70	<b>0.01</b>	<b>0.00</b>
f-value	1.82	6.16	1.07	2.17	1.76	22.80	1.13	0.16	3.56	24.20
df	5	1	5	1	5	1	5	1	5	1

**B**

No Cue

Subject	1	2	3	4	5	6	7	8	9	10
p-value	0.30	0.44	0.64	0.97	0.82	0.46	0.17	0.16	0.35	0.66
f-value	1.29	1.00	0.69	0.18	0.44	0.97	1.70	1.74	1.19	0.66
df	5	5	5	5	5	5	5	5	5	5

With Cue

Subject	1	2	3	4	5	6	7	8	9	10
p-value	<b>0.01</b>	<b>0.02</b>	0.64	0.56	<b>0.03</b>	0.15	0.22	0.36	0.91	<b>0.01</b>
f-value	3.67	3.25	0.69	0.81	2.90	1.80	1.54	1.15	0.30	3.67
df	5	5	5	5	5	5	5	5	5	5

**C**

Subject	1	2	3	4	5	6	7	8	9	10
Coeff	-0.23	-0.67	-0.78	-0.30	-0.97	0.00	0.22	0.08	0.05	-0.24
p-value	0.19	<b>0.04</b>	0.17	0.27	<b>0.02</b>	0.99	0.30	0.63	0.77	0.52

**Table S4. Results of ANOVA tests for tibialis anterior (TA) activity change during the cue period.** Results of a two-way ANOVA with trial stage and cue presence as factors are shown.

Subject	1		2		3		4		5	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.05	<b>0.04</b>	0.18	0.30	0.74	0.12	0.96	0.29	<b>0.01</b>	0.58
f-value	2.38	4.32	1.60	1.11	0.54	2.50	0.20	1.16	3.77	0.32
df	5	1	5	1	5	1	5	1	5	1

Subject	6		7		8		9		10	
	stage	cue	stage	cue	stage	cue	stage	cue	stage	cue
p-value	0.16	0.41	0.32	0.69	0.84	0.78	0.52	0.16	0.35	0.60
f-value	1.68	0.68	1.21	0.16	0.41	0.08	0.85	2.06	1.15	0.27
df	5	1	5	1	5	1	5	1	5	1

**Table S5.** Physical parameters of the subjects

Subject number	Gender	Age	Body Length (m)	Body weight (kg)
1	female	31	156	69
2	female	25	158	62
3	female	51	173	60
4	female	49	156	51
5	female	45	152	44
6	male	46	164	66
7	male	48	172	74
8	male	29	169	60
9	male	57	170	75
10	male	34	176	65

**Table S6. Parameters of the model for simulation. (A)** Body parameters of the model. **(B)** Muscle parameters of the model. TA: tibialis anterior; GC: gastrocnemius; IP: iliopsoas; GM: gluteus maximus. Maximal isometric contraction force  $\bar{F}_m^{CE}$ , Natural length  $\bar{L}_m$ , and moment arm (MA). **(C)** Control parameters of the model.  $mgh$  is the value determined by the mass  $m$ , the acceleration of gravity  $g$ , and the distance from the ankle to the COM  $h$ .

**A**

Symbol	Description	Value
$m_1$	Mass of lower link (kg)	$60 \times 0.35$
$m_2$	Mass of upper link (kg)	$60 \times 0.62$
$m$	Mass of body (kg)	$m_1 + m_2$
$l_1$	Length of lower link (m)	$1.70 \times 0.51$
$l_2$	Length of upper link (m)	$1.70 \times 0.45$
$h_1$	Distance from distal end to lower link CoM (m)	$1.70 \times 0.255$
$h_2$	Distance from distal end to upper link CoM (m)	$1.70 \times 0.225$
$h$	Distance from ankle to COM (m)	$m_1 h_1 + m_2 (l_1 + h_2) / m$
$\theta_1(0)$	Initial ankle angle (rad)	-0.11
$\theta_2(0)$	Initial hip angle (rad)	0.18

**B**

	$\bar{F}_m^{CE}$ (N)	$\bar{L}_m$ (N)	MA (m)
TA	1140	0.1	0.042
GC	1605	0.11	0.057
IP	1395	0.1	0.011
GM	1300	0.14	0.047

**C**

Symbol	Description	Value
	Control frequency for MPC (Hz)	100
$N_P$	Prediction Horizon (step)	30
$N_M$	Control Horizon (step)	2
$w_u$	Weight for control input	0.01
$w_{\Delta u}$	Weight for input change	1
$\Delta$	Delay in sensory loop for MPC (ms)	150
$K_a$	Passive elastic coefficient at ankle	$0.3 \times mgh$
$B_a$	Passive viscosity coefficient at ankle (Nm s/rad)	4.0
$K_h$	Passive elastic coefficient at hip	$0.4 \times mgh$
$B_h$	Passive viscosity coefficient at hip (Nm s/rad)	10.0

## Supplementary References

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