

RGB image-based drought stress classification of garden plants using SVM model

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Abstract

Water management in urban gardens is increasingly complex due to diverse plant species and growing drought stress under climate change. This study proposes a non-destructive method to classify drought responses of mixed garden plant species using RGB image indices and a support vector machine (SVM) model. Chlorophyll fluorescence responses were used to evaluate photosynthetic stress, while image-derived indices—green leaf index (GLI), normalized green-red difference index (NGRDI), and blue-green pigment index (BGI)—were analyzed to assess drought responses. Hierarchical clustering grouped species into three response clusters based on fluorescence and image patterns. Principal component analysis (PCA) identified NGRDI, GLI, and BGI as key variables, with NGRDI and GLI showing strong correlations with soil moisture content and BGI distinguishing cluster-specific responses. An SVM model was constructed using RGB indices and soil moisture content as input features, achieving a classification accuracy of 88.3% and an F1 score of 0.85 through five-fold cross-validation. This approach supports efficient water management and plant selection, and can be extended to precision irrigation strategies using machine learning.

Introduction

With the increasing popularity of gardening activities and lifestyles, the scope of the garden industry has expanded ¹. In response, policies have been introduced to promote garden culture and to foster the development of the associated industry through the expansion of garden infrastructure. However, the incidence of abiotic stress in plants is expected to rise with the increasing frequency of extreme weather events due to climate change ². Reduced precipitation with the resulting deficiency in soil moisture are emerging as the most critical environmental factors that are limiting plant growth ³. Urban areas, in particular, are chronically dry due to impervious surfaces, such as asphalt along with high energy consumption associated with vehicles and heating systems ⁴. Under such conditions, plants close their stomata to minimize water loss, which inadvertently reduces CO₂ uptake and suppresses photosynthetic activity ⁵. Furthermore, managing moisture conditions in planting spaces and facilities is complicated by irregular alternating periods of floods and droughts ⁶. Unlike agricultural crops that are typically grown as single species, garden plants are often cultivated as diverse species in limited spaces, which makes integrated management more complex and challenging ⁷. Therefore, it is essential to identify species-specific stress responses to develop targeted management strategies with a particular emphasis on water management ⁸.

Under optimal growth conditions, the productivity of plants is enhanced and the efficiency of the use of light energy through photosynthesis is improved ⁹. However, the photosynthetic systems of plants are severely impaired under abiotic stresses, such as excessive light, temperature extremes, water scarcity, and nutrient deficiencies ^{10,11}. Among these, water deficiency is considered a major environmental factor that limits photosynthetic activity ¹². It disrupts turgor pressure, restricts leaf expansion and stem elongation, and triggers stomatal closure or leaf abscission to increase water use efficiency ¹³. Severe water stress inhibits photosynthesis, impairs osmotic regulation, induces major metabolic dysfunctions, and ultimately causes significant damage to plants ⁹. Water stress leads to various changes in photosynthetic activity—stomatal conduction, water use efficiency, photochemical reactions, and electron transport imbalance—making it a key indicator of plant physiological status ^{14,15}. Chlorophyll fluorescence measurements are widely used as a non-destructive method to monitor these physiological responses ¹⁶. For example, water stress in *Cnidium officinale* was assessed using indicators, such as the maximum quantum yield (Fv/Fm), the chlorophyll fluorescence decline ratio (Rfd_Lss), and the non-photochemical quenching at steady-state (NPQ_Lss) ¹⁷. And according to Yoo et al. ¹⁸, the photochemical response of pepper plants was analyzed by measuring the Performance Index on an absorption basis (PI_ABS) and the Drought Factor Index (DFI) based on the OJIP method ¹⁷⁻¹⁹. Furthermore, Liu, J. et al. ²⁰ investigated the response of the photosynthetic electron transport chain in maize to varying levels of drought stress. Their findings revealed that moderate drought stress reduced the photochemical activity of PSII without affecting PSI, while severe drought stress inhibited the entire electron transport chain. The PSII was found to be more sensitive to drought stress than PSI ²⁰.

However, due to their reliance on specialized equipment, existing non-destructive methods, such as chlorophyll fluorescence measurement, have limitations that impose spatial constraints and make field investigations challenging²¹. Hence, research using machine learning–based plant management algorithms—such as Support Vector Machine (SVM), K-nearest Neighbors (KNN), and Decision Tree (DT)—has been actively conducted with RGB (Red, Green, Blue) and hyperspectral imagery²²⁻²⁷. Approaches that evaluate plant stress by analyzing changes in light reflectance have gained increasing attention²⁸. Water-stressed plants typically exhibit reduced greenness and increased reflectance in the red and blue light regions²⁹. Various indices have been developed to quantitatively assess these changes. For example, the Blue-Green Pigment Index (BGI) estimates the relative concentration of pigments, such as chlorophyll and anthocyanin. The Green Leaf Index (GLI) represents the greenness of plant leaves, while the Normalized Green-Red Difference Index (NGRDI) quantifies the difference in reflectance between the green and the red spectral regions³⁰⁻³².

According to Chatterjee, S. et al.²⁹, the 12 RGB-based vegetation indices (VIs) obtained from Unoccupied Aerial Systems (UAS) were used to develop a machine learning regression model to predict corn yield. The optimal prediction model incorporated NGRDI, normalized green-blue difference index (NGBDI), and GLI, which were shown to play a significant role in estimating corn grain yield in isolated populations under various environmental stress conditions²⁹. Additionally, Rockstad, G.B.G. et al.²² evaluated Unmanned Aerial Vehicles (UAV)-based imagery of St. Augustine grass under both drought-stressed and well-watered conditions during turfgrass cultivation. The study confirmed that indices, such as Visible Atmospherically Resistant Index (VARI), NGRDI, and GLI, were effective to diagnose drought stress using RGB images that were similar to the results of the turfgrass field research laboratory²².

There has been limited research that addresses the comprehensive evaluation of water response characteristics in garden environments containing diverse plant species with most existing studies focused on single species or crop-centered analyses. Therefore, our study aimed to develop a classification strategy for plant groups with similar water response characteristics using a cluster analysis of RGB image indices and chlorophyll fluorescence response data collected from 10 garden plant species. An SVM model was also employed to accurately distinguish these groups. This approach helps reduce the incidence of plant stress or damage in garden environments with mixed species, establishes a basis for systematic diagnosis of water responses, and provides foundational data to develop future precision irrigation strategies.

Materials and Methods

Drought Stress Treatment

Our study commenced on July 15, 2023 at the Hankyong National University-affiliated greenhouse (37°00′42″N, 127°19′12″E). Three replicates each of ten garden plant species, which were purchased prior to transplantation were transplanted into pots, and cultivation trials were carried out until August 30, 2023 (Table 1). The test pots measured 143 × 143 × 160 mm and were filled with silty loam as the growth medium. After transplanting the plants, the pots were placed on pallets to avoid direct contact with the ground and to minimize changes in the moisture of the soil due to ground effects. Each pot was supplied daily with a consistent amount of water through drip irrigation using Angled Drip Stake with a stabilization period of 20 days. Following stabilization, irrigation ceased to induce drought stress. During the experiment, environmental parameters inside the facility were monitored by measuring the temperature (testo 174 H, Testo Saveris GmbH, Baden-Württemberg, Germany) and soil moisture content (WaterScout SM 100, Spectrum Technologies, Inc., Aurora, IL 60504, USA) at 1-hour intervals with data logged using WatchDog 1000 Series Watermark Irrigation Stations (Spectrum Technologies Inc., Aurora, IL 60504, USA).

Table 1
List of the 10 Garden Plant Species Used in the Experiment ³³

Scientific name	English name	Scientific name	English name
<i>Polygonatum odoratum</i>	Lesser solomon's seal	<i>Phedimus takesimensis</i>	Ulleungdo stonecrop
<i>Pachysandra terminalis</i>	Carpet box	<i>Iris sanguinea</i>	Blood iris
<i>Hosta plantaginea</i>	Fragrant plantain lily	<i>Lilium lancifolium</i>	Tiger lily
<i>Aster spathulifolius</i>	Seashore spatulate aster	<i>Carex maculata</i>	Maculate sedge
<i>Mukdenia rossii</i>	Maple-leaf mukdenia	<i>Allium dumebuchum</i>	Aging chive

RGB Image Data Collection

Beginning with the transplantation date (July 15, 2023), RGB images were captured using a time-lapse camera (TLC-200, Brinno Inc., Taipei City, Taiwan). To minimize the influence of external factors on image analysis, the time-lapse camera was mounted on a pipe installed inside the greenhouse at a height of 2 meters (Fig. 1a). Each plant was photographed five times daily between 11 am and 3 pm to generate a total of 6,000 RGB images (5 shots × 3 replicates × 10 species × 40 days). The images were converted to JPG format using the 'Brinno Video Player' software (Fig. 1b).

RGB image analysis was performed using the 'FIELDimageR' package of R Studio ³⁴ based on the method described by Matias F.I. et al. ³⁵. In this method, we used thresholds based on the Green Leaf Index (GLI) and Soil Color Index (SCI) to extract only the plant mask (area of interest) from the entire mask (including the background area). Pixels with a GLI value less than 0.03 were considered non-vegetated areas and removed. Areas with an SCI value greater than 0.1 were estimated as plants and plant masks (the areas of interest) were extracted. Following extraction, three band values (Blue = R_{445} , Green = R_{545} , Red = R_{650}) were obtained from the extracted plant mask (Fig. 2). The obtained band values were calculated as indices, such as BGI, GLI, and NGRDI (Table 2).

Table 2
Descriptions, formulas, and references for image indices used in the analysis

Index	Descriptions	Formula	Related traits
BGI ³⁰	Blue Green Pigment Index	B/G	Chlorophyll, LAI
GLI ³¹	Green Leaf Index	$(2 \times G - R - B) / (2 \times G + R + B)$	Chlorophyll
NGRDI ³²	Normalized Green Red Difference Index	$(G - R) / (G + R)$	Chlorophyll, Biomass, Water content
SCI ³⁶	Soil Color Index	$(R - G) / (R + G)$	Soil Color

Chlorophyll Fluorescence Measurement

A Chlorophyll Fluorescence Meter (FP-100, Photon System Instruments, Czech Republic) in OJIP mode was used to measure chlorophyll fluorescence responses on fully expanded leaves (the third leaf from the apex) with six replicates at each of the five soil moisture levels (31.0%, 21.5%, 7.4%, 6.1%, and 4.6%). Prior to measurement, leaves were dark-adapted for 30 minutes using leaf clips to fully open PSII reaction centers and to allow energy to return to the ground state. A saturating light pulse of $3,000 \mu\text{mol m}^{-2} \text{s}^{-1}$ over a 4 mm^2 area was then applied at 80% intensity, and fluorescence was measured in OJIP mode ³⁷.

The measured fluorescence data was used to derive chlorophyll fluorescence parameters using the JIP-Test ³⁸. ANOVA and Duncan's post hoc test were then performed on the basis of differences in the soil moisture content across clusters. Meanwhile, it is known that the minimum fluorescence (F_0) is measured at 50 μs when all PSII reaction centers are open, then the signal passes through the J step (2 ms) and I step (60 ms), and reaches the P step where all PSII reaction centers

are closed and the maximum fluorescence (F_m) is observed ¹⁶. Therefore, to compare the O-J-I-P response to drought stress among clusters, the measured fluorescence response was converted to ΔF and normalized (Equations 1 and 2).

$$\Delta F(t) = F(t) - F(t-1) \quad (1)$$

$$\Delta F = \Delta F(t) / \Delta F_{\max} \quad (2)$$

where $F(t)$ is the fluorescence intensity measured at time t

Data Analysis

All the data analyses in this study were performed using R Studio ³⁴. Hierarchical clustering analysis based on the RGB image indices and the JIP-Test parameters was performed using the 'cluster' package in R. The similarity between species was visualized through a dendrogram. To analyze the correlation between clusters and the drought stress characteristics, the mean value for each cluster was calculated from the RGB image indices (BGI, GLI, NGRDI) collected for each species to evaluate the drought stress response. Pearson's correlation analysis was used to analyze the relationship between the soil moisture content and the indices. In addition, principal component analysis (PCA) was performed to distinguish between normal moisture conditions ($\geq 25\%$) and drought stress conditions ($< 25\%$).

SVM Model Construction

Based on the above analytical results, we developed an SVM model to classify garden plants according to their drought stress characteristics using RGB image indices. The SVM is a supervised machine learning algorithm that effectively handles both linear and nonlinear data ²⁴. In this study, the input variables for the SVM model included BGI, GLI, NGRDI, and soil moisture content. From the initially collected 6,000 RGB images, 1,629 high-quality samples were selected through preprocessing, which involved averaging three replicates per condition and removing outliers based on image clarity and index consistency. To address class imbalance, an additional 291 samples were generated through synthetic augmentation of minority classes, resulting in a total of 1,920 samples used for model development.

To address the class imbalance and to improve model performance, the Synthetic Minority Over-sampling Technique (SMOTE) was applied to generate new synthetic samples based on the k -nearest neighbors ($k = 5$) of each minority class observation. Data augmentation was conducted using the 'smotefamily' package in R with the duplicate_size parameter set to 0 to automatically balance class sizes.

The dataset was randomly divided into training (70%) and test (30%) sets. The training set was used for model development, while the test set was used to evaluate model performance. A linear kernel was employed for the SVM model, and a grid search was conducted to identify the optimal regularization parameter (C). To prevent overfitting and to assess the model's generalization capability, 5-fold cross-validation was performed during the parameter tuning and evaluation process. Prior to training, all the input features were standardized to ensure that each variable had a comparable distribution that improved model stability and performance (Table 3).

Table 3
SVM parameter settings

Parameter	Tested value	Selected value
Kernel	Linear, Radial, Polynomial	Linear
C	0.01, 0.1, 1, 10, 100	1.0
Cross-Validation	5	5
Feature Scaling	Z-score normalization	Z-score normalization applied to all input variables (RGB indices and moisture)

We assessed model performance using multiple evaluation metrics, including Log loss, Area Under the Curve (AUC), Precision-Recall Area Under the Curve (PR AUC), Accuracy, Kappa, mean F1 score, mean sensitivity, mean specificity, mean precision, and mean balanced accuracy (Table 4) ³⁹. These metrics were chosen to evaluate not only the overall classification performance but also the balance between sensitivity and specificity as well as prediction accuracy. To visualize classification performance by cluster, a confusion matrix was displayed as a heatmap to identify any potential errors in classification patterns between the predicted results and the actual cluster labels.

Table 4
Model performance evaluation metrics

Measure	Formula	Evaluation focus
Accuracy	$(tp + tn) / (tp + tn + fp + fn)$	Overall effectiveness of a classifier
Precision	$tp / (tp + fp)$	Class agreement of the data labels with the positive labels given by the classifier
Recall (Sensitivity)	$tp / (tp + fn)$	Effectiveness of a classifier to identify positive labels
F β Score	$(\beta^2 + 1)tp / (\beta^2 + 1)tp + \beta^2 fn + fp$	Relation between the data's positive labels and those assigned by a classifier
Specificity	$tn / fp + tn$	Effectiveness of a classifier to identify negative labels
AUC	$\frac{1}{2} \{ [tp / (tp + fn)] + [tn / (tn + fp)] \}$	Classifier's ability to avoid false classification

tp (True Positives): The number of correctly classified examples that belong to the class, tn (True Negatives): The number of correctly classified examples that do not belong to the class, fp (False Positives): The number of examples incorrectly classified as belonging to the class, fn (False Negatives): The number of examples that belong to the class but were incorrectly classified to another class, β : A parameter that adjusts the importance of recall relative to precision in the F β score.

Results and Discussion

Environmental Monitoring in the Greenhouse

After transplanting three replicates of ten species of garden plants, the temperature inside the greenhouse were monitored at 1-hour intervals to assess environmental conditions. The average daily temperature ranged from a minimum of 22.3°C (28 days after transplanting - DAT) to a maximum of 39.9°C (6 DAT), with an overall average of 31.6°C during the cultivation period (Fig. 3a).

Similarly, the soil moisture content was monitored hourly following transplantation. During the stabilization period (0 to 20 DAT), the average daily soil moisture content was 29.0%. When irrigation was paused, the soil moisture content decreased rapidly over time (Fig. 3b).

Hierarchical Cluster Analysis

To distinguish plant response patterns according to soil moisture content, hierarchical cluster analysis was performed using RGB image indices and chlorophyll fluorescence parameters. The BGI, GLI, and NGRDI values from the RGB images were calculated for each plant, and 16 parameters—including Fv/Fm—were derived from the chlorophyll fluorescence response using the JIP-Test. A total of 19 indices were used to generate a dendrogram to visualize species similarity and to enable their classification into three major clusters. Cluster 1 included four species (*Polygonatum odoratum*, *Pachysandra terminalis*, *Hosta plantaginea*, *Carex maculata*); Cluster 2 consisted of five species (*Aster spathulifolius*, *Phedimus takesimensis*, *Iris sanguinea*, *Lilium lancifolium*, *Allium dumebuchum*); and Cluster 3 comprised a single species (*Mukdenia rossii*) with distinct characteristics (Fig. 4).

Analysis of Chlorophyll Fluorescence Response

Each stage of a transition in chlorophyll fluorescence generally corresponds to specific processes at the photosystem II (PSII) reaction center: reduction of Q_A (O-J transition), reduction of reoxidation of Q_A (J-I transition), and rapid reduction of the plastoquinone (PQ) pool (I-P transition)^{13,40,41}. Water deficits in plants are known to reduce photosynthetic efficiency by causing the inactivation of the photosynthetic regulatory enzyme Rubisco (ribulose-1,5-bisphosphate carboxylase/oxygenase) as well as photoinhibition damage⁴². To accurately compare temporal changes in the chlorophyll fluorescence response, the instantaneous change in fluorescence intensity (ΔF) was normalized to the total maximum change (ΔF_{max}) for the relative analysis of response differences between clusters (Fig. 5). Across all clusters, the highest fluorescence intensity during the O-J-I-P phases was observed at 31.0% soil moisture content, with fluorescence intensity significantly decreasing with the decline in moisture content. Notably, Cluster 1 exhibited the most rapid decline in ΔF during the J-I transition, which suggests severe inhibition of the Q_A^- reoxidation process in PSII. This indicates a sensitive response to drought stress at an early stage of the electron transport chain.

Therefore, the JIP-Test was conducted to analyze energy flux changes caused by drought stress (Table 5). In general, the energy flow in plants begins when chlorophyll absorbs light energy that is transferred through the antenna complex and the oxygen-evolving complex (OEC) to excite the reaction center complex. The excited reaction center releases electrons to pheophytin and reduces plastoquinone A, the primary electron acceptor. Plastoquinone A is oxidized when the electrons are transferred to plastoquinone B. When plastoquinone B accepts protons from the stroma, it is reduced to plastoquinol that then facilitates electron transport to plastocyanin through the cytochrome b6f complex and undergoes another reduction cycle. During this process, protons are pumped into the thylakoid lumen, contributing to the proton gradient required for ATP synthesis. The generated proton motive force is then used to synthesize ATP and NADPH, which are essential for the Calvin cycle^{13,43}.

In our study, all clusters exhibited a significant decrease in ABS/CS (absorbed light energy per unit cross-section) and TRo/CS (excitation energy trapped by PSII reaction centers per unit cross-section) with the decrease in soil moisture content ($p < 0.001$)^{44,45}. Additionally, there was a decrease in the ET2o/CS and RE1o/CS values, suggesting a reduction in the captured electron transport energy toward PSII and photosystem I (PSI), respectively, ($p < 0.001$). There was also a decline in key parameters, such as Fv/Fm (maximum quantum yield of primary PSII photochemistry) and PI_ABS (Performance index on an absorption basis), which serve as indicators of drought stress and suggest impaired functionality of the PSII. This impairment likely resulted from an inhibited electron transport and an inactivated oxygen-evolving complex (OEC), caused by a reduced reoxidation rate of Q_A^- ^{16,46–53}. The RC/CS (reaction center/cross section) ratio also decreased, possibly due to an increase in non-functional reaction centers. As drought stress progressed, the production of reactive oxygen species (ROS) increased due to OEC malfunction, which subsequently blocked the early steps of the electron transport chain ($p < 0.001$).

^{54,55}. Inactivation of the OEC was interpreted as a disruption to the water-splitting process. This prevents the supply of electrons to the reaction centers and ultimately leads to the loss of energy capture and electron transport functionality ⁴³. These effects were particularly pronounced when the soil moisture content was 7.4%. Among the three clusters, Cluster 1 showed the most pronounced reduction.

Table 5 Analysis of the Effect of Soil Moisture Levels on JIP-Test Parameters Classified by Cluster. Asterisks denote statistically significant differences (DMRT): ^{ns} not significant, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ ³⁸

Cluster	Index	Soil Moisture Content				
		31.0%	21.5%	7.4%	6.1%	4.6%
Cluster 1	Fv/Fm*	0.805 ^a	0.751 ^a	0.732 ^a	0.598 ^a	0.045 ^b
	ABS/RC ^{ns}	1.838	2.017	2.104	2.626	849.331
	RC/ABS*	0.548 ^a	0.514 ^a	0.481 ^a	0.42 ^a	0.047 ^b
	TRo/RC ^{ns}	1.479	1.501	1.534	1.472	2.035
	Dlo/RC ^{ns}	0.359	0.516	0.57	1.154	847.296
	ET2o/RC ^{ns}	0.848	0.935	0.858	0.623	1.957
	RE1o/RC ^{ns}	0.336	0.412	0.362	0.362	-0.158
	PI_ABS**	3.064 ^a	3.012 ^a	1.771 ^{ab}	0.972 ^b	-0.006 ^b
	PI_total ABS*	1.987 ^{ab}	2.654 ^a	1.279 ^{ab}	0.655 ^{ab}	-0.001 ^b
	DF total ABS ^{ns}	0.295	0.317	0.093	0.04	-4.896
	RC/CS***	19399.877 ^a	13417.866 ^{ab}	12058.819 ^{bc}	6174.616 ^{cd}	263.24 ^d
	ABS/CS***	35427.25 ^a	26387.067 ^a	24926.908 ^{ab}	14387.756 ^{bc}	4164.111 ^c
	TRo/CS***	28515.995 ^a	19781.802 ^{ab}	18274.789 ^b	8727.913 ^c	273.876 ^c
	ET2o/CS***	16351.962 ^a	12336.953 ^{ab}	10234.862 ^b	4269.451 ^c	-119.092 ^c
	Dlo/CS ^{ns}	6911.255	6605.265	6652.119	5659.842	3890.235
	RE1o/CS***	6414.394 ^a	5460.768 ^a	4313.223 ^{ab}	2167.861 ^{bc}	7.125 ^c
Cluster 2	Fv/Fm***	0.828 ^a	0.76 ^{ab}	0.701 ^{bc}	0.616 ^{cd}	0.567 ^d
	ABS/RC**	1.706 ^b	2.045 ^{ab}	2.368 ^{ab}	2.616 ^a	2.776 ^a
	RC/ABS***	0.589 ^a	0.493 ^{ab}	0.432 ^b	0.415 ^b	0.393 ^b
	TRo/RC ^{ns}	1.412	1.552	1.651	1.548	1.498
	Dlo/RC**	0.294 ^c	0.492 ^{bc}	0.717 ^{abc}	1.069 ^{ab}	1.278 ^a
	ET2o/RC ^{ns}	0.965	1.045	1.028	0.966	0.912
	RE1o/RC***	0.357 ^b	0.473 ^a	0.449 ^a	0.511 ^a	0.529 ^a
	PI_ABS***	6.364 ^a	3.4 ^b	2.113 ^b	1.813 ^b	1.454 ^b
	PI_total ABS*	3.774 ^a	2.74 ^{ab}	1.635 ^{ab}	2.036 ^{ab}	2.146 ^b
	DF total ABS*	0.555 ^a	0.428 ^a	0.135 ^a	0.129 ^a	0.131 ^a
	RC/CS***	22343.366 ^a	13743.993 ^b	10239.235 ^b	5747.498 ^c	3818.848 ^c
	ABS/CS***	37992 ^a	27883.963 ^b	23978.8 ^b	13941.617 ^c	9709.375 ^c

	TRo/CS***	31463.725 ^a	21186.685 ^b	16828.969 ^b	8581.776 ^c	5574.595 ^c
	ET2o/CS***	21598.897 ^a	14328.967 ^b	10625.787 ^b	5610.952 ^c	3620.742 ^c
	Dlo/CS ^{ns}	6528.275	6697.278	7149.831	5359.841	4134.78
	RE1o/CS***	8015.411 ^a	6420.501 ^a	4561.135 ^b	2926.967 ^{bc}	2049.883 ^c
Cluster 3	Fv/Fm***	0.825 ^a	0.774 ^{ab}	0.725 ^{bc}	0.656 ^{cd}	0.59 ^d
	ABS/RC**	2.04 ^c	2.167 ^{bc}	2.402 ^{abc}	2.474 ^{ab}	2.736 ^a
	RC/ABS***	0.49 ^a	0.462 ^{ab}	0.416 ^{bc}	0.404 ^c	0.365 ^c
	TRo/RC ^{ns}	1.683	1.677	1.741	1.622	1.613
	Dlo/RC***	0.357 ^c	0.49 ^c	0.66 ^{bc}	0.852 ^{ab}	1.123 ^a
	ET2o/RC***	0.89 ^b	0.968 ^a	0.888 ^{bc}	0.811 ^c	0.828 ^{bc}
	RE1o/RC ^{ns}	0.409	0.537	0.474	0.485	0.509
	PI_ABS***	2.593 ^a	2.159 ^a	1.143 ^b	0.77 ^{bc}	0.554 ^c
	PI_total ABS***	2.205 ^a	2.685 ^a	1.306 ^b	1.147 ^b	0.882 ^b
	DF total ABS***	0.343 ^a	0.429 ^a	0.116 ^b	0.059 ^b	-0.054 ^b
	RC/CS***	21083.539 ^a	15607.223 ^b	12438.747 ^c	8337.357 ^d	6101.624 ^d
	ABS/CS***	43004.25 ^a	33816.667 ^b	29872.833 ^b	20623.5 ^c	16694.333 ^c
	TRo/CS***	35478.506 ^a	26174.1 ^b	21657.804 ^b	13522.142 ^c	9844.092 ^c
	ET2o/CS***	18759.26 ^a	15111.18 ^b	11045.48 ^c	6763.324 ^d	5053.301 ^d
	Dlo/CS ^{ns}	7525.744	7642.567	8215.029	7101.359	6850.241
	RE1o/CS***	8621.277 ^a	8375.712 ^a	5890.923 ^b	4045.374 ^c	3104.17 ^c

Fv/Fm: Maximum quantum yield of primary PSII photochemistry, ABS/RC: Absorption flux per RC, RC/ABS: Number of Q_A reducing RCs per PSII antenna Chl, TRo/RC: Trapped energy flux per RC (at $t = 0$), Dlo/RC: Dissipated energy flux per RC (at $t = 0$), ET2o/RC: Electron transport flux from Q_A to Q_B per RC (at $t = 0$), RE1o/RC: Electron transport flux until PSI acceptors per RC (at $t = 0$), PI_ABS: Performance index for energy conservation from photons absorbed by PSII antenna to the reduction of Q_B , PI_total ABS: Performance index for energy conservation from photons absorbed by PSII antenna, until the reduction of PSI acceptors, DF total ABS: Driving force on absorption basis, RC/CS: Density of RCs (Q_A -reducing PSII reaction centers), ABS/CS: Absorption flux per CS 'x' can be 'Chl', 0 or 'M', TRo/CS: Trapped energy flux per CS (at $t = 0$), ET2o/CS: Electron transport flux per CS (at $t = 0$), Dlo/CS: Dissipated energy flux per CS (at $t = 0$), RE1o/CS: Electron transport flux until PSI acceptors per CS (at $t = 0$).

RGB Image Analysis

In general, healthy plant leaves are known to reflect green (G) and near-infrared (NIR) light, while absorbing blue (B) and red (R) light ²⁹. Based on these optical properties, higher vegetation index values are associated with better plant growth and health status ^{22,23,30}. In our study, we observed a decrease in both GLI and NGRDI across all clusters as the soil dried with a

particularly sharp decline when soil moisture content dropped below 10% (Fig. 6a, 6b). Correlation analysis further confirmed that GLI and NGRDI were significantly correlated with soil moisture content in all the clusters ($p < 0.001$) (Fig. 7). Notably, NGRDI exhibited the strongest correlation ($r > 0.9$) with soil moisture. This was consistent with previous findings suggesting that NGRDI is one of the most efficient indicators to estimate crop water use efficiency (WUE) among various vegetation indices^{22,29,56}. In contrast, BGI did not show a consistent trend with changes in soil moisture content, and its correlation with moisture was not statistically significant in most clusters (Fig. 6c, Fig. 7).

Water-stressed plants exhibit reduced chlorophyll concentration, leading to decreased light absorption and alterations in spectral reflectance patterns^{57,58}. In particular, water deficit causes a decline in total chlorophyll and chlorophyll a content, both of which are strongly associated with absorption in the red wavelength region. Red light absorption tends to decrease as chlorophyll degrades or photosynthetic capacity declines, while red reflectance increases⁵⁹. Consequently, red reflectance has been widely used as a key indicator to evaluate plant water stress. The vegetation indices analyzed in this study—GLI and NGRDI—are also derived using red wavelength information^{60–62}. Therefore, they can serve as effective indicators to detect water stress in plants. In contrast, the blue wavelength is primarily absorbed by chlorophyll b. The changes in blue reflectance under water stress conditions are generally less pronounced than those observed in the red region⁶³. There was limited sensitivity of BGI, which is based on blue reflectance, to water stress in our study. This was interpreted as minimal direct correlation of BGI with drought response.

Establishment of an SVM model to classify drought stress characteristics of garden plants

To investigate the correlation of drought stress characteristics of different clusters, principal component analysis (PCA) was conducted for six different soil moisture conditions. These conditions were categorized into normal moisture ($\geq 25\%$) and drought stress ($< 25\%$) groups, based on the analysis of chlorophyll fluorescence response and RGB image indices.

Principal component 1 (PC1) accounted for 67.7% of the total variance and exhibited high negative loadings with soil moisture content (-0.544), GLI (-0.587), and NGRDI (-0.572). Principal component 2 (PC2) explained an additional 27.5% of the variance and showed a strong positive loading with BGI (0.907). Together, PC1 and PC2 explained 95.2% of the total variance and highlighted the primary axes of variation. These results indicate that soil moisture, GLI, NGRDI, and BGI significantly contribute to distinguish drought stress characteristics among clusters (Table 6). In the distribution of garden plant clusters by soil moisture content, drought-stressed (right side) and well-watered plants (left side) were clearly separated along PC1. This confirms that GLI and NGRDI are effective indicators to diagnose drought stress. Meanwhile, PC2 revealed inter-cluster differences. This suggests that BGI may be useful to differentiate between clusters (Fig. 8).

Table 6
Loadings of Variables on Principal Components 1 and 2 in PCA Analysis

PC1				PC2			
Index	Positive	Index	Negative	Index	Positive	Index	Negative
BGI	0.181	GLI	-0.587	BGI	0.907	GLI	-0.209
		NGRDI	-0.572	Moisture	0.277		
		Moisture	-0.544	NGRDI	0.238		

The PCA results were utilized to classify the clusters by constructing an SVM model. The SVM model, developed using RGB image indices (GLI, NGRDI, and BGI), achieved an accuracy of 88.3% and an F1 score of 0.85 through cross-validation (Table 7). The confusion matrix visualized as a heatmap clearly distinguished the clusters (Fig. 9). These results indicate that the SVM model was effective to classify drought stress characteristics of garden plants, suggesting that RGB image data can serve as a valuable metric to classify stress.

Table 7
5-Fold Cross-Validation Performance Metrics of the SVM Model

Metric	5-Fold CV Mean	Metric	5-Fold CV Mean
Log Loss	0.301	F1 Score	0.849
AUC (Area Under the Curve)	0.966	Sensitivity	0.843
PR AUC (Precision-Recall AUC)	0.906	Specificity	0.942
Accuracy	0.883	Precision	0.858
Kappa	0.812	Balanced Accuracy	0.892

Conclusions

Our study presents a method to effectively classify diverse garden plant species based on their drought stress response characteristics. Using 10 species commonly co-planted in urban gardens, we integrated RGB image indices and chlorophyll fluorescence response data and developed a machine learning-based Support Vector Machine (SVM) model. Our data analysis revealed that the photosynthetic efficiency was reduced when drought stress inhibited the Q_A^- reoxidation process in PSII, disrupted electron transport, deactivated the oxygen-evolving complex (OEC), and increased red light reflectance.

Plants were grouped, using hierarchical clustering, into three clusters based on their drought response. Principal component analysis (PCA) helped to identify major sources of variation. The GLI and the NGRDI exhibited strong positive correlations with soil moisture content and served as reliable indicators of plant water status and growth conditions. The BGI was useful to distinguish subtle inter-cluster differences. The SVM model, trained using GLI, NGRDI, BGI, and soil moisture content, achieved high classification performance with 88.3% accuracy and an F1 score of 0.85 through five-fold cross-validation.

These findings suggest that drought stress responses in complex planting environments can be effectively classified by integrating non-destructive image analysis with machine learning. However, its application in diverse field conditions may be constrained as our study was limited to just 10 species in a controlled greenhouse setting. We also did not take into full consideration the temporal dynamics of stress and recovery. Hence, RGB-based indices may be limited in accurately capturing physiological changes.

Future studies should involve repeated trials in open-field environments, include diverse vegetation types, and enhance the quality of the models using multispectral or hyperspectral data. When such efforts are integrated with advanced machine learning algorithms, they have the potential to contribute to the development of precision irrigation strategies and adaptive planting management systems.

Declarations

Data availability

The datasets are provided within the manuscript or supplementary information files.

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Author contributions

S.J. conceptualized the study, developed the methodology, implemented the software, performed the investigation, curated the data, visualized the results, and wrote the original draft. S.J., S.W., and S.Y. validated the data. S.W. and S.Y. reviewed and

edited the manuscript. S.Y. and T.W. supervised the project and provided critical feedback. S.Y. administered the project and acquired the funding. All authors read and approved the final version of the manuscript.

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Competing interests

The authors declare no competing interests.

Additional information

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Figures

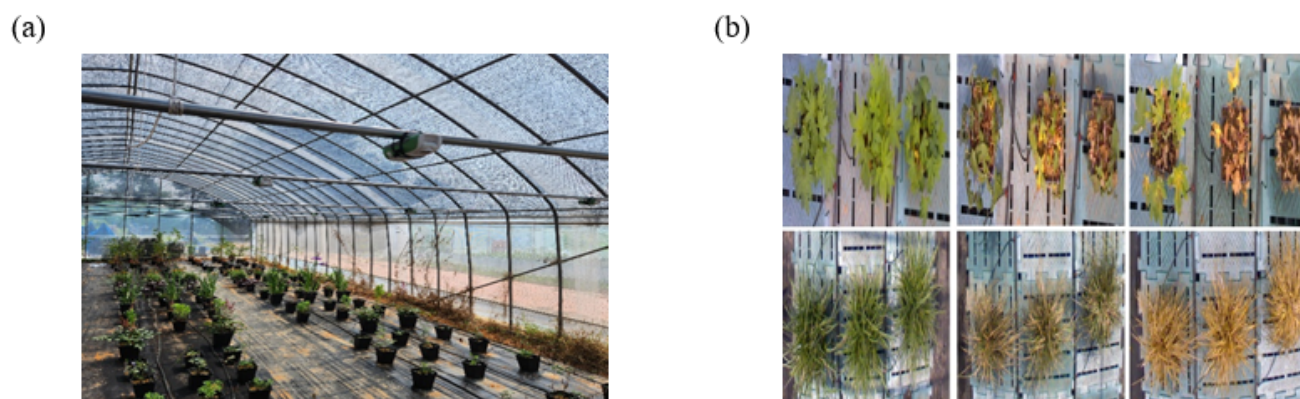


Figure 1

Field setup and collection of RGB images: (a) Field plot layout, (b) Examples of RGB images captured by a time-lapse camera

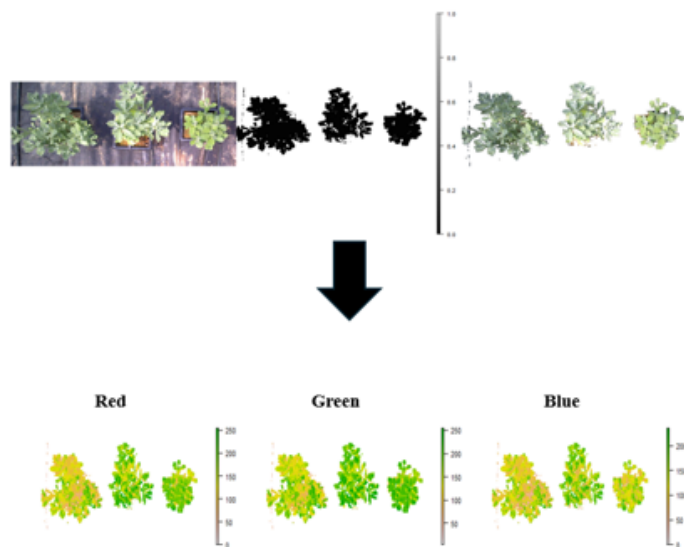


Figure 2

Extraction of plant mask from RGB images: Isolation of the plant mask (area of interest) from the total mask (including the background area) and retrieval of the band values (Blue = R_{445} , Green = R_{545} , Red = R_{650})

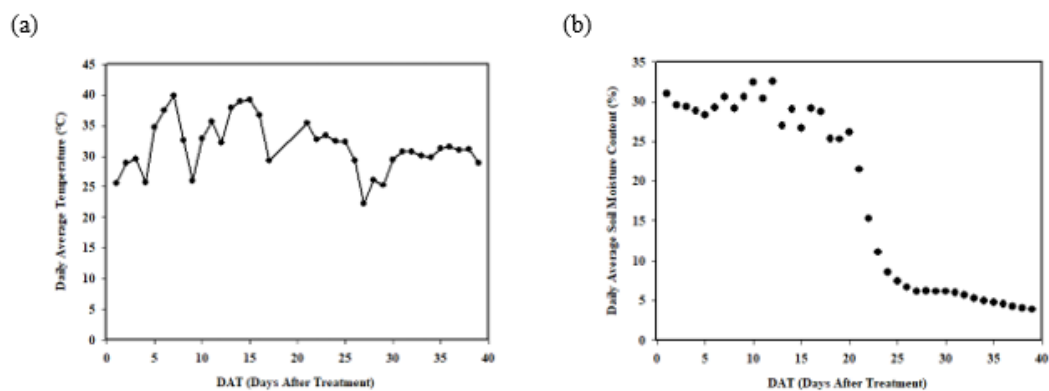


Figure 3

Microclimatic conditions and soil moisture content in the greenhouse after transplantation: (a) Daily average temperature (°C), (b) Daily average soil moisture content (%)

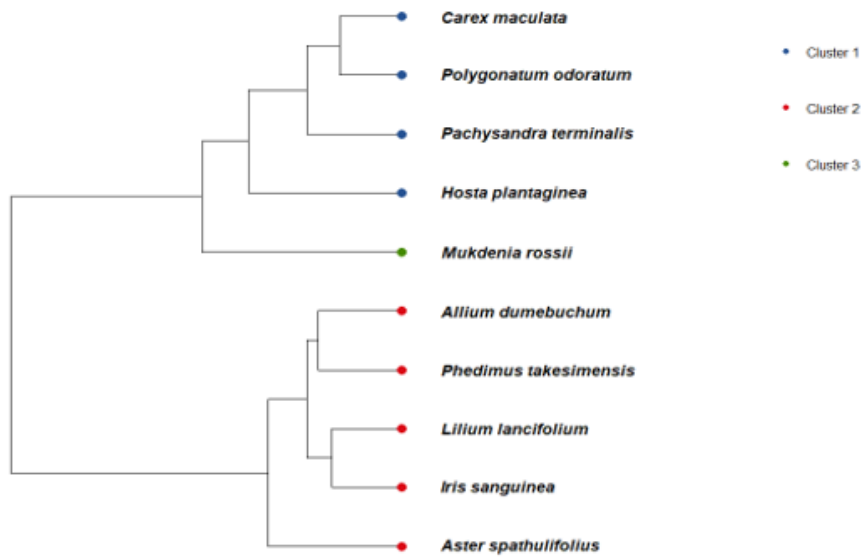


Figure 4

Dendrogram illustrating the clustering of plant species based on BGI, GLI, NGRDI Values and chlorophyll fluorescence parameters

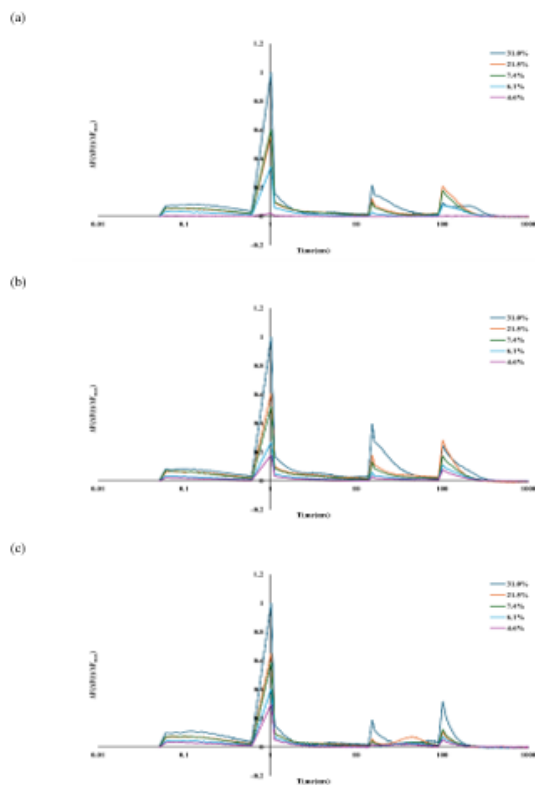


Figure 5

Comparison of Chlorophyll Fluorescence Response Across Soil Moisture Conditions by Cluster: (a) Cluster 1, (b) Cluster 2, and (c) Cluster 3

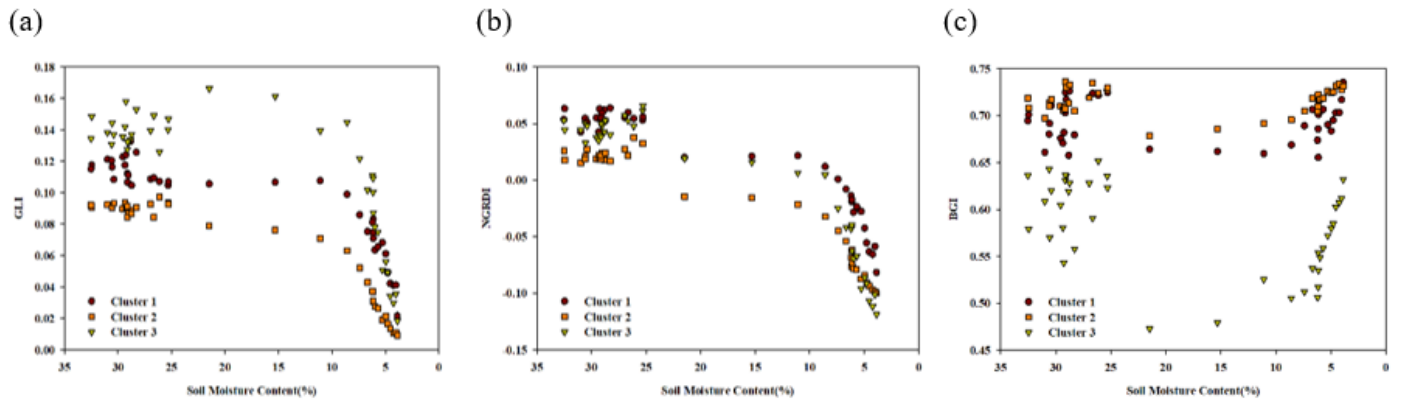


Figure 6

Changes in RGB Image Indices Across Clusters in Response to Soil Moisture Content: (a) GLI, (b) NGRDI, (c) BGI

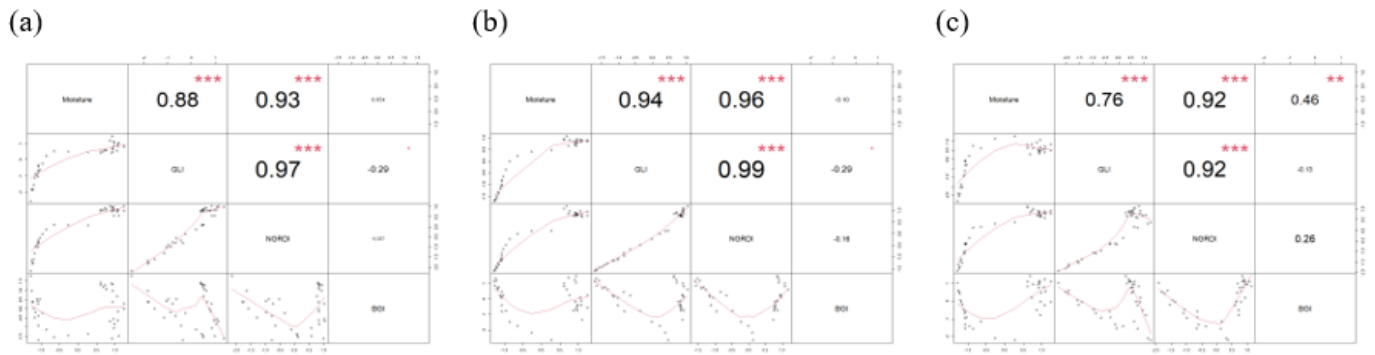


Figure 7

Pearson Correlation Analysis Between Soil Moisture Content and RGB Image Indices Across Clusters: (a) Cluster 1, (b) Cluster 2, (c) Cluster 3. Asterisks denote statistically significant differences: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

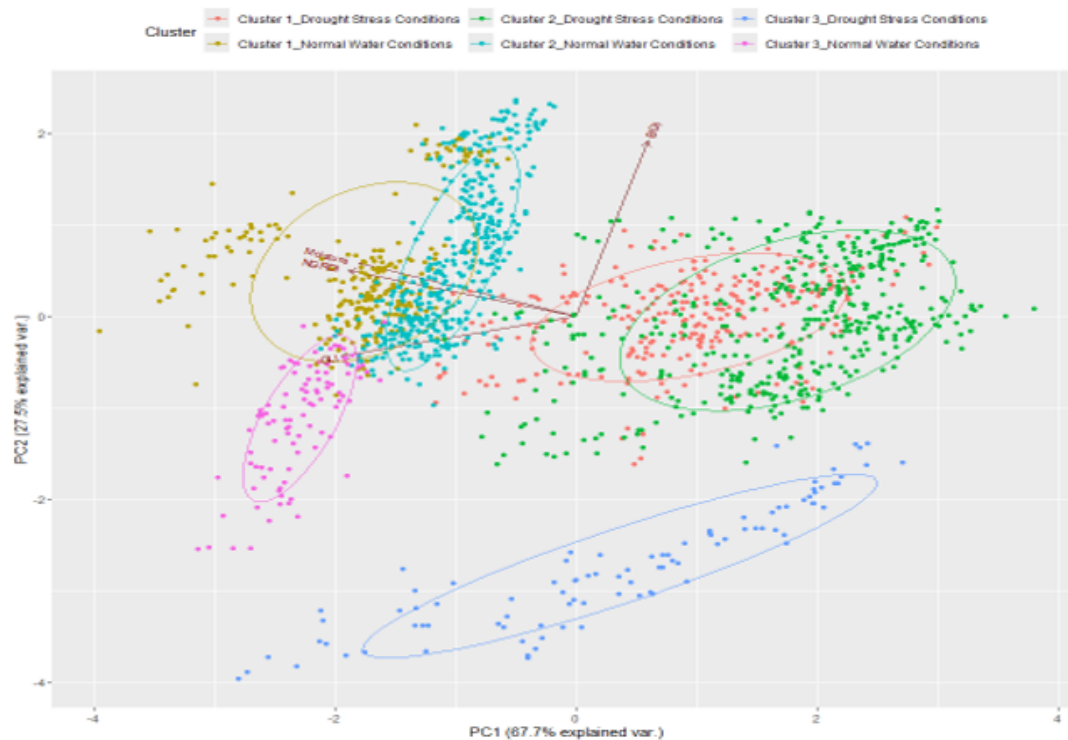


Figure 8

Principal Component Analysis (PCA) of Plant Response To Drought and Normal Water Conditions Across Clusters

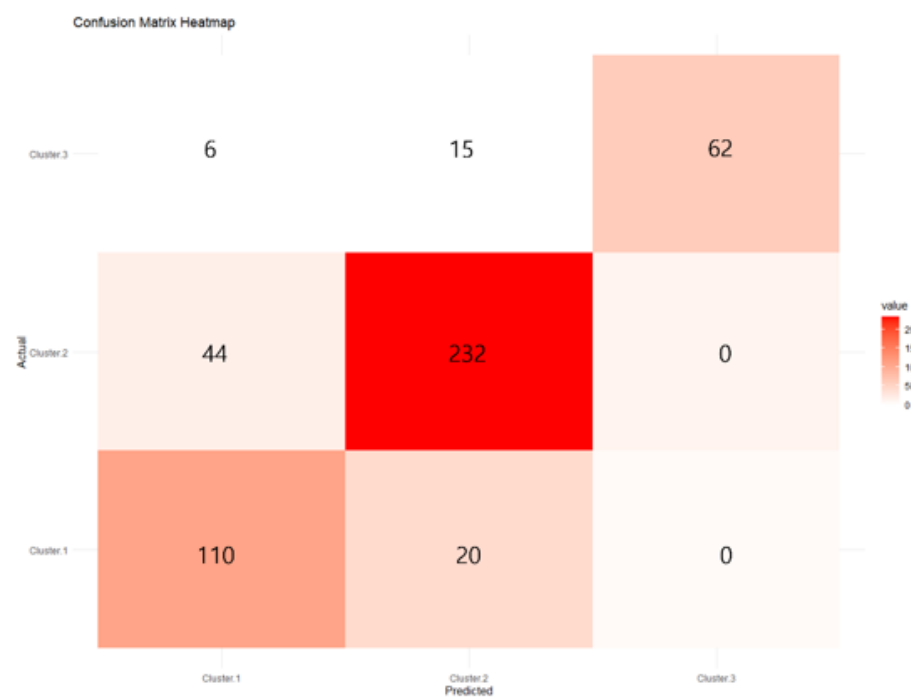


Figure 9

Confusion Matrix Visualization of SVM Model Performance

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementarymaterialRGBImagebaseddroughtstressclassificationofgardenplantsusingSVMmodelScientificReports.xlsx](#)