

1 Supporting Information 1

2 SHERPA = Soil Health Evaluation, Rating Protocol and Assessment

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24 **General aim of SHERPA:**

25 The aim of SHERPA is to develop a soil health determination key at European scale. Here, the general
26 framework is described, where we seek to be adaptable for refinement at local and regional scales.
27 Considering this, the key is suitable for digital mapping, to be programmed in the open source R
28 Software platform. We plan to keep the structure open (e.g., in a sense of non-final, like the philosophy
29 of R itself) for later additions, corrections, extensions in areas or knowledge of area specifics,
30 improvements, and sharing with other collaborators.

31 The Intergovernmental Technical Panel on Soils (ITPS) defines soil health as *“the ability of the soil to*
32 *sustain the productivity, diversity, and environmental services of terrestrial ecosystems”* (FAO, 2024).
33 SHERPA is based on a tangible definition of soil health in a pragmatic way for future mapping. Our
34 working definition of soil health for SHERPA is that a soil is healthy if its natural functions in relation to
35 its land use type are not subject to degradation in any significant way. This definition enables an
36 appropriate consideration of the diversity of soils. As we cannot always differentiate if a soil is degraded
37 by natural hazards or human impact, the cause of disturbance might sometimes be natural hazards. For
38 instance, a landslide in alpine grasslands might be triggered by an avalanche. Whether or not this
39 avalanche is originally triggered by natural hazards, or human-induced due to prior vegetation damage
40 or by climate change will not be assessed with this key.

41 We follow the latest version of the World Reference Base (WRB) for the definition of all parameters in
42 Soil Resources, 4th edition, 2022 (WRB, 2022) with the following exceptions: Regarding soil structure,
43 every aggregate formation with size > 50 mm was attributed to cloddy assuming that this size of
44 aggregates would always indicate low soil health in Europe regardless of exact aggregate form.
45 However, as ploughing will always result in larger aggregate sizes, the latter should be assessed at least
46 eight weeks after ploughing to allow for potential regeneration.

47 **Structure of SHERPA**

48 The aim is to keep the SHERPA key as parsimonious as possible, suitable for large scale monitoring.
49 Increasing the number of indicators will not only increase collinearity as well as the complexity of the
50 relationships between indicators and management options, but will also result in increased costs of
51 monitoring (Bünemann et al., 2018). We propose a simple key, with the chosen parameters being
52 reduced to the feasible minimum programmed in the open source R Software platform. We plan to keep
53 the structure open (e.g., in a sense of non-final, like the philosophy of R itself) for later additions,
54 corrections, extensions in areas or knowledge of area specifics, improvements, and sharing with other
55 collaborators. The logic of the key follows in **Part 1** a “*ruling out*” principle: the assessment of potential
56 degradation factors will lead to negative soil health scores, to be subtracted from the general soil health
57 index to be assessed in **Part 2 (Figure 1, main manuscript)**. The second Part 2 of the SHERPA follows
58 basic soil science criteria to define soil health.

59 *Part 1 soil health assessment*

60 For Part1, we consider the following main soil degradation processes dependent on relevance and data
61 availability for the three land-use types (Table S1): soil erosion (as the sum of wind, water, harvest and
62 tillage for arable soils (Borrelli et al., 2023) and water erosion only for grasslands and forests), land
63 sliding, heavy metal contamination and nitrogen surplus. Phosphorus surplus, pesticide input,
64 salinization, compaction and soil organic carbon loss were considered in arable soils and grasslands,
65 while phosphorus mining was only considered in arable soils (for all scientific background as well as
66 justification of score assignment please see below). Each of the degradation factors will be assigned a
67 negative score from 0 (no degradation) to -9 (worst degradation influence). For data sources and spatial
68 resolution see Supporting Information II, Table S2).

69 *Part 2 soil health assessment*

70 Regarding the intrinsic health of a soil, it has been argued that it will be challenging to find natural soils
71 that can act as a reference, especially for healthy agricultural soils and that the challenge for soil laws
72 will be how to develop gold standards for healthy soils (van der Putten et al., 2023). We argue that soil
73 scientists know the basic soil properties that indicate healthy soils under specific land use conditions and
74 environmental settings, and we followed these criteria to define intrinsic soil health in Part2.

75 The intrinsic health of a soil is assessed with a positive scoring between 1 (low soil health) to 10 (healthy
76 soil). SHERPA will classify forest soils according to their Köppen-Geiger climate class (Beck et al., 2018;
77 Peel et al., 2007), geology considering mineralogical nutrient and buffer capacity, altitude, humus layer
78 structure and signs and spatial extent of humus layer disturbance (see below). Grasslands are separated
79 into permanent and non-permanent grasslands, where the latter will be assessed together with arable
80 soils, orchards and vineyards. Permanent grasslands are classified by their fractional vegetation cover,

81 assuming that a closed vegetation cover will indicate soil health in grasslands considering the soil
82 degradation factors assessed and subtracted in Part1. Arable soils, orchards, vineyards and non-
83 permanent grasslands are classified according to their extent of vegetation cover throughout the year
84 (e.g., cover crops, mulching, plant residue cover, intermittent crops), soil structure and the type of
85 fertilizer used (as the use of organic over mineral fertilizer use has been shown to significantly enhance
86 soil health, see section 2.3 below for discussion). For wetlands, drainage and vegetation cover would be
87 the main indicators (please note that even though we are currently developing a framework for wetland
88 assessment and mapping, assessing wetlands were beyond the scope of this current manuscript).

89 An example calculation of the SHERPA would be a beech forest with humus form mull and granular soil
90 structure, but high atmospheric nitrogen deposition. This might be assigned a soil health index of 8-10 in
91 Part 2; however, the humus form might have been influenced by surplus nitrogen deposition, thus
92 simulating a better humus form than what would naturally be there. Therefore, negative scores will be
93 assigned to be subtracted from the basic soil health scores assigned in Part 2 to reflect the human
94 disturbance of this system due to nitrogen deposition. Please note that following the concept of Part 1,
95 a soil health index of 1 is not the lowest that can be assigned in SHERPA. If multiple degradation
96 processes are co-existing (e.g., soil erosion rate of $12 \text{ t ha}^{-1} \text{ yr}^{-1}$ (subtraction of 8 scores) and a copper
97 concentration of 80 mg kg^{-1} (subtraction of 6 scores), the assigned soil health index will result in a
98 negative value.

99 As we cannot always differentiate if a soil is degraded by natural hazards or human impact, the cause of
100 disturbance might sometimes be natural hazards. For instance, a landslide in alpine grasslands might be
101 triggered by an avalanche. Whether or not this avalanche is originally triggered by natural hazards, or
102 human induced due to prior vegetation damage or by climate change will not be assessed with this key.

103

104 **Justification of the soil health definition used and separating soil health from soil quality**

105 Many reviews do not differentiate clearly between soil quality and soil health or even consider the
106 terms equivalent (Bünemann et al., 2018; Doran and Parkin, 1994). We suggest to follow the concept
107 that different from soil quality, which is largely chemical in focus and mostly used to characterize the
108 status of soil to sustain crop productivity, soil health is a more holistic concept (Lehmann et al., 2020). It
109 is based on the recognition of the ecosystem services that soils provide. Soil quality refers to the
110 capacity of soil to function for a specific use and generally assesses soil condition in regard to this use
111 using indicators. Soil health is a broader more dynamic concept that embodies the soil as a living system
112 and hence it has a heavy emphasis of the soil as a living entity with the vitality of that ecosystem
113 assessed through emergent characteristics. With that said, we would like to point out that an in-depth
114 discussion of soil quality, soil health and related definitions is found in (Bünemann et al., 2018) and is
115 beyond the scope of what we aim at here. However, not clearly separating the concept of soil quality
116 from soil health resulted in heavy criticism of the combined concept, claiming it would transform soil
117 science into a value system, with being biased towards certain soil types and limited number of annual
118 crops that provide cheap food (Letey et al., 2003; Sojka and Upchurch, 1999; Sojka et al., 2003
119 discussed in (Bünemann et al., 2018)). Other soil scientists recommended a broad program of
120 research for soil health to identify dynamic emergent properties, with resilience being especially
121 considered (Harris et al., 2022). (Lehmann et al., 2020) suggests that soil health could become an
122 established scientific field to which many disciplines can contribute, for example, by listing their specific

123 discipline's research also under the keyword 'soil health'. Some of such recent assessments of soil health
124 concepts and approaches conclude that continuous research, education, and outreach efforts are
125 warranted to promote localized development, adoption, and implementation of soil health assessment
126 and management (Guo, 2021; Lehmann et al., 2020). (Lehmann et al., 2020) even recommend that soil
127 scientists should embrace soil health as an overarching principle to which to contribute knowledge that
128 contributes to sustainability goals rather than only a property to measure. However, the latter would
129 render it impossible to use soil health as an indicator for land management assessment and policy
130 making. We suggest to endeavor emergent soil profile pedological characteristics to assess the expected
131 vitality in SHERPA and combine this with characteristics that are indicative of soil degradation of this
132 state to come to an ultimate health assessment.

133 Reviewing soil health and soil quality approaches and indicators, (Bünemann et al., 2018) concludes that
134 all studies have one conceptual condition that a chosen indicator must be related to a given soil threat,
135 function or ecosystem service and be relevant and that this is not of great use, if soil quality/ soil health
136 assessment is not targeting a specific soil threat, function or ecosystem service. We respond to this, that
137 intrinsic soil properties like soil structure (cropland soils) or humus layer development (forests) are
138 ultimately indicators for the functioning and health of not only soils but whole ecosystems, as they are
139 the result of many internal and external processes, functions and drivers. They are in essence emergent
140 characteristics, on which soil health should likely be assessed.

141 **Part 1: Assessing of soil degradation processes (ruling – out principle)**

142 This part was originally based on the concept previously published and documented in the EUSO Soil
143 Health Dashboard (ESDAC, 2024) but going beyond in terms of parameters considered and developing
144 quantitative assessments. Negative scores will be assigned, if degradation processes are identified, to be
145 subtracted from the intrinsic soil health assessed in Part 2 of this key.

146 The following threats of the EUSO Soil Health dashboard are substantiated **not** considered in Part 1 of
147 SHERPA:

- 148 - loss of soil biodiversity (part 2 of the SHERPA assumes that soil structure and/or organic layer will
149 reflect the state of soil biodiversity),
- 150 - peatland degradation (which will be assessed differently in Part 2.4. but not considered in the current
151 manuscript) and
- 152 - soil consumption/soil sealing as the SHERPA addresses soil health and sealed surfaces cannot be
153 considered soils anymore. Instead it would need a soil loss statistic.

154 In addition to the above-mentioned threats, (and in addition to the soil threats which are considered in
155 the EUSO Soil Health dashboard) landslide density and pesticide application will be considered by
156 SHERPA. Pesticides will be considered in Part 1 for cropland and grassland soils. The contamination with
157 other organic pollution, whether that be from point source or diffuse pollution, is not considered yet
158 and needs to be addressed for future relevance (hydrocarbons such as PAHs, PCBs, PFASs, Per- and
159 polyfluorinated substances, micro- and nano-plastic pollution).

160 **1.1 Soil erosion and land sliding**

161 Soil erosion will be assessed in **Table 1.1.1.** as the sum of cumulative soil erosion rates from water
 162 (Panagos et al., 2020), wind (Borrelli et al., 2017), tillage (Borrelli et al., 2023) and harvest (Borrelli et al.,
 163 2023; Panagos et al., 2019). Landslides will be assessed in a separate, second table (**Table 1.1.2.**).

164 The lower soil tolerance limit which separates non-affected (healthy) soil from degradation through
 165 erosion follows the Minimum Environmental Standards (MES) defined in (Bazzoffi, 2009) claiming the
 166 first harmful effects with soil erosion of $0.5 \text{ t ha}^{-1} \text{ yr}^{-1}$. Soil erosion is causing long term soil degradation if
 167 erosion rates exceed soil formation. The below suggested rates are thus in agreement with (Verheijen et
 168 al., 2009) assessing soil erosion exceeding soil formation in many soils already at $0.3 \text{ t ha}^{-1} \text{ yr}^{-1}$ but in
 169 most soils at $1 \text{ t ha}^{-1} \text{ yr}^{-1}$. By following the five erosion classes of (Borrelli et al., 2023) (0-1, 1-2, 2-5, 5-10,
 170 $>10 \text{ t ha}^{-1} \text{ yr}^{-1}$) it would imply that serious degradation of $> 10 \text{ t ha}^{-1} \text{ yr}^{-1}$ would result in subtracting a
 171 maximum of 9 scores from the SHERPA score of Part 2. Thus, **Table 1.1.1.** was developed in between the
 172 two level values of (Bazzoffi, 2009; Verheijen et al., 2009) and (Borrelli et al., 2023).

173 **Table 1.1.1:** Cumulative erosion rates of water, wind, tillage, harvest or post-fire

Cumulative erosion rates of water, wind, tillage and harvest	Subtract scores
Cumulative erosion rate $< 0.5 \text{ t ha}^{-1} \text{ yr}^{-1}$	0
Cumulative erosion rate $> 0.5 - 1 \text{ t ha}^{-1} \text{ yr}^{-1}$	1
Cumulative erosion rate $> 1 - 2 \text{ t ha}^{-1} \text{ yr}^{-1}$	2
Cumulative erosion rate $> 2 - 3 \text{ t ha}^{-1} \text{ yr}^{-1}$	3
Cumulative erosion rate $> 3 - 4 \text{ t ha}^{-1} \text{ yr}^{-1}$	4
Cumulative erosion rate $> 4 - 5 \text{ t ha}^{-1} \text{ yr}^{-1}$	5
Cumulative erosion rate $> 5 - 6 \text{ t ha}^{-1} \text{ yr}^{-1}$	6
Cumulative erosion rate $> 6 - 8 \text{ t ha}^{-1} \text{ yr}^{-1}$	7
Cumulative erosion rate $> 8 - 10 \text{ t ha}^{-1} \text{ yr}^{-1}$	8
Cumulative erosion rate $> 10 \text{ t ha}^{-1} \text{ yr}^{-1}$	9

174

175 The Synoptic Pan-European Landslide susceptibility map (ELSUS 1000 v1 Map; (Gunther et al., 2014))
 176 divides landslide risk into 5 classes ranging from very low to very high. However, the map only provides
 177 hazard classes and does not give actual densities. The Landen-Map (Hollis et al., 2022) represents
 178 landslide densities with data from 24 countries assessing an area of 210,544 km^2 of landslide prone area.
 179 Here, landslide density is stratified in three different classes: 1-3, 4-10 and 11-300 landslides/ km^2 . For
 180 SHERPA, the two approaches were combined to the below classes: we generally followed (Gunther et
 181 al., 2014) but subdivided the 5 classes into 10 classes to be consistent within SHERPA. We used the
 182 general range and distribution of the quantitative landslide density of the Landen-Map of (Hollis et al.,
 183 2022). Ideally, the key would refer to the area affected by landslides in m^2 per km^2 , however such data is
 184 not available on European scale.

185 **Table 1.1.2: Landslide density**

Landslide density	Subtract scores
No landslides	0
Landslides < 1 per km^2	1

Landslides > 1 - 2 per km ²	2
Landslides > 2 - 4 per km ²	3
Landslides > 4 - 6 per km ²	4
Landslides > 6 - 10 per km ²	5
Landslides > 10 - 25 per km ²	6
Landslides > 25 - 50 per km ²	7
Landslides > 50 - 100 per km ²	8
Landslides > 100 per km ²	9

186

187 The above table would be a suitable classification, however at today’s state of data availability (Hollis et
 188 al., 2022), a differentiation in only four classes are available: High (11-268/km²) = scores 6, Medium (4-
 189 10/km²) = score 4, and Low (1-3/km²) = score 1. As such, we used the following scoring table for this
 190 study:

Landslide density following mapping data of (Hollis et al., 2022)	Subtract scores
No landslides	0
low 1 – 3 km ⁻²	1
medium 4 - 10 km ⁻²	4
high 11-268 km ⁻²	6

191

192 As the classes by (Hollis et al., 2022) are very rough indeed, we realize that landslide density insert quite
 193 some uncertainty in the soil health assessment. Thus, adequate landslide data with finer stratified
 194 classes would be needed, which should be available from remote sensing data in the near future.

195 **1.2. Assessment of heavy metal contamination**

196 Due to the lack of any formal or widely accepted general thresholds for soil contamination, the
 197 assessment of heavy metal contaminations is based on the European Council directive on the protection
 198 of the environment, and in particular of the soil, when sewage sludge is used in agriculture (EC Directive
 199 86/278/EEC,(EUR-Lex, 2024)). Following this directive, the concentration values of heavy metals in soils
 200 is set to subtract 9 scores according to the average value of the limit value given in the directive (e.g. in
 201 Annex IA the limit values for copper in soils are set as a range of 50-140 mg kg⁻¹ of dry matter. The
 202 average value would be 95 mg kg⁻¹). A healthy soil (meaning no scores will be subtracted from the soil
 203 health) is defined up to a heavy metal concentration of the third lowest class of the current mapped
 204 concentration based from EUSO Dashboard sources (ESDAC, 2024). For example, we took the third
 205 lowest class of (Ballabio et al., 2021) for copper, which would be 16 - 22 mg/kg and set this as the upper
 206 limit concentration for a healthy soil. The classes in between this range (e.g., limit value of the EC
 207 Directive 86|278 and the “*healthy soil*” according to today's distribution of heavy metals in Europe)
 208 were distributed in equal steps. As of December 2023, only copper (Ballabio et al., 2018), mercury
 209 (Ballabio et al., 2021) and zinc (Van Eynde et al., 2023) are included in the assessment of the EUSO
 210 Dashboard sources (ESDAC, 2024). Contamination by cadmium was also considered as published by
 211 (Ballabio et al., 2024). For lead and nickel, the soil health value of 15% of the medium limit value of The
 212 EC Directive 68|278|EEC (EUR-Lex, 2024) was taken as defining a healthy soil. The assigned scores will
 213 then be subtracted in Part 2 of the soil health index. Note that for each considered heavy metal, scores
 214 are subtracted cumulatively, e.g., a mercury contamination of 180 µg kg⁻¹ and a cadmium concentration

215 of 0.8 mg kg⁻¹ would result in subtraction of 4 scores (**Table 1.2.**) in Part 2. The latter considers the
216 strong negative effects of multi and cross contamination. Note that no limit values for chromium, cobalt,
217 antimony or arsenic were defined in the EC Directive 68|278|EEC (EUR-Lex, 2024). In (Tóth et al., 2016)
218 the distribution of the latter heavy metals is mapped across Europe. As such, for chromium, cobalt,
219 antimony and arsenic, the third lowest class of heavy metal concentration from (Tóth et al., 2016) were
220 taken as “healthy soil”, while the lower boundary of the highest class was taken as limit value to
221 subtract 9 scores. Especially for arsenic, the current assessment seems unsatisfying, as while this
222 element is strikingly underrepresented in European and national legal frameworks, its high toxicity calls
223 for more specific evaluation and stricter regulation.

224 Following the structure of the SHERPA, geogenic high content of heavy metals in soils will be classified as
225 low soil health. For practical purposes this is useful, as these areas and soils should not be used for
226 drinking water production, partly not usable for livestock grazing or recreational areas, where small
227 children might play and would be exposed to potential uptake of soil material. However, eventually
228 these areas could be marked with striped or gridded pattern, to indicate the geogenic origin of the high
229 heavy metal content, the knowledge of which might be useful for management or planning options both
230 for possible remediation action but also for, e.g., any kind of construction. Construction on, for example,
231 geogenic high Arsenic content soils will result in substantial extra costs for disposing the excavated soil.

232 We realize that the setting of the upper and lower boundaries of each heavy metal might seem arbitrary
233 for the moment and values need to be based on further literature research for each heavy metal
234 separately. Here, we demonstrate the concept and structure envisaging future scientifically based limit
235 values for each element. Also, the list of heavy metals is not complete, but we could only include
236 elements which are considered in available guidelines, monitoring and mapping. In case of soil
237 contamination with an element missing in table 1.2, the local (national) regulation should be considered.
238 In such a case, 9 scores should be subtracted if the concentration of element exceeds the local
239 guideline/intervention value (i.e., the concentration which requires soil remediation).

240 For now, SHERPA will target the concentration in the surface layer for potential heavy metal
241 contamination. The upper most 20 cm will be assessed to align with the LUCAS sampling, preferably
242 analyzed in 10 cm step layers (0-10, 10-20 cm) to avoid dilution effects. If this would be beyond
243 feasibility, or data availability, the recommendation would be to target a bulked value of the upper most
244 20 cm for analysis. Concentration is targeted rather than stock of heavy metals as the concentration
245 regulates solubility to the aquifer (if any) as well as toxicity to plants and grazing animals.

246

247 **Table 1.2:** Limit concentrations of heavy metals in any of the upper soil surface layers (main rooting
 248 depth: 0-10, 10-20, 20-30 cm) defining scores to be subtracted from soil health values. Note that for
 249 each element, scores are subtracted cumulatively. For comparison, the grey shaded rows give legal limit
 250 values for soil concentrations in the European Council directive on the protection of the Environment EC
 251 Directive 68|278|EEC (EUR-Lex, 2024), guideline (Richtwerte) and test values (Prüfwerte) of the Swiss
 252 soil ordinance (Fedlex, 2024)and test values (Prüfwerte) of the German Bundes-Bodenschutz- und
 253 Altlastenverordnung (BBodSchV, (Bundesministerium für Umwelt, 2024))
 254

Cu (mg/kg)	Hg (µg/kg)	Zn (mg/kg)	Cd (mg/kg)	Ni (mg/kg)	Pb (mg/kg)	Sb (mg/kg)	As (mg/kg)	Cr (mg/kg)	Co (mg/kg)	Scores to subtract
22	23	33	0.5	9	23	0.80	10	70	20	0
32	179	57	0.6	14	42	1.30	30	90	25	1
41	332	81	0.8	20	61	1.80	50	110	30	2
50	485	105	1.0	25	80	2.30	70	130	35	3
59	638	129	1.2	31	99	2.80	95	155	40	4
68	791	153	1.4	36	118	3.20	120	180	45	5
77	944	177	1.6	42	137	3.80	145	205	50	6
86	1097	201	1.8	47	156	4.30	175	230	55	7
95	1250	225	2.0	53	175	5.00	200	250	60	8
>95	>1250	>225	>2	>53	>175	>5	>200	>250	>60	9
50 - 140	1000 - 1500	150 - 300	1 - 3	30 - 75	50 - 300	n.d.	n.d.	n.d.	n.d.	EC Directive
150	n.d.	n.d.	2	n.d.	200 -300	n.d.	n.d.	n.d.	n.d.	Test value CH
40	500	150	0,8	50	50	n.d.	n.d.	50	n.d.	Guideline CH
60/40/20	1000/500/1000	200/150/60	1,5/1/0.4	70/50/15	100/70/40	n.d.	n.d.	100/60/30	n.d.	Precautionary value, D, clay/silt/sand

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256

257 1.3. Assessment of nitrogen surplus

258 The assessment of nitrogen surplus is based on a meta-analysis of the literature and on the concept of
259 critical loads, defined in the Convention on Long-range Transboundary Air Pollution (UNECE) as a
260 “quantitative estimate of the exposure to one or more pollutants below which significant harmful effects
261 on specified sensitive elements of the environment do not occur according to present knowledge”
262 (United Nations, 2015). Global changes in the nitrogen cycle are among the nine planetary boundaries
263 identified by (Rockström et al., 2009) to define a safe operating space for humanity. This boundary was
264 already exceeded in 2009, and nitrogen excesses are currently in a high-risk zone (Richardson et al.,
265 2023), exposing humanity to rapid changes of unprecedented magnitude, threatening major planetary
266 balances.

267 The assessment of nitrogen surplus in all the systems studied assumes that, if the quantity of nitrogen
268 added by deposition or by fertilizer significantly alters ecosystem functioning compared to the no-nitrogen
269 input situation, we consider the system degraded.

270 Because of the differences in nitrogen dynamics between different land uses, the nitrogen surplus in the
271 soils of the four different land uses assessed as part of SHERPA (i.e., forests, grasslands, cropland soils,
272 and wetlands) will be treated separately (**Table 1.3**). As nitrogen surplus is one of the main dominant
273 threats in all considered land use type, we discuss below the background and justification of the scoring
274 in detail.

275

276 **Table 1.3:** Separation of land use types

Land use type	Go to
Forests	1.3.1
Grasslands	1.3.2
Cropland soils	1.3.3
Wetlands	n.c.*

277 * n.c. = not considered yet. Please note that even though we are currently developing a framework for
278 wetland assessment and mapping, assessing wetlands were beyond the scope of this current manuscript

279 1.3.1. Assessing nitrogen surplus in forests

280 The functioning of forest soils is the result of multifactorial combinations in which site conditions, the
281 dominant tree species, the type of humus, the epigeous and endogenous plant, animal and fungal
282 communities, and landuse history have important influences, in addition to their physico-chemical
283 characteristics.

284 As the soil is part of this ecosystem with a fragile equilibrium, the input of excess nitrogen (caused in semi-
285 natural forests mainly by atmospheric deposition) has multiple effects on soil processes such as
286 eutrophication and acidification (Nellemann and Thomsen, 2001); (de Vries, 2021), nitrogen leaching (Dise
287 and Wright, 1995); (Gundersen, 1995); (Thimonier et al., 2010) nutrient cycling, and decomposition of the

288 litter (Aber et al., 1998); (Knorr et al., 2005). Several studies report a shift in the composition of microbial
289 communities in reaction to chronic nitrogen deposition, accompanied by a reduction in phenol oxydase
290 activity, an enzyme produced by white-rot fungi capable of degrading lignin (Carreiro et al., 2000); (Frey
291 et al., 2004). This degradation of soil health has visible repercussions on the health of forest stands,
292 altering their nutrition (Braun et al., 2010); leading to nutritional imbalances (Peñuelas et al., 2012;
293 Waldner et al., 2015)), physiological and phenological functioning and their root system (Braun et al.,
294 2005). These fundamental changes alter their resistance to climatic hazards and pests (Bobbink and
295 Hettelingh, 2011). Nitrogen surpluses also have a significant impact on biodiversity, with the development
296 of nitrophilous plant communities under the canopy (Pitcairn et al., 1998) (Bobbink et al., 2010); (Talhelm
297 et al., 2013); (Xie et al., 2024), changes in the composition and functioning of nematode (Eisenhauer et
298 al., 2012), and microbial and fungal communities (Carreiro et al., 2000); (Sinsabaugh et al., 2002); (Frey et
299 al., 2004); (Waldrop et al., 2004); (Treseder, 2008); (Suz et al., 2021). It also induces significant stress
300 conditions for sensitive indicators such as lichens and algae (Lu et al., 2008); (Carter et al., 2017)
301 If cumulative input of N over longer time periods exceeds a certain threshold, forest ecosystems react
302 with nitrogen leaching from soils with the accompanying consequences such as eutrophication,
303 acidification and changes in biodiversity in adjacent deeper soil layers, aquifers as well as fresh and ocean
304 waters (Aber et al., 1998; Dise et al., 1998; Payne et al., 2019; Rothwell et al., 2008).As most of these
305 changes are visible on a macroscopic scale, they provide a potentially valuable approach for indirect
306 assessment of soil health. As forests have a greater buffer capacity and less nitrogen export compared to
307 cropland soils, we will consider the average deposition over the last 5 years as moving averages of nitrogen
308 deposition.
309 For now, the assessment of nitrogen surplus is based on natural, undrained forests, without fertilizer
310 inputs, and with an established age sufficient to consider the soil essentially free from the influence of
311 past agricultural use.
312 In this section, SHERPA will target total atmospheric deposition (wet and dry), as they are the major source
313 of nitrogen in these ecosystems.
314
315 As ground-living and epiphytic lichens and algae have been shown in the literature to be highly sensitive
316 to atmospheric nitrogen deposition (Giordani et al., 2014), they have been used as indicators for the first
317 category of the **Table 1.3.1**, with a subtracting score of 1.
318 Next, the deposition threshold of $5 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ marks the first changes in the dynamics of litter
319 decomposition (Knorr, Frey and Curtis, 2005) and in the processes of the most sensitive forest soils (Nordin
320 et al., 2005); (Bobbink et al., 2022); (Rihm and Achermann, 2016) giving rise to the second category with
321 a subtracting score of 2.
322 The input of $10 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ marks changes at a larger scale as nitrate leaching and consequent
323 acidification becomes more significant (Achermann, 2002; de Vries et al., 2014; Dise and Wright, 1995;
324 Forsius et al., 2021; Gundersen et al., 2006; Langusch and Matzner, 2002; Thimonier et al., 2010). As
325 critical loads are supposed to be reached when an ecosystem's capacity to absorb nitrogen is saturated,
326 we consider that this limit is a shift in most of the soil's health which justifies a subtraction of 4. With such
327 an input, nutritional imbalances arise due to excessive nitrogen inputs compared to other elements such
328 as K or P (Bobbink et al., 2022). This threshold also marks the beginning of apparent eutrophication with
329 the development of nitrophilic understory plant communities and the decrease of biodiversity (Bobbink
330 et al., 2010)

331 Between 10 and 25 kg N ha⁻¹ yr⁻¹, classes were distributed in equal steps, subtracting one more point to
332 each class, from 5 to 7.

333 Inputs greater than 25 kg N ha⁻¹ yr⁻¹, as suggested by (Dise and Wright, 1995), indicate a potential
334 decoupling of the nitrogen cycle, resulting in significant leaching on a European scale. It is also a tipping
335 point for tree and fungal growth in deciduous forests, as suggested by (de Witte et al., 2017) :

336 The upper threshold has been set at > 30 kg N ha⁻¹ yr⁻¹ as young stands (Gundersen, 1995) and forests
337 which have responded positively to lower inputs (Etzold et al., 2020) appear to be affected in their growth
338 and ability to retain nitrogen at this level of atmospheric input, impacting soil functioning.

339

340 **Table 1.3.1.1.** Assessment of scores to be subtracted from soil health based on total atmospheric
341 deposition of nitrogen in forest ecosystems.

Moving averages over the last 5 years (kgN ha ⁻¹ yr ⁻¹)	Scores subtracted
Atmospheric deposition < 2	0
Atmospheric deposition > 2 - 3	1
Atmospheric deposition > 3 - 4	2
Atmospheric deposition > 4 - 5	3
Atmospheric deposition > 5 - 10	4
Atmospheric deposition > 10 - 15	5
Atmospheric deposition > 15 - 20	6
Atmospheric deposition > 20 - 25	7
Atmospheric deposition > 25 - 30	8
Atmospheric deposition > 30	9

342

343 A second approach to nitrogen surplus assessment is to use calculated nitrogen surplus rates. For this
344 current first conceptual assessment of SHERPA, we followed this approach, where we used the nitrogen
345 surplus rates from (Batool et al., 2022) to calculate nitrogen surplus scores for forests (please see
346 section 1.3.4.). Here, surplus is calculated by adding biological N fixation to total atmospheric N
347 deposition and subtracting N removal by forests (Batool et al., 2022).

348 **1.3.2. Assessment of nitrogen surplus for grassland ecosystems**

349 Permanent grasslands are defined here as having continuous and stable grass cover throughout the last
350 five consecutive years (Smit et al., 2008). For Mediterranean ecosystems, we consider the last five winter
351 and spring seasons because of drought periods potentially affecting vegetation cover during the summer
352 months.

353 For now, the assessment of permanent grassland soil health is based on total nitrogen inputs (i.e.
354 atmospheric deposition and organic or mineral fertilization) and considers the different types of grassland
355 found across a European latitudinal and altitudinal gradient.

356
357 Soil health degradation in grasslands is associated with alteration in soil processes and physicochemical
358 properties, including acidification, eutrophication (Horswill et al., 2008), changes in phosphorus (Johnson
359 et al., 1999), carbon cycling (Stiles et al., 2017; Wedin and Tilman, 1996) and nitrate leaching (Phoenix et
360 al., 2003).

361
362 The vegetation cover of grasslands is a mosaic of taxa, families and functional groups, adapted to the local
363 edaphic and climatic conditions. Excessive nitrogen input significantly impacts grassland's biodiversity by
364 reducing species diversity and the total species richness, particularly of herbaceous plants (e.g. Dise and
365 Stevens, 2005; Duprè et al., 2010; MASKELL et al., 2010; Phoenix et al., 2012; Stevens et al., 2010).
366 Competition for light (Hautier et al., 2009) and changes in soil conditions (especially an increase in acidity
367 and nitrogen content) promote a shift towards grasses and sedges at the expense of forbs and species
368 adapted to nutrient-poor conditions (Bassin et al., 2012; Gaudnik et al., 2011; Payne et al., 2013; VAN DEN
369 BERG et al., 2011). While species richness generally decreases with nitrogen input into grasslands, in the
370 particular case of highly nutrient-constrained conditions (e.g., such as alpine meadows), species richness
371 may increase locally due to nitrogen input, leading to the loss of adapted species being replaced by less
372 demanding and more competitive ones (Boutin et al., 2017). In this specific case, an increase in species
373 richness is not considered to be beneficial for the ecosystem. This leads to the homogenization and
374 simplification of vegetation communities as well as a general increase in biomass in nitrogen-limited
375 systems (Bobbink et al., 2022; Bonanomi et al., 2006; De Schrijver et al., 2011; Onipchenko et al., 2012).
376 Furthermore, long-term nitrogen surplus can reduce the size and the diversity of seed banks, impacting
377 the regeneration capacity and the genetic diversity of the system (Basto et al., 2015; Phoenix et al., 2012)
378 The excess of nitrogen threatens ecosystem sustainability, or weakens it by increasing shoot/root ratio
379 (Bobbink, 1991; Brouwer, 1962) and promoting lodging (Lillak, 2005). It has also been proved to be a stress
380 factor for sensitive indicators such as bryophytes and lichens (Arróniz-Crespo et al., 2008; Carroll et al.,
381 2000; Roth et al., 2013).

382
383 Changes in edaphic conditions and plant communities can induce shifts in mycorrhizal fungi (Blanke et al.,
384 2012). and microbial communities (Johnson et al., 1998; Zhou et al., 2015);, increase sensitivity to
385 secondary stressors like herbivory (Stevens et al., 2011), and modify ecosystem functioning by altering
386 plant functional traits and phenology (Phoenix et al., 2012; Stevens et al., 2018). This impacts the entire
387 food web, the provision of ecosystem services (Haddad et al., 2000; Stevens et al., 2018; Stevens et al.,
388 2011) and the nutritive quality of forage production (Bassin et al., 2013). As such, we argue that a change
389 in vegetation community or species diversity in grasslands due to nitrogen input directly affects soil
390 health.

391
392 Changes in vegetation cover and nitrate leaching are robust indicators of nitrogen surplus impacts on
393 grassland (Emmett, 2007). For the SHERPA key assessments of nitrogen surplus effects on grassland soils,
394 we will base our assessment on these two factors.

395 Significant changes in individual species (Payne et al., 2013) and vegetation composition (Stevens et al.,
396 2011) can occur with very low nitrogen inputs, particularly on acid grasslands. At more than 3 kg N ha⁻¹ yr⁻¹,
397 the community-level change points of most sensitive ecosystems are already reached (Wilkins et al.,
398 2016). Moreover, in a gradient study of acid grassland, an average reduction in species richness of one
399 species for every additional 2.5 kg N ha⁻¹ yr⁻¹ was reported for long term deposition patterns (Stevens et
400 al., 2004; Stevens et al., 2011). These results have been used as indicators for the first category of **Table**
401 **1.3.1.2** to subtract one score. We do realize that a recovery from N deposition is not guaranteed when
402 nitrogen deposition is reduced. As such, **Table 1.3.2** would underestimate the threat of N deposition in
403 case of deposition reduction.

404 A threshold of 5 kg N ha⁻¹ yr⁻¹ marks a more generalized effect on oligotrophic ecosystems like acid
405 grasslands, and grasslands composed of low-nutrient adapted species including alpine, subalpine and
406 Mediterranean regions (Bobbink et al., 2022). This surplus can be enough to decrease the proportion of
407 legumes in the vegetation cover (Bassin et al., 2013), impacting the nitrogen deposition at a larger scale.
408 The largest decline in species richness and changes in species composition occurs between 0 and 20
409 kg N ha⁻¹ yr⁻¹ (Haddad et al., 2000; Roth et al., 2018; Stevens et al., 2010). Afterwards, most of the sensitive
410 species have been replaced by ubiquitous or nitrophilous types. Most of the critical loads for the different
411 European grassland ecosystems are also included within this range, based on biodiversity changes
412 (Aherne et al., 2017; Bobbink et al., 2022; Jones, 2004; Rihm and Kurz, 2001; Roth et al., 2018; Tipping et
413 al., 2013). To represent the nonlinear relationship between vegetation cover dynamics and nitrogen
414 deposition, the categories have been distributed in equal steps between 5 and 20 kg N ha⁻¹ yr⁻¹,
415 subtracting one point for every 5 kgN/ha/yr added but subtraction of 1 point for every 10 kg N ha⁻¹ yr⁻¹
416 between 20 and 50 kg N ha⁻¹ yr⁻¹.

417 The upper threshold has been set at > 50 kg N ha⁻¹ yr⁻¹ to reflect serious nitrate leaching at this point and
418 to follow the '*safe N operating space*' defined by the European Commission's Joint Research Centre. This
419 limit is used by the European Soil Observatory as a threshold for soil degradation in agricultural areas,
420 including permanent grasslands. Moreover, even phosphorus-limited and eutrophic vegetation
421 communities seem to be impacted severely by this amount of nitrogen surplus (Hornung et al., 1995;
422 Wilson et al., 1995). In systems using permanent grassland for grazing and mowing, limiting total
423 nitrogen inputs is necessary to reduce nitrogen losses, by minimizing nitrogen inputs into livestock feed
424 and increasing the efficiency of its use (Oenema, 2006).

425 As grassland systems do have a memory effect for high nitrogen inputs, as is often evidenced by the
426 presence of nitrophilic plants, SHERPA will use moving averages of the last 5 years of nitrogen input (as
427 the sum of nitrogen deposition plus fertilizer input).

428 Table 1.3.2. provides an overall assessment of soil health in grasslands at the European scale. However,
429 grasslands are highly sensitive ecosystems to local conditions, particularly in the context of nutrient
430 availability and pH (Duprè et al., 2010), resulting in a wide range of sensitivity to nitrogen surpluses. This
431 assessment aims to be stringent enough to consider the fact that grassland system productivity may not
432 consistently reflect soil and ecosystem health, potentially resulting in underestimation of impacts,
433 particularly if the most sensitive species have already disappeared and cumulative loads are not

434 considered. In the future, a more precise assessment could adapt to the local specificities of nitrogen
 435 dynamics, as in the case of cattle resting areas, and consider separately the inputs from fertilization and
 436 atmospheric deposition.

437 The vegetation of acid grasslands is adapted to constraining pH and nutrient availability conditions
 438 (e.g. Stevens et al., 2004; Stevens et al., 2011). These ecosystems are more sensitive and less resilient to
 439 nitrogen excess than limestone grasslands, naturally richer in nutrients and capable of accumulating more
 440 nitrogen (Phoenix et al., 2003). To assess soil health in grasslands at a local scale, it would be necessary to
 441 distinguish between them, to avoid underestimating impacts on acid grasslands and overestimating them
 442 on limestone grasslands.

443 **Table 1.3.2.** Assessment of nitrogen surplus for grassland ecosystems based on total deposition rates

Moving averages over the last 5 years (kgN ha⁻¹ yr⁻¹)	Scores subtracted
Nitrogen inputs ≤ 2	0
Nitrogen inputs > 2 - 3	1
Nitrogen inputs > 3 - 5	2
Nitrogen inputs > 5 - 10	3
Nitrogen inputs > 10 - 15	4
Nitrogen inputs > 15 - 20	5
Nitrogen inputs > 20 - 30	6
Nitrogen inputs > 30 - 40	7
Nitrogen inputs > 40 - 50	8
Nitrogen inputs > 50	9

444
 445 As for the forest assessment, we followed in this current study a second approach to nitrogen surplus
 446 assessment of grasslands in using the nitrogen surplus rates from ((Batool et al., 2022), please see
 447 section 1.3.4)). Here, surplus is calculated by adding biological N fixation as well as mineral fertilizer and
 448 animal manure to total atmospheric deposition and subtract N removal by harvest and pasturing
 449 (Batool et al., 2022).

450 **1.3.3. Assessment of nitrogen surplus for cropland ecosystems**

451 The nitrogen cycle in agro-ecosystems is a delicate balance between nitrogen inputs from fertilization,
 452 atmospheric deposition, and symbiotic fixation, and outputs through harvest and leaching to deeper soil
 453 layers and waters. The latter underscores the necessity for optimal management to maximize Nitrogen

454 Use Efficiency (NUE) (Oenema O et al., 2016) and to avoid over-fertilization or mining. While this is crucial
455 for balancing food security, it is also a necessity for long-term ecosystem preservation and the prevention
456 of fresh and ocean water eutrophication.

457 For SHERPA, we consider the main crop categories present in Europe (excluding flowers), whose
458 exploitation is regulated by the CAP and the Nitrates Directive. The assessment of agricultural soil health
459 is currently based on total nitrogen inputs, including dry and wet atmospheric deposition as well as
460 fertilization in mineral or organic form.

461 In a context of demographic growth and resource strain, most cropland soils in Europe are intensively
462 exploited. The extensive use of fertilizers to ensure necessary nitrogen inputs has overshadowed the
463 mineralization work of soil fauna, thereby greatly reducing soil biodiversity (Nabel et al., 2021) and
464 impacting the provision of ecosystem services (de Souza and Freitas, 2018). Changes in physico-chemical
465 properties, particularly acidification caused by excessive nitrogen inputs disrupt the balance between
466 fungal and bacterial communities, exacerbating the alteration of nutrient cycles in these soils (Nabel et
467 al., 2021). The alteration of nutrient balance in the soil can limit yields by increasing lodging effects in
468 cereals (Dahiya et al., 2018) and susceptibility to pests and herbivory (Altieri and Nicholls, 2003). Quality,
469 especially in fruit and vegetable crops, is affected by the reduction in organoleptic attributes, content of
470 other mineral nutrients, and synthesis of secondary metabolites (e.g., vitamins) (Albornoz et al., 2016).
471 Some crops, notably leafy green vegetables, may accumulate excessive nitrates, with detrimental effects
472 on consumer health (Albornoz et al., 2016).

473 Excessive nitrogen input to agricultural soils also leads to significant leaching of excess nitrates,
474 exacerbated during inter-crop periods if soils are left bare (Fraters et al., 2015; Goulding, 2000; Köhler et
475 al., 2006; Simmelsgaard and Djurhuus, 1998; Webb et al., 2000). These nitrates are transferred to waters,
476 causing eutrophication and resulting in large-scale impacts (Billen et al., 2013). Nitrogen fixation by
477 legumes (e.g., intercropping, cover crops) is not considered in the SHERPA tables as this nitrogen will
478 either be exported if cover crops are harvested or will be added to the organic nitrogen pool in the soil.
479 In the latter case we might underestimate the nitrogen surplus of the respective cropland soils.

480 Nitrogen can also be lost significantly in gaseous form through emissions of ammonia (NH₃), nitrogen
481 oxide (NO), or nitrous oxide (N₂O) (Jenkinson, 2001; Velthof et al., 2009). Nitrous oxide, being a potent
482 greenhouse gas, contributes to climate change on a large scale, as do nitrogen oxides indirectly. NH₃
483 emissions lead to the formation of aerosols with detrimental effects on air quality and health (Pozzer et
484 al., 2017)

485 The rationale behind the assessment of nitrogen surplus in cropland ecosystems was to allow a nitrogen
486 input above the threshold for semi-natural ecosystems (e.g., Forest **Table 1.3.1.**) based on the amount
487 of nitrogen that is extracted as a result of harvest. Data was used from (Einarsson et al., 2021) to
488 calculate crop specific N export by harvest averages from 2009 - 2019. Using this time period as baseline
489 for the N scores to be subtracted might be discussed as many countries within the EU already reached a
490 high level of N content in harvested crops due to decades of overfertilization (Albornoz, 2016). We used
491 average data over all EU countries even though N export by harvest varies considerably between
492 countries. However, as it is important not to base values on data from countries either over fertilizing or
493 on countries mining N from cropland soils due to low fertilization, we consider it justified to base the
494 SHERPA N scores for cropland soils on the EU average value.

495 **Table 1.3.3.** Assessment of nitrogen surplus for cropland ecosystems

Assessment of nitrogen surplus for cropland ecosystems (kgN.ha ⁻¹ .yr ⁻¹)	Scores subtracted
Atmospheric deposition ≤ 2 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	0
Atmospheric deposition > 2 - 3 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	1
Atmospheric deposition > 3 - 4 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	2
Atmospheric deposition > 4 - 5 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	3
Atmospheric deposition > 5 - 10 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	4
Atmospheric deposition > 10 - 15 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	5
Atmospheric deposition > 15 - 20 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	6
Atmospheric deposition > 20 - 25 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	7
Atmospheric deposition > 25 - 30 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	8
Atmospheric deposition > 30 kgN.ha ⁻¹ .yr ⁻¹ plus N yield exported by harvest*	9

496 *Crop specific N yield exported by harvest on average for the EU is given in **Table 1.3.3A** below.

497
498 **Table 1.3.3A:** Mean N yield (kg N ha⁻¹ yr⁻¹) for major crop categories in the EU (excluding Cyprus and
499 Malta) between 2009 and 2019.

Crop category	Crop	N yield (kg N ha ⁻¹ yr ⁻¹)
Cereals	Barley	83.13
	Wheat	108.76
	Grain maize	111.44
	Other cereals	63.31
Fodder	Fodder roots	112.84
	Forage legumes	188.17
	Green maize	170.47
	Temporary grassland	170.56

	Other forage crops	90.87
Oilseeds	Oilseeds	80.27
Vegetables and others	Vegetables and other	54.46
Permanent crops	Grapes	23.23
	Olives	9.71
	Other permanent crops	15.6
Other cropland	Pulses	88.3
	Potatoes	81.66
	Sugar beet	151.5

500 Data calculated from (Einarsson et al., 2021) .

501

502 This assessment is conducted annually. For crop rotations, it would be beneficial to adjust the modalities
503 according to the vegetation development stage. Land management practices (i.e., timing and form of
504 nitrogen inputs, irrigation, tillage) should also be considered for local assessment, as this factor
505 significantly influences its fate within the ecosystem.

506

507 As for the forest and grassland assessment, we followed in this current study a second approach to
508 nitrogen surplus assessment of croplands in using the nitrogen surplus rates from ((Batool et al., 2022),
509 please see section and table 1.3.4)). Here, surplus is calculated by adding biological N fixation as well as
510 mineral fertilizer and animal manure to total atmospheric deposition and subtract N removal by
511 harvest (Batool et al., 2022).

512

513 **1.3.4. Assessment of nitrogen surplus for forest, grasslands and cropland**
514 **ecosystems following (Batool et al., 2022)**

515 In the current conceptual assessment of SHERPA we assigned nitrogen surplus rates for the three land use
516 types forest, grasslands and cropland following (Batool et al., 2022). As (Batool et al., 2022) calculated
517 nitrogen surplus for each land use type differently, we considered this approach suitable for our
518 preliminary assessment of point data across Europe. For a detailed future evaluation of soil health with
519 high resolution data availability and with the aim of high-resolution mapping, we recommend the above
520 approaches for the different land use specific assessments (see section 1.3.1. -1.3.4.).

521 (Batool et al., 2022) calculated nitrogen surplus rates for each land use type by subtracting total outputs
522 (N removal by harvest) from total inputs (mineral fertilizer, animal manure, nitrogen fixation, total

523 nitrogen deposition). We used these rates to assign the nitrogen surplus scores in the current evaluation
524 following table 1.3.4.

525 **Table 1.3.4.** Assessment of scores to be subtracted from soil health based on nitrogen surplus rates of
526 (Batool et al., 2022) for the land use types forest, grassland and cropland.

Moving averages over the last 5 years (kg N ha ⁻¹ yr ⁻¹)	Scores subtracted
Atmospheric deposition < 2	0
Atmospheric deposition > 2 - 3	1
Atmospheric deposition > 3 - 4	2
Atmospheric deposition > 4 - 5	3
Atmospheric deposition > 5 - 10	4
Atmospheric deposition > 10 - 15	5
Atmospheric deposition > 15 - 20	6
Atmospheric deposition > 20 - 25	7
Atmospheric deposition > 25 - 30	8
Atmospheric deposition > 30	9

527

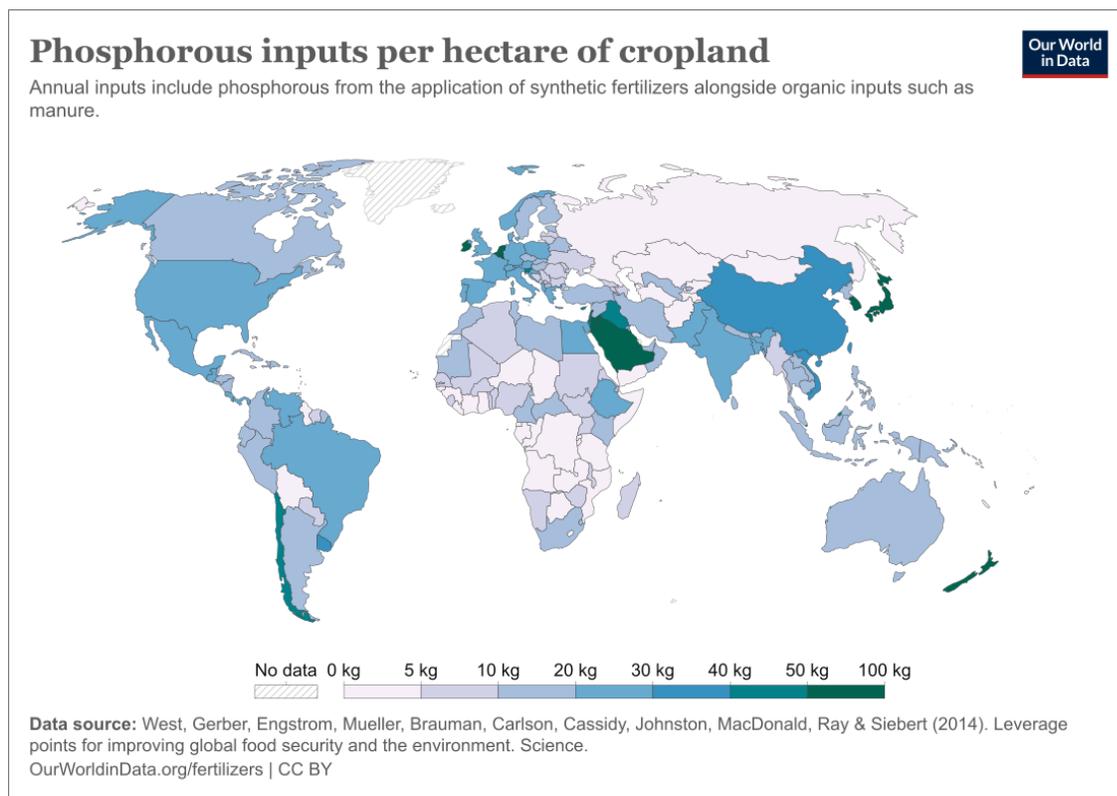
528 **1.4. Assessment of phosphorus deficiency or excess in grasslands and cropland** 529 **soils**

530 Phosphorus (P) is essential for the growth, functioning and reproduction of all life on earth. In natural
531 ecosystems, the P that is lost from the soil-plant cycling system has to be replaced by the slow process
532 of rock weathering (Bouwman et al., 2009) or added via fertilizer in human managed systems (there is
533 no equivalent to the biological N₂ fixation which is only kinetically limited but potentially not resource
534 limited). However, if fertilization with animal waste or human excreta is not available or not organized, P
535 fertilizers stem from non-renewable geological P deposits, which are an increasingly limited resource
536 (this is again in contrast to N, as N fertilizer can be produced as an endless resource via the Haber Bosch
537 process as long as energy and natural gas is available). The one-way flow of P from mineral reserves to
538 farms (e.g., soils), to freshwaters and finally into oceans, are already considered to be beyond the safe
539 operating space for sustainable human development (Carpenter and Bennett, 2011). The potential
540 threats of global P limitation due to “peak phosphorus” have been discussed intensively in the recent
541 past (e.g. (Cordell et al., 2009) (Edixhoven et al., 2014; Gilbert, 2009)). The imminent threat of such a P
542 limitation has been restrained somewhat as obviously some P deposits had been overlooked or
543 misclassified in the past which will theoretically last for the next 600 years of global P supply (Van
544 Kauwenbergh, 2010). However, the socio economic and political consequences are still dramatic with
545 the newly discovered P reserves being restricted to a small region of the Western Sahara and Morocco.

546 Whilst P is often a limiting macronutrient for living organisms in aquatic and terrestrial ecosystems
 547 (Paytan and McLaughlin, 2007; Sohrt et al., 2017), especially in the context of agricultural production
 548 (Alewell et al., 2020), urbanization and intensification of agriculture have caused a P enrichment of
 549 surface aquatic ecosystems. This has led to hypoxia, eutrophication (Withers and Jarvie, 2008), and a
 550 loss of biodiversity in fresh- and ocean waters (Davis et al., 2019; Lind et al., 2019; Pant, 2020). As such,
 551 regarding soil and ecosystem health, an excess of P (referring to greater P input compared to P
 552 harvested) will lead to an accumulation of P in soils and/or a loss of this nutrient mainly due to erosion
 553 which can be considered a potential ecological threat to biodiversity and ecosystem health due to its
 554 eutrophication effect in less intensively or unmanaged ecosystems. As such, well managed P budgets of
 555 soils are needed to decrease the ecologically negative impact on fresh and ocean waters due to high P
 556 input and accompanied eutrophication and hypoxia. We conclude that a sustainable balance between P
 557 input via mineral or organic fertilizer with P harvest by crops or grassland is mandatory not only for soil
 558 and water health but also for sustainable agricultural management.

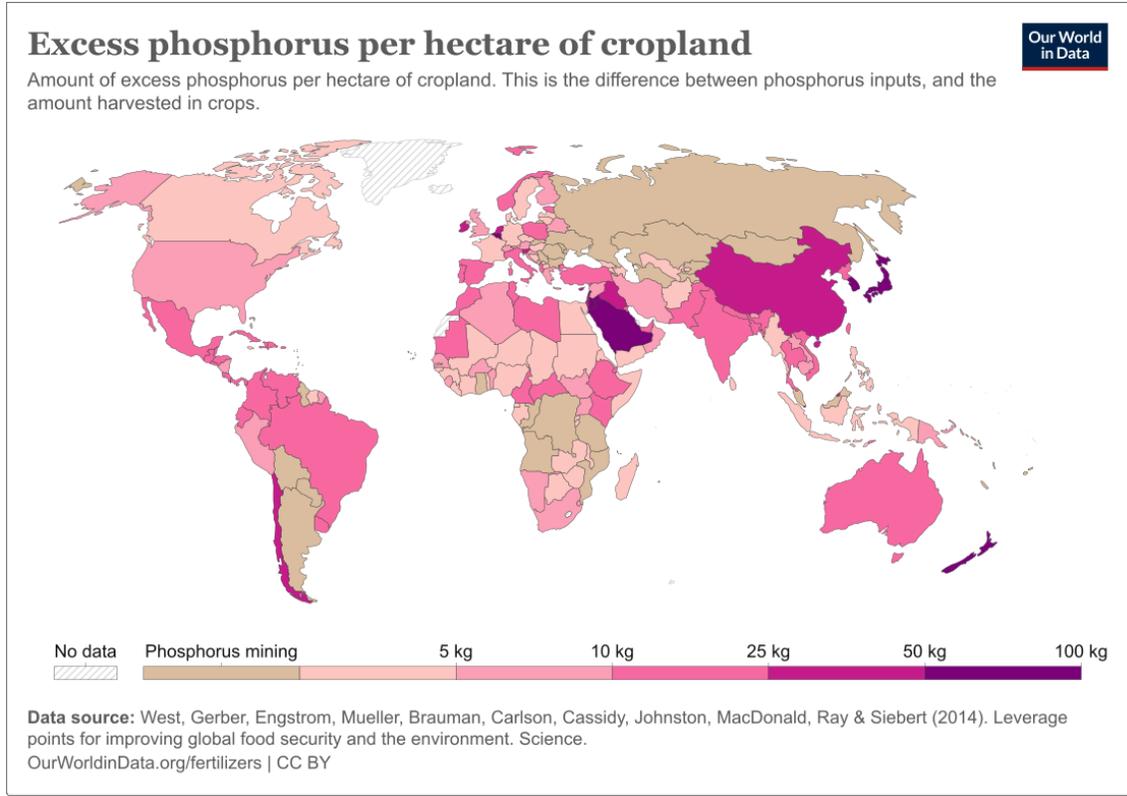
559 Phosphorous input per hectare of cropland varies between countries and regions, ranging from as low
 560 as 0.52 kg ha⁻¹ a⁻¹r in Mozambique to 85 kg ha⁻¹ yr⁻¹ in New Zealand (2009 data,
 561 <https://ourworldindata.org> based on (West et al., 2014)) (Figure 1.4.1). The latter obviously reflect the
 562 wide span from scarcity of resources to rich, resource wasting countries and, indirectly, height of gross
 563 domestic product in the countries.

564



565

566 **Figure 1.4.1:** Phosphorus inputs per hectare of cropland (Our WorldInData, <https://ourworldindata.org>).



567

568 **Figure 1.4.2.:** Excess Phosphorus per hectare of cropland (OurWorldInData;
 569 <https://ourworldindata.org>).

570 The amount of phosphorus fertilizer applied per hectare of cropland has increased tremendously
 571 between 1961 and 2021 globally ([https://ourworldindata.org/grapher/phosphate-application-per-](https://ourworldindata.org/grapher/phosphate-application-per-hectare-of-cropland?time=2021)
 572 [hectare-of-cropland?time=2021](https://ourworldindata.org/grapher/phosphate-application-per-hectare-of-cropland?time=2021); Food and Agriculture Organization of the United Nations (2023) – with
 573 major processing by Our World in Data). Excess Phosphorus ranges from $> 70 \text{ kg ha}^{-1} \text{ yr}^{-1}$ in New
 574 Zealand, Singapore and Jordan to negative values (P mining) in many eastern European and African
 575 countries ($> -20 \text{ kg ha}^{-1} \text{ r}^{-1}$ in Solomon Islands, Gaum, Northern Mariana Islands) (Figure 1.4.2.). Within
 576 Europe, Malta ($-5 \text{ kg ha}^{-1} \text{ a}^{-1}$) and eastern European countries, e.g. the Republic of Moldova (-2.5 kg ha^{-1}
 577 a^{-1}) and the European part of Russia ($-1.4 \text{ kg ha}^{-1} \text{ a}^{-1}$) have a negative P balance (calculates as input as
 578 fertilizer minus output with P harvest), the so-called P mining of soils. Conversely, Belgium
 579 ($66 \text{ kg ha}^{-1} \text{ r}^{-1}$), Luxembourg ($49 \text{ kg ha}^{-1} \text{ r}^{-1}$), the Netherlands ($45 \text{ kg ha}^{-1} \text{ r}^{-1}$) and Ireland ($41 \text{ kg ha}^{-1} \text{ r}^{-1}$) will
 580 overfertilizing with high rates of excess P.

581 P is a limited resource, and a waste of P will lead to famines and migration in a future world due to an
 582 expected sharp decrease in agricultural productivity. Simultaneously, each kg of excess P will lead to
 583 unnecessary loss in grassland biodiversity as well as possible eutrophication and hypoxia in waters. Thus,
 584 we apply strict negative scoring for excess phosphorous (**Table 1.4.1.**).

585 P mining (harvesting more P with biomass export from soils compared to input with mineral and organic
 586 fertilizer) will lead to P depleted soils minimizing harvest potential. However, even though P mining will
 587 lead to P depleted soils with low agriculture production, it might be argued that this is a soil quality issue
 588 rather than a soil health issue. While older soils are generally depleted in phosphorus compared to

589 younger soils with P release from easily weatherable material (Izquierdo et al., 2013; Walker and Syers,
 590 1976), we are not aware that the extraction of soil P by plant uptake in natural systems will lead to
 591 major negative impacts on soil biota or unwanted soil chemical or soil physical processes. The latter
 592 considers that in natural ecosystems weathering will always release P which eventually is the limiting
 593 nutrient for vegetation growth. However, a continuous long-term P mining in agricultural soils (cropland
 594 as well as grasslands) with increasing P depletion will eventually lead to a negative impact on soil biota
 595 and might limit vegetation cover and soil organic matter cycling leading to a degradation of soil
 596 structure, enhanced erosion and general nutrient depletion of soils. As such, P mining will also be scored
 597 within SHERPA.

598 From modelling the phosphorus cycle in agricultural soils on a European scale using the DayCent process
 599 based model, results have shown that (considering all inputs such as mineral and organic fertilizer,
 600 chemical weathering, possible storage and sorption processes versus all outputs like harvest, residue
 601 removal, erosion and organic P leaching) led to negative P balances of $-2.5 \text{ kg ha}^{-1} \text{ yr}^{-1}$ in the Czech
 602 Republic to positive P balances of $5.2 \text{ kg ha}^{-1} \text{ yr}^{-1}$ in Cyprus and Denmark (Muntwyler et al., 2024). While
 603 a full process-based modelling will inevitably be connected to a certain degree of uncertainty and
 604 different assessments varying considerably for countries and regions (Muntwyler et al., 2024; Panagos
 605 et al., 2022), the current evaluation of SHERPA only considered input from mineral and organic fertilizer
 606 versus output with P harvest similar to the approach of Our World in Data
 607 (<https://ourworldindata.org/grapher/phosphate-application-per-hectare-of-cropland?time=2021>).
 608 However, scores to be subtracted are scaled considering the magnitude of the full P budget of the two
 609 assessments by (Panagos et al., 2022) and (Muntwyler et al., 2024) which range from $-8 \text{ kg ha}^{-1} \text{ yr}^{-1}$ of P
 610 mining to $> 10 \text{ kg ha}^{-1} \text{ yr}^{-1}$ of P excess in European soils.

611 **Table 1.4.** P Mining or P excess from mineral and organic fertilizer

P mining or P excess	Go to table
P mining [$\text{kgP}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$]: Phosphorus input from mineral and organic fertilizer smaller then P harvested with crops or grass	1.4.1
P excess [$\text{kgP}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$]: Phosphorus input from mineral and organic fertilizer greater then P harvested with crops or grass	1.4.2

612

613 **Table 1.4.1.** P mining [$\text{kgN}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$]: Phosphorus input from mineral and organic fertilizer smaller then P
 614 harvested with crops or grass

P mining [$\text{kgP}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1}$]	Scores subtracted
< 0 to -0.5	0
< -0.5 to -1	1
< -1 to -2	2
< -2 to -3	3

< -3 to -4	4
< -4 to -5	5
< -5 to -6	6
< -6 to -7	7
< -7 to -8	8
< -8	9

615

616 **Table 1.4.2.** P excess [kgN·ha⁻¹·yr⁻¹]: Phosphorus input from mineral and organic fertilizer greater than P
617 harvested with crops or grass

P excess [kgP·ha⁻¹·yr⁻¹]	Scores subtracted
≤ 1	0
> 1 to 2	1
> 2 to 3	2
> 3 to 4	3
> 4 to 5	4
> 5 to 6	5
> 6 to 7	6
> 7 to 8	7
> 8 to 9	8
> 9 to 10	9

618

619 We realize that basing the SHERPA scores merely on the balance of P inputs and outputs seems, even
620 though logical and operational, over simplistic. The approach might overlook legacy effects—namely,
621 soils that have already undergone intense eutrophication (P storage) or, conversely, those that have
622 experienced massive P depletion. Thus, there might be a rationale for considering the status of the
623 bioavailable P stock. In practical terms, if a soil has a high available P level (>45 mg kg⁻¹ Olsen P), the
624 appropriate P input should be lower than the crop export. Conversely, if the bioavailable P level is low
625 (likely P-limiting) then inputs exceeding exports might be justified. However, this adds complexity not
626 only on data availability of (to defined) specific P pools, but even more so how to rate certain P species

627 and pools regarding their availability for plants or aquatic organisms. Thus, SHERPA is based on the
628 balance of P inputs and outputs, realizing that this introduces short term uncertainties but the concept
629 should hold if we assess longer term trends in the future.
630

631 **1.5. Pesticide use in cropland and grassland**

632 Pesticides play an ambivalent role in modern agriculture. On the one hand, they are an effective tool to
633 control damaging crop pests, diseases, and weeds, preventing yield losses, ensuring crop quality and food
634 security. On the other hand, the hazardous effects that pesticide use can have on human health and the
635 environment are well documented (Bourguet and Guillemaud, 2016; Dereumeaux et al., 2020; Kim et al.,
636 2017; Lee et al., 2019; Sánchez-Bayo and Wyckhuys, 2019; Savary et al., 2019). On cropland and non-
637 permanent grassland, pesticides tend to be applied over large areas. In permanent grassland, usually only
638 herbicides are applied. Nevertheless, pesticides can be found in all soils, including the extensive grassland
639 sites, due to diffuse contamination (e.g. from neighbouring fields) (Riedo et al., 2022). This often leads to
640 unintentional widespread dispersion across the environment, contamination of non-target areas and
641 poisoning of species (Arias-Estévez et al., 2008; Fenner et al., 2013; Galon et al., 2021; Mottes et al., 2014;
642 Tang et al., 2021).

643 Against this background, policymakers have consistently been interested in pragmatic and practical
644 approaches to assess the environmental and human health implications of agricultural pesticide use at a
645 national level as a basis for policy initiatives (including pesticide taxation) and communication of policy
646 outcomes to the public (Lewis et al., 2021). To serve the various purposes, several pesticide risk indicators
647 were developed. Most indicators are used to assess pesticide risks for (specific aspects/sub-systems of)
648 the environment and/or human health.

649 Some of the early and most widely used pesticide (risk) indicators are the Environmental Impact Quotient
650 (Kovach et al., 1992), the SYNOPSIS indicator which has been used in Germany and continues to be further
651 developed (Strassemeyer et al., 2017), the Environmental Yardstick for Pesticides indicator from the
652 Netherlands (Reus and Leendertse, 2000) and the Treatment Frequency Index which has been used in
653 Denmark for pesticide taxation for over 30 years (Gravesen, 2003). A more exhaustive and detailed
654 overview of the different indicators is provided by (Kudsk et al., 2018). The use of simpler measures (or a
655 combination thereof) such as the number of interventions (i.e. the number of pesticide applications), the
656 total amount of the applied active substances, and the active-substance ranking (i.e. the frequency with
657 which individual active substances are used) have also been suggested (de Baan L., 2009-2012). However,
658 these cannot be considered suitable for SHERPA because they either do not consider the extremely
659 heterogeneous toxicity of different active ingredients in pesticides and as a result, their varying impact on
660 soil health, or the fact that they are calculated per crop rather than for land use type. The latter inhibits
661 soil health assessment or would make it overly complex as crop rotation would have to be considered and
662 such data is rarely available for Europe.

663 More recent and elaborated indicators were developed for both assessing pesticide risks and as a basis
664 for the taxation of pesticides. Next to the Norwegian pesticide risk indicator (Stenrød et al., 2008), the
665 pesticide load indicator (PLI) is the most recent of these indicators. It was developed in Denmark and
666 serves not only to monitor pesticide load (PL) but also to set quantitative targets for the reduction of
667 adverse impact of pesticides on human health and the environment.

668 The PLI consists of three sub-indicators for human health, ecotoxicology and environmental fate. For each
669 of the sub-indicators, the pesticide load is calculated per unit commercial product (e.g. kg, liter) (Kudsk et
670 al., 2018). The rationale behind the assessment is based on the following concept of pesticide load. PL
671 does not aim to account for the actual exposure or harm but it reflects the “[...] *relative environmental*
672 *pressure that occurs due to the differing hazardous nature of the pesticides used and the variability in*
673 *quantities applied*” (Lewis et al., 2021). This approach results in a higher PL when active substances in
674 pesticide products are used in large amounts, are persistent and mobile, bioaccumulate, and are ecotoxic
675 to many species.

676 From the above approaches, we regard three alternatives as most relevant for SHERPA. First, SHERPA
677 could use the sub-indicator for environmental fate (PLI_{Fate}) which is calculated on the basis of the half-life
678 in soil (DT_{50}), the bioaccumulation factor (BCF) and the SCI-GROW index reflecting the mobility and risk of
679 leaching to the groundwater of the active ingredient and its major metabolites (US-EPA, 2016). Second,
680 the full PLI (PLI_{Total}) made up of the three mentioned sub-indicators could be used. Third, another slight
681 variation presented as a third option is the PLI_{UK} which is the result of (Lewis et al., 2021) application of
682 the Danish PLI to UK data. The relatively similar threshold values demonstrate that the PLI is transferable
683 to other European countries. However, the threshold values provided in Table 1.5.1. may need to be
684 calculated for each country based on national pesticide use data. This is because pesticide products and
685 their formulation may vary between countries and change over time (Möhring et al., 2021). In addition,
686 the threshold values of the indicators presented represent the distribution of the calculated values on a
687 national scale (Kudsk et al., 2018).

688 To calculate the PLI, a tangible and transparent public tool for indicator computation is available in the
689 form of an R package (Möhring et al., 2021). It requires data on farmers’ pesticide application (i.e. the
690 annual pesticide statistics; using sales data instead may not reflect the actual use by farmers). A key
691 challenge here appears to be that available pesticide use data differ in quality. Moreover, the low
692 accessibility of national databases on registered pesticides calls for improvements. Information on
693 pesticide properties needed for the computation such as BCF or DT_{50} can be integrated from the Pesticide
694 Properties Database (licensed) (<http://sitem.herts.ac.uk/aeru/ppdb/en/index.htm>; (Lewis et al., 2016)).
695 Upon computation of the PL for each pesticide product, the PL may be aggregated at a field and crop-,
696 farm, regional or national level. The PLI can also be used for mapping. This has already been done for
697 Denmark where pesticide use data is available for each farm (Kudsk et al., 2018). The maps produced thus
698 provide detailed information on pesticide use in different regions.

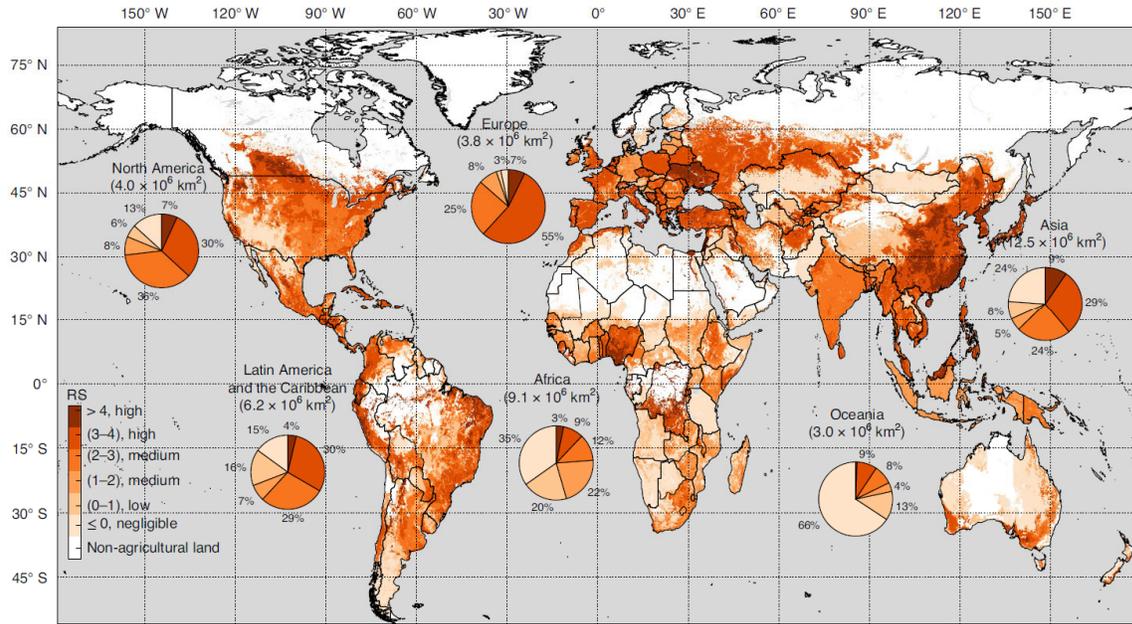
699 **Table 1.5.1** Three different pesticide load indicators for suggestion to define scores to be subtracted from
 700 the soil health key in SHERPA.

PLI_{Fate} (Kudsk et al., 2018, Fig.3C)	PLI_{Total} (Kudsk et al., 2018, Fig. 2B)	PLI_{UK} (Lewis et al., 2021, Fig. 3) (*10exp6)	Scores to subtract
0	0.0	0	0
0.2	0.6	0.2	1
0.3	1.2	0.5	2
0.4	1.4	1	3
0.5	1.6	1.5	4
0.7	2.0	2	5
0.9	2.4	2.5	6
1	3.0	3	7
1.2	3.6	3,5	8
1.3	4	4	9

701

702 The above approaches look promising and will result in country and region-specific pesticide risk
 703 assessment within the overall assessment of soil health within SHERPA. However, the country or region-
 704 specific risk indicators are not available yet. As such, for the current calculation of SHERPA in this study
 705 we rely on the data from (Tang et al., 2021) where the environmental pollution risk caused by 92 active
 706 pesticide ingredients in 168 countries globally is considered. Here, to be able to go large scale, a simplified
 707 approach has been developed, where a region is considered to be at risk of pollution if pesticide residues
 708 in the environment exceed the no-effect concentrations (Tang et al., 2021). The pesticide-no-effect-
 709 concentrations (PNEC) of the 92 selected active ingredients (AIs) in each of the four environmental
 710 compartments (atmosphere, surface water, groundwater and soil) were defined in using an assessment
 711 factor approach with acute toxicity data (see (Tang et al., 2021) for details). To calculate the SHERPA scores
 712 of pesticide pollution to be subtracted from the overall soil health, we subdivided the six risk classes of
 713 (Tang et al., 2021) (Fig. 1.5.1.) using the active ingredient concentrations (Fig. 1.5.2.) to classify the ten
 714 scoring classes of SHERPA (Table 1.5.2.).

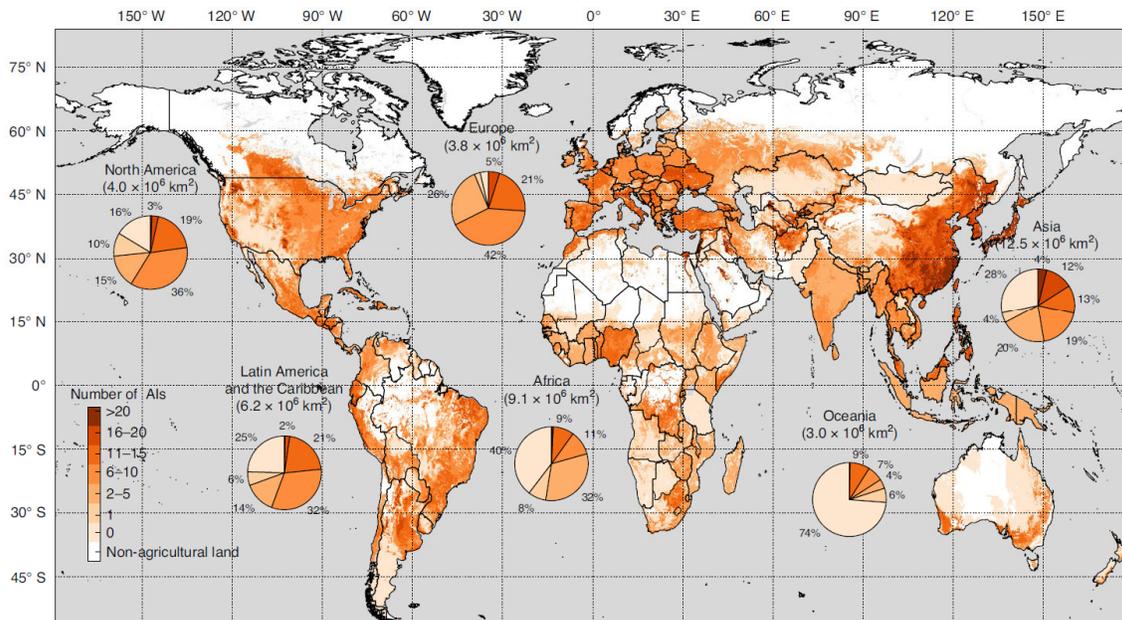
715



716

717 Fig. 1.5.1 Global map of pesticide RS. The map has a spatial resolution of 5 arcmin, which is approximately 10 km \times 10 km at the
 718 Equator. The pie charts represent the fraction of agricultural land classed under different RS in each region, and the values in
 719 parentheses above the pie charts denote the total agricultural land in that region (Tang et al., 2021).

720



721

722 Fig. 1.5.2 Global map of the number of AIs posing risks to the environment. The map has a spatial resolution of 5 arcmin, which
 723 is approximately 10 km \times 10 km at the Equator. The pie charts represent the fraction of agricultural land contaminated by different
 724 numbers of AIs in each region, and the values in parentheses above the pie charts denote the total agricultural land in that region
 725 (Tang et al., 2021).

726

727 **Table 1.5.2.** Pesticide Risk and Active Ingredients of (Tang et al., 2021) combined to a pesticide health
 728 score to subtract from soil health.

Pesticide Risk class (RS)	Active ingredients (AI)	Scores to subtract
≤ 0 (negligible)	0.0	0
≤ 0 (negligible)	> 0	1
0-1 (low)	> 0-10	2
1-2 (medium low)	>1 - 10	3
1-2 (medium low)	> 10 – 20	4
2-3 (medium)	> 1 – 10	5
2-3 (medium)	> 10 – 20	6
3-4 (high)	>1 - 10	7
3-4 (high)	> 10 – 20	8
>4 (very high)	> 10	9

729

730 **1.6. Assessment of salinization**

731 As salinization in recent literature is based on electrical conductivity (EC) (dS m⁻¹) of the soil water
 732 extract (Hassani et al., 2020; Hassani et al., 2024a), a separation of calcareous (e.g., containing calcium
 733 or magnesium carbonate) from saline or alkaline soils (containing sodium chloride, sodium carbonate of
 734 sodium sulphates) is necessary (**Table 1.7.1**). Also, naturally saline or alkaline soils will not be counted as
 735 “*unhealthy*” as they form naturally saline/alkaline ecosystems with low vegetation cover and/ or
 736 halophytic vegetation with a very precious and special biodiversity. These ecosystems are either formed
 737 from the influence of sea water in coastal conditions, high geogenic salt contents or by naturally dry
 738 climatic conditions with ascending groundwater input inducing evaporation to precipitation ratios
 739 above 1.

740 Traditionally, the threshold value of EC of saturated soil extract E_{Ce} (indicating the ability of a water-
 741 saturated soil paste extract to conduct electrical current representative of salinity severity) has been one
 742 of the primary indicators for identifying saline soils (Hassani et al., 2020). The threshold values used for
 743 E_{Ce}, however, can differ depending on the soil classification system. In this study, we adopted E_{Ce} of 4
 744 dS m⁻¹ as the critical thresholds, reflecting the lowest agronomic limits acceptable for crops (19) which is
 745 adopted by recent papers to determine the extent of saline soil on a global scale (Hassani et al., 2020;
 746 Hassani et al., 2021; Hassani et al., 2024a; Hassani et al., 2024b). As such, SHERPA classifies this as
 747 severely degraded due to salinisation (scores of - 9 to be subtracted).

748 To define the salinity score to be subtracted from the soil health key in SHERPA, we analyzed the
 749 sensitivity of a wide range of plants to soil salinity. Plants tolerate salinity up to a certain threshold
 750 above which they will be negatively impacted (e.g., in the case of agricultural crops, the yield begins to
 751 decrease or in natural grasslands or forests population dynamics of flora and fauna will be altered).
 752 Using literature data, we determined salt tolerance and salinity threshold of more than 140 different
 753 plants including herbaceous and woody crops from (Maas and Grattan, 1999) as well as agricultural
 754 crops from (Maas and Hoffman, 1977). The threshold values below 2 dS/m and above 4 dS/m were
 755 excluded from the database because our scoring system is being proposed for soil with the salinity
 756 between 2 to 4 dS/m. Then, we performed a hierarchical clustering analysis (Hastie et al., 2009) on
 757 salinity threshold values to group similar salt tolerance levels into distinct clusters. A distance matrix was
 758 calculated for these values, and hierarchical clustering with the complete linkage method was applied.
 759 The dendrogram was then cut to form eight clusters, each representing a group of closely related
 760 salinity values with higher values indicating higher salinity levels. For each cluster, we defined the left
 761 and right boundaries, and used a nearest cluster center approach to assign new data points based on
 762 proximity to these centers which enabled us to determine the range of salinity for each score presented
 763 in **Table 1.7.2**.

764 **Table 1.7.1.** Separate natural and anthropogenic salinization from calcareous soils

Separate natural and anthropogenic salinization from calcareous soils	Go to
Soils on calcareous bedrock with HCl test indicating calcium carbonate or dolomite within the fine earth	part 2
Soils within the influence of marine waters	part 2
Soils in semi-arid regions with no anthropogenic irrigation	part 2
Anthropogenic irrigated soils with EC level above 2 dS m ⁻¹	1.8.2.

765

766 **Table 1.7.2.** Assessing salinization of irrigated soils by electrical conductivity of soil water extract

Assessing salinization of irrigated soils by electrical conductivity of soil water extract (EC in dS m⁻¹)	subtract scores
< 2 dS m ⁻¹	0
2.0 ≤ EC < 2.2	1
2.2 ≤ EC < 2.4	2
2.4 ≤ EC < 2.6	3
2.6 ≤ EC < 2.9	4
2.9 ≤ EC < 3.1	5
3.1 ≤ EC < 3.4	6
3.4 ≤ EC < 3.7	7
3.7 ≤ EC < 4.0	8
≥ 4 dS m ⁻¹	9

767

768 **1.8. Assessment of compaction**

769 Spatially, soil compaction, after erosion, is the most significant physical soil degradation process with
770 detrimental impact on nearly all physical, chemical and biological soil processes (Smith et al., 2016). Soil
771 productivity and ecosystem health is severely impeded by soil compaction through moisture deficits,
772 restriction in root depth as well as increases in runoff and erosion (Batey, 2009; Shaheb et al., 2021; Zhang
773 et al., 2024). The reduction in soil porosity and permeability reduces the soil's capacity to store air and
774 water as well as impedes water flow, permeability and air diffusivity. The principal causes are compressive
775 forces derived from wheels of agricultural machinery and from the trampling of animals acting on
776 compressible soil (Batey, 2009). The effect of compressive forces to soils depends on many external
777 factors such as weight and speed of machines/ animals, wheel slippery, number of skidding cycles, caution
778 and expertise of machine operators and soil intrinsic factors such as initial bulk density, soil moisture, soil
779 texture, soil structure, clay mineralogy, soil organic matter content and slope (more confined distribution
780 of loads with increasing slope) (Batey, 2009; Cambi et al., 2015; Hamza and Anderson, 2005; Labelle et al.,
781 2022; Zhang et al., 2024).

782 A number of reviews have been published in recent years on the causes, influencing factors, and effects
783 as well as on the mitigation and prevention of soil compaction (Batey, 2009; Cambi et al., 2015; Labelle et
784 al., 2022; Shaheb et al., 2021; Zhang et al., 2024). Furthermore, some of these reviews also assessed the
785 effect of compaction on crop productivity (Hamza and Anderson, 2005; Lacey and Ryan, 2000; Shaheb et
786 al., 2021; Zhang et al., 2024), tree species biodiversity (Latterini et al., 2023) or forest regeneration (Cambi
787 et al., 2015). However, to our knowledge, the degree of compaction in quantifiable numbers is not
788 assessed which would be necessary to link compaction to soil health effects. (Jones et al., 2003) provides
789 tools to assess the vulnerability of soils to soil compaction both on small and large scale considering
790 inherent susceptibility of soils (e.g. soil texture, packing density calculated from bulk density and clay
791 content, organic matter content, soil structure) as well as climatic dryness/subsoil wetness or actual soil
792 moisture status. However, developed maps represent not the actual soil compaction but soil vulnerability
793 to compaction. As such, a soil having a high risk/ high vulnerability might have a low bulk density, low
794 packing density and loose structure, thus being a very healthy soil.

795 Compacted soil may also be created by natural processes, mainly by periglacial conditions creating dense
796 indurated layers (Fitzpatrick, 1956) or naturally occurring pans (Needham et al., 2004). Thus, in some
797 settings, hard-setting soils may form dense and impenetrable layers, unrelated to the application of
798 anthropogenic compressive forces (Mullins et al., 1990). However, in European ecosystems, natural soil
799 formation processes (mostly in forests and grasslands) as well as cropland management (ploughing, if
800 these systems were chosen for agricultural use) might have mitigated periglacial compaction of surface
801 soils during (agro)ecosystem succession. Thus, we assume that this originally natural compaction by
802 periglacial processes is not a common phenomenon in European soils and will thus not be considered by
803 SHERPA.

804 Field criteria to assess compaction include water logging on the surface or in subsurface layers, increase
805 in soil strength, a reduction in visible porosity, changes to soil structure, soil colour and particularly change
806 in distribution of roots and irregular patterns of soil (Batey and McKenzie, 2006; Spoor, 2006). Bulk density
807 and packing density have been proposed as a proxy for soil compaction (Panagos et al., 2023) or soil's

808 vulnerability to compaction (Jones et al., 2003). While data is available at European scale (Panagos et al.,
809 2024) and compacted soils are related to high bulk and packing density, both parameters might not
810 necessarily indicate anthropogenic compaction and soils with medium to even low bulk and packing
811 density might not be free from compaction and/or damage from ruts/machine tracks and life stock trails.

812 For large scale assessment of compaction as aimed at with SHERPA, water logging and signs of machinery
813 tracks/ruts or livestock trails seem the best indicator to choose. Machinery tracks (also called ruts) are the
814 result of vertical and horizontal soil displacement to either the middle or the sides of the skid trail
815 associated with shearing stresses and soil compression in moist or wet soils (Horn et al., 2007). Beyond a
816 critical water content, in fact, tyre or track forces cause soil displacement and rut formation rather than
817 simple compaction. On flat terrain, ruts are collectors of rain or depressions where the water table
818 surfaces, while on slopes they are preferential routes for runoff, which might increase with depth over
819 time due to erosion. We argue that when porosity is reduced in such a way that water flow, oxygen
820 saturation and water percolation is affected, negative soil health effects will definitely occur. In this case,
821 assessing the degree of compaction (or the exact change in bulk and packing density) might be less
822 important over assessing the duration the compaction and its effects on water and gas fluxes in the soil
823 lasts (which is, of course related to the degree of compaction). As such, we propose to map the percent
824 of the observed area affected by compaction as well as the duration of these effects (e.g. in yearly time
825 steps). As observations of compaction result in a wide variation in the degree of compaction encountered
826 in fields, both laterally and vertically (Batey, 2009), we suggest a systematic grid approach, where
827 whenever signs of water logging after rain events and/ or machinery tracks/ life stock trails are visible, the
828 assessed area will be counted as compacted. As such, the following tables (**Table 1.8.1, Table 1.8.2. and**
829 **Table 1.8.3**) were developed.

830 **1.8.1. Compaction of Forest Soils**

831 Forest soil compaction and the alteration of ground morphology are crucial direct effects of forest
832 harvesting carried out using heavy equipment (Cambi et al., 2015). As forest is often stocked on sloped
833 soils, compaction, especially when confined in ruts, might have dramatic ramifications in terms of runoff
834 and erosion not only of the most fertile soil compartment (i.e., the topsoil) but also resulting in deep soil
835 incisions (Cambi et al., 2015). In compacted soils, forest regeneration can be impeded or even prevented
836 for long time periods (Cambi et al., 2015). As in agricultural land, high-pressure tyres, high axle loads in
837 combination with frequent machine passage will cause subsoil compaction (Cambi et al., 2015). However,
838 in contrast to agricultural soils where surface soils might be ploughed, machine tracks will be seen
839 permanently and might thus be a continued sign of surface and subsoil compaction.

840 Forest soils with a naturally lower bulk density compared to cropland and grassland use, are particularly
841 prone to compaction. (Lacey and Ryan, 2000) suggest consideration of litter displacement and/or soil
842 displacement as well as heaps formed from harvesting residues in addition to considering ruts/ machine
843 tracks. As such, we separated the tables to assess soil compaction for forest versus grassland or cropland
844 use.

845 **Table 1.8.1.** Forest soils – area (%) with signs of disturbance of mineral soil or humus layer due to
 846 machinery tracks/ruts and/or signs of water logging

Forest soils - area in spatial percent with signs of disturbance of mineral soil or humus layer due to machinery tracks/ruts and/or signs of water logging	subtract scores
No signs	0
≤ 5%	1
>5 - 10%	2
> 10 - 15%	3
>15 - 20%	4
>20 - 30%	5
>30 - 40%	6
>40 - 50%	7
>50 - 60%	8
> 60%	9

847
 848 **1.8.2. Compaction of cropland and grassland soils**
 849

850 The higher frequency of machine passage, lower soil organic matter, ploughing and destruction of soil
 851 structure often leads to subsoil compaction below the plough layer in cropland soils (Batey, 2009;
 852 Ferreira et al., 2022; Shaheb et al., 2021). Subsoil compaction is of serious concern in agricultural land as
 853 it hampers water percolation through the soil profile, restricts rooting depth as well as gas exchange
 854 within the soil and, in addition, may be very persistent or even permanent with very limited mitigation
 855 possibilities (Batey, 2009; Ferreira et al., 2022; Hamza and Anderson, 2005). As such, subsoil
 856 compaction below 40 cm depth has been suggested to cause persistent and permanent reductions in
 857 crop yield (Håkansson and Reeder, 1994; Hamza and Anderson, 2005). Especially if the subsoil provides
 858 a significant proportion of the water or nutrients required by crops to meet transpiration or nutritional
 859 demands, subsoil compaction might seriously affect crop production (Batey, 2009). Ideally, subsoil
 860 vulnerability to compaction should be assessed by direct measurement of soil bearing capacity but
 861 currently no direct practical tests are available (Jones et al., 2003). Subsoil compaction is not necessarily
 862 a phenomenon seen at the surface of the soils such as water logging or machine tracks and is, with
 863 today's tools, not detectable from remote. As such, European monitoring as a ground survey will be
 864 necessary for subsoil compaction to develop detailed maps. As compaction of the surface soil and
 865 subsoil compaction might or might not occur in parallel, add up in their detrimental effects, and need
 866 different measures for assessment, both phenomena will be assessed separately.

867

868 **Table 1.8.2.** Cropland soils and grasslands - area units with signs of water logging at the surface one day
 869 after rain events and/or ruts/machine tracks

. Cropland soils and grasslands - areal units with signs of water logging at the surface one day after rain events and/or ruts/machine tracks	subtract scores
no signs	0
≤ 5%	1
>5 - 10%	2
> 10 - 15%	3
>15 - 20%	4
>20 - 30%	5
>30 - 40%	6
>40 - 50%	7
>50 - 60%	8
more than 60%	9

870
 871 **Table 1.8.3.** Cropland soils and grasslands showing signs of subsoil (> 30 cm depth) compaction assessed
 872 in a cross-sectional area of a representative soil profile

Cropland soils and grasslands showing signs of subsoil (> 30 cm depth) compaction assessed in a cross-sectional area of a representative soil profile	subtract scores
No signs	0
Bulk density below plough layer increasing but no indication of inducing any stagnic properties nor restriction of rooting depth	1
Bulk density below plough layer increasing with first signs of stagnic properties detectable but no indication of a restriction in rooting depth	2
Bulk density below plough layer increasing with clearly visible stagnic properties but no indication of a restriction in rooting depth	3
Bulk density below plough layer increasing with clearly visible stagnic properties and /or first indications of restrictions of rooting depth	4
Bulk density below plough layer increasing with indications of restrictions of rooting depth in 10 - 20% of the cross-sectional area	5
Bulk density below plough layer increasing with indications of restrictions of rooting depth in 21 - 30% of the cross-sectional area	6
Bulk density below plough layer increasing with indications of restrictions of rooting depth in 31 - 40% of the cross-sectional area	7
Bulk density below plough layer increasing with indications of restrictions of rooting depth in 41 - 50% of the cross-sectional area	8
Bulk density below plough layer increasing with indications of restrictions of rooting depth in > 50% of the cross-sectional area	9

873
 874 The above scoring would be the best to follow according to soil science knowledge. However, data is not
 875 available on European scale. As such, for the current assessment in this study we followed an approach
 876 relying on how bulk densities dependent on specific soil texture classes will affect plant growth (Nyéki et

877 al., 2017) quoted from (USDA, 1987). The bulk density data was obtained from (Panagos et al., 2024)
 878 and the soil texture classes from (Ballabio et al., 2016).

879 **Table 1.8.4.** Soil health scoring based on the general relationship of soil bulk density to root growth
 880 classified for different soil texture groups according to (USDA, 1987) quoted in (Nyéki et al., 2017).
 881

Soil texture	Bulk densities suitable for plant growth (g/cm ³)	Bulk densities that affect root growth (g/cm ³)	Bulk densities that restrict root growth (g/cm ³)
Sands, loamy sands	<1.60	1.69	>1.80
Sandy loams, loams	<1.40	1.63	>1.80
Sandy clay loams, clay loams	<1.40	1.60	>1.75
Silts, silt loams	<1.40	1.60	>1.75
Silt loams, silty clay loams	<1.40	1.55	>1.65
Sandy clays, silty clays, clay loams	<1.10	1.49	>1.58
Clay (>45% clay)	<1.10	1.39	>1.47
Scores subtracted	0	3	9

882
 883

884 1.9. Assessment of soil organic matter loss

885 Another parameter which is ubiquitous as an indicator in all soil health assessment studies is soil organic
 886 carbon (SOC). Soil organic matter is the primary food source for soil microorganisms, and soil organic
 887 matter has such a profound effect on numerous soil physical, chemical, and biological properties that
 888 organic matter management is crucial in maintaining soil health (Larkin, 2015). In addition to providing
 889 nutrients and energy for the soil biota, organic matter stabilizes soil structure and water relations (used
 890 here to refer to all aspects of water infiltration, storage, availability, etc.) and increases soil fertility
 891 (Larkin, 2015). Changes in SOC response to management, soil threats or land use are difficult not only to
 892 detect, because the soil pool is so large but even more so to predict or understand, as, e.g., increases in
 893 SOC can indicated a change towards healthier, nutrient enriched soils with an active soil community but
 894 might also indicate a decline in soil biodiversity and inhibition of degradation processes. The same holds
 895 true for soil organic matter fractions such us labile or active carbon, particulate organic matter,
 896 permanganate-oxidizable carbon, or dissolved organic carbon, all of which are more difficult to quantify
 897 but do not indicate clear relationships to certain soil processes or functions (Bünemann et al., 2018).
 898 Thus, as we do agree that soil organic carbon will always be an important indicator in any soil survey,
 899 soil monitoring or stakeholder assessment when expert judgement is involved in decision based iterative
 900 judgements, SHERPA does not include absolute values of SOC but rather decreasing trends over time.
 901 We do think that a steady, ongoing loss of SOC in grasslands or cropland soils is clearly pointing towards
 902 a loss in soil health. Thus, SHERPA does not consider absolute SOC or any of its fractions as an indicator,
 903 but the steady, ongoing loss or SOC in cropland soils. We used the data of (De Rosa et al., 2024) and
 904 based on their European maps and classification developed the following scoring (Table 1.9). Note that
 905 the soil loss data was available in gC kg⁻¹yr⁻¹, and we used the bulk density from (Panagos et al., 2024)
 906 to convert it into tC ha⁻¹yr⁻¹.

907

908 **Table 1.9** Soil organic carbon (SOC) loss for the 2009–2018 period [t C ha⁻¹yr⁻¹]

C loss [t C ha⁻¹yr⁻¹]	Scores subtracted
0 to positive (e.g., SOC accumulation)	0
< 0 to -0.5	1
< -0.5 to -1.5	2
< -1.5 to -3	3
< -3 to -4	4
< -4 to -5	5
< -5 to -6	6
< -6 to -7	7
< -7- to -8	8
< -8	9

909

910

911 **Part 2: Definition of Soil Health based on emergent soil profile characteristics**

912 In **Part 1**, depending on human induced soil degradation and/or negative anthropogenic impacts on
913 soils, scores are attributed to be subtracted from the scores obtained here in assessing the intrinsic
914 health of soils. This might result in overall negative values, depending on soil threats accumulating. Soil
915 health index of **Part 2** is scaled from 1-10, with 1 being the lowest soil health, 10 being the healthiest
916 soil.

917

918 **Table 2.0.** Land Use – First Level Identifier

0. Land use – First level identifier	Go to
Non-drained Forests and bushland	2.1
Non-drained Grassland including dwarf shrubs	2.2
Non-drained Cropland, orchards, vineyards, non-permanent grassland	2.3
Wetlands/organic soils ¹ with organic carbon > 20% and any land drained independent of land use	n.c.*

919 ¹according to (WRB, 2022): Organic soils = If OC>20 % and mineral soils = if OC<20 %.

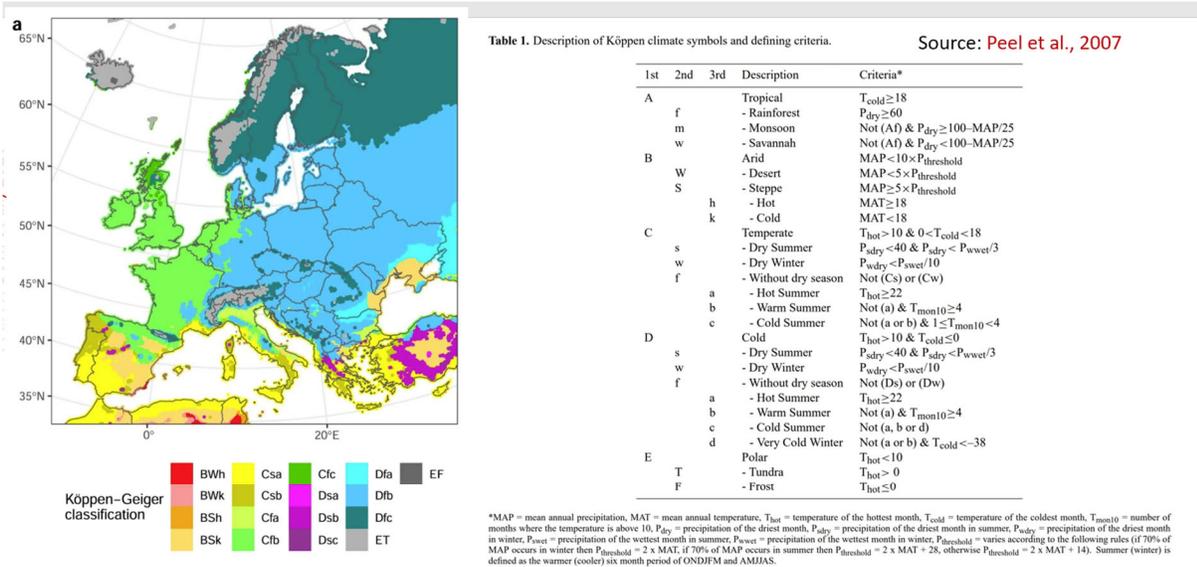
920 * n.c. = not considered yet. Please note that even though we are currently developing a framework for wetland assessment and
921 mapping, assessing wetlands were beyond the scope of this current manuscript

922

923 **2.1. Intrinsic soil health assessment of forests**

924 Soil health classification will follow a combination of climate classes (considering longitude and latitude,
925 altitude, precipitation and aspect), nutrient and acidity status of the soil forming bedrock strata, humus
926 layer development and possible disturbance. To develop the below tables we used the International Co-
927 operative Program on Assessment and Monitoring of Air Pollution Effects on Forest (ICP forest; <http://icp-forests.net/>)
928 data set (Ferretti and Fischer, 2013; Haußmann and Fischer, 2004; Puletti et al., 2019) to
929 develop respective combinations of the above mentioned parameters to assign soil health scores. We
930 argue that in a healthy forest ecosystem we can expect to find (i) either either humus layer mull (e.g., no
931 organic humus layer on the surface) on nutrient rich soils of temperate or Mediterranean climate or (ii) if
932 conditions progress to cooler and humid climates and/or nutrient poorer and/or more acidic conditions a
933 fully developed humus layer covering the mineral soil. Considering all ruling out criteria of Part 1, we could
934 not really think of situations of degraded forest soils if the humus layer is either mull on nutrient rich low
935 altitude situations or fully developed with 100% aerial coverage of the underlying mineral soil in cool and
936 humid and/or acid and/or high-altitude systems.

937 As the ICP forest data did not indicate principal differences between the classes Csa, Cfb, Dfc and Dfc of
938 the Köppen-Geiger climate classification for Europe (Beck et al., 2020; Peel et al., 2007) regarding organic
939 humus layer thickness or development, these classes were merged to one respective table. We separated
940 ET (polar, tundra and alpine, **Figure 2.1**) as well as all ecosystems with altitudes above 1400 m and/or
941 forests soils with sand content of $\geq 85\%$ from all other forest ecosystems. In these forest ecosystems, we
942 expect humus forms other than mull, e.g. even in healthy forest ecosystems delayed degradation of litter
943 and thus a closed organic humus layer as surface cover can be expected.



Start with **Csa** temperate, dry summer, hot summer
Cfb temperate, without dry season, warm summer
Dfb cold, without dry season, warm summer
Dfc cold, without dry season, cold summer
 ET polar, Tundra

944

945 **Figure 2.1:** Description of Köppen-Geiger climate classification for Europe

946 Underlying bedrock strata will be assigned according to region specific maps (using the ones with the
 947 best spatial resolution). Geological formations were translated into classes relating to their mineralogical
 948 nutrient and acidity content (see Supporting Information 2, Table S2.4.). Here, it is critical to have
 949 information on the top stratums, covering the source rock formations, as soils develop on these top
 950 stratums (e.g., Quaternary layers like aeolian, fluvial, glacial deposits). In many areas of Europe, this
 951 information might not be available yet, which might thus be an important demand to politics and
 952 regional and national authorities, to make this data available.

953 The humus layer has long been acknowledged as a fundamental component of numerous biological and
 954 physico-chemical processes that are vital for soil development and the functioning of terrestrial
 955 ecosystems within forest environments (Ponge et al., 2007) Consequently, it serves as a critical indicator
 956 of forest soil health. However, it is only recently that the concept emerged of humus forms as a digest of
 957 major processes which shape and stabilize ecosystems, pointing to the need for a better and worldwide
 958 assessment of diagnostic characters of humus forms (Ponge, 2003; Zanella et al., 2011). Even though the
 959 general concept and classification of three different humus layer types is generally agreed upon
 960 worldwide (Mull, Moder, Mor/Raw humus) many region and country specific differences and specialties
 961 exist in the exact classification (Zanella et al., 2011). Thus, we use occurrence and thickness of humus
 962 layer rather than humus forms as well as the general occurrence of a closed humus layer cover above
 963 the mineral soil as identifiers for soil health. In this, we relate to the data set of the ICP-Forest (Ferretti
 964 and Fischer, 2013; Haußmann and Fischer, 2004; Puletti et al., 2019) on calcareous or nutrient rich
 965 bedrock strata to delineate tables of soil health scores dependent on humus horizon thickness (Oi, Oe,
 966 Oa) stratified by climate, geology and altitude. On bedrock strata which are nutrient poor or even acid in
 967 weathering as well as on high altitude forest ecosystems, we rely on closed humus layer coverage of the

968 soil surface to indicate a healthy forest ecosystem (please keep in mind that soil degradation threats like
 969 nitrogen surplus, compaction, pollution or erosion are considered in Part 1 as the ruling out criteria).

970 Plantation forests will be assessed with the same key as natural or semi-natural forests, as we can
 971 expect the same processes and ecosystem functions in all these forested systems. If plantation forests
 972 are in a transition phase from cropland or grassland to forest systems or are intensively managed, this
 973 indicates a lower ecosystem stability which will be considered by SHERPA as we do not expect a fully
 974 developed humus layer coverage and/or signs of compaction (tracks of heavy machinery). If pesticides
 975 are used or degradation due to compaction is noted this will be considered by the ruling out criteria of
 976 part 1 of SHERPA.

977 In summary, to assess forest soil health, the following tables of the key will ask for (i) climate classes
 978 according to Köppen - Geiger, (ii) altitude (separating the high-altitude mountain soils), (iii) soil forming
 979 bedrock layers considering mineralogy and acidity content and finally (iv) organic layer thickness and
 980 possible disturbance.

981 **Table 2.1.** Forest – climate classification according to Köppen-Geiger, altitude and soil texture?

Climate classification according to Köppen-Geiger, altitude and soil texture?	Go to
Csa (temperate, dry summer, hot summer), Cfb (temperate, without dry season, warm summer), Dfb (cold, without dry season, warm summer) or Dfc (cold, without dry season, cold summer) and sand content < 85%*	2.1.1.
ET polar, Tundra (and alpine) and/or altitude above 1400 m and/or sand content > 85%*	2.1.2.

982 *following WRB definition of soil texture sand (WRB, 2022)*

983 **Table 2.1.1** Forest, climate temperate, dry summer, hot summer (Csa), temperate, without dry season,
 984 warm summer (Cfb), cold without dry season, warm or cold summer (Dfb or Dfc) – Geology¹ and
 985 altitude?

Forest, climate temperate, dry summer, hot summer (Csa), temperate, without dry season, warm summer (Cfb), cold without dry season, warm or cold summer (Dfb or Dfc) – Geology ¹ and altitude	Go to
Calcareous bedrock or siliceous bedrock containing carbonates or siliceous base rich (e.g., Basalt, Opalinus clay) below 1400 m altitude	2.1.1.1
Siliceous medium base content (e.g. Granite) below 1400 m altitude	2.1.1.2

986 ¹for the defined geological classes see region specific tables in Appendix 2.1

987

988 **Table 2.1.1.1.** Forest, climate Csa, Cfb, Dfb or Dfc, Calcareous bedrock or siliceous bedrock containing
 989 carbonates or siliceous base rich bedrock below 1400 m altitude - organic layer thickness

Forest, climate Csa, Cfb, Dfb or Dfc, Calcareous bedrock or siliceous bedrock containing carbonates or siliceous base rich bedrock below 1400 m altitude – organic layer composition?	Soil health
Signs of disturbance of the mineral soil or of the closed coverage of the mineral soil by humus layer	go to 2.1.1.1A
Only litter layer ¹ present, no developed O horizons ²	10
Only litter layer ¹ present, patches of Oi horizon developing	9
Oi ≤ 1 cm	8
Oi ≤ 1 cm and Oe ≤ 0.5 cm	7
Oi 1 -2 cm and Oe 0.5 - 1 cm	6
Oi 2 - 3 cm and Oe 1 - 2 cm	5
Oi 2 - 3 cm and Oe 1 - 2 cm and patches of Oa developing	4
Oi 2 - 3 cm and Oe 1 - 2 cm and Oa < 1 cm	3
Oi 2 - 5 cm and Oe 1 - 3 cm and Oa 1 -2 cm	2
Oi 2 - 5 cm and Oe 1 - 3 cm and Oa > 2 cm	1

990
 991 ¹A litter layer is a loose layer that contains > 90% (by volume, related to the fine earth plus all dead plant remnants)
 992 recognizable dead plant tissues (e.g. undecomposed leaves)
 993 ²Oi - Organic material in an initial state of decomposition; after gently rubbing, > two thirds of the volume (related to the fine
 994 earth plus all dead plant remnants) consist of recognizable dead plant tissues
 995 Oe – Organic material in an intermediate state of decomposition; after gently rubbing, ≤ two thirds and > one sixth of the
 996 volume (related to the fine earth plus all dead plant remnants) consist of recognizable dead plant tissues
 997 Oa – Organic material in an advanced state of decomposition; after gently rubbing, ≤ one sixth of the volume (related to the
 998 fine earth plus all dead plant remnants) consists of recognizable dead plant tissues;
 999

1000 **Table 2.1.1.1A.** Forest, climate Csa, Cfb, Dfb or Dfc, Calcareous bedrock or siliceous bedrock containing
 1001 carbonates or siliceous base rich bedrock below 1400 m altitude - with signs of disturbance of mineral

2.1.1.1A Forest, climate Csa, Cfb, Dfb or Dfc, Calcareous bedrock or siliceous bedrock containing carbonates or siliceous base rich bedrock below 1400 m altitude - with signs of disturbance of mineral soil or organic humus layer?	Soil health
Up to 10% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	8
> 10 - 15% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	7
> 15 - 20% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	6
> 20 – 30% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	5
> 30 - 40- % of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	4
> 40 - 50% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	3
> 50 - 60% of the area with signs of disturbance of the mineral soil or of a closed coverage of the mineral soil by organic humus layer*	2
> 60% of the area with signs of the mineral soil or of disturbance of a closed coverage of the mineral soil by organic humus layer*	1

1002
 1003 ** note that disturbance of humus layer and compaction of forest soil by machine tracks is not considered here, but in table 1.9 of*
 1004 *part 1 of SHERPA. Here, the disturbance of the humus layer by overstocking with game, touristic use, construction (e.g.,*
 1005 *windfarming), snow processes or forms of erosion that are not considered in Table 1.1.1 (soil erosion) or Table 1.1.2. (landslide*
 1006 *density).*
 1007

1008 **Table 2.1.1.2** Forest, climate Csa, Cfb, Dfb or Dfc, siliceous medium base bedrock below 1400 m altitude

Forest, climate Csa, Cfb, Dfb or Dfc, siliceous medium base bedrock below 1400 m altitude - organic layer composition?	Soil health
Oi ≤ 1 cm and no signs of disturbance of a closed coverage of the mineral soil by humus layer	10
Oi ≤ 1 cm and Oe ≤ 0.5 cm and no signs of disturbance of a closed coverage of the mineral soil by humus layer*	9
Oi 1 - 2 cm and Oe 0.5 - 1 cm or less than 10% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	8
Oi 2 - 3 cm and Oe 1 - 2 cm or less than 15% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	7
Oi 2 - 3 cm and Oe 1 - 2 cm and patches of Oa developing or less than 20% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	6
Oi 2 - 3 cm and Oe 1 - 2 cm and Oa < 1 cm or less than 30% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	5
Oi 2 - 5 cm and Oe 1 - 3 cm and Oa 1 - 2 cm or less than 40% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	4
Oi 2 - 5 cm and Oe 1 - 3 cm and Oa 2 - 3 cm or or less than 50% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	3
Oi 2 - 5 cm and Oe 2 - 5 cm and Oa 3 - 4 cm or or less than 60% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	2
Oi 2 - 5 cm and Oe 2 - 5 cm and Oa > 4 cm or more than 60% of the area with signs of disturbance of a closed coverage of the mineral soil by humus layer*	1

1009
 1010 ** note that disturbance of humus layer and compaction of forest soil by machine tracks is not considered here, but in table 1.9 of*
 1011 *part 1 of SHERPA. Here, the disturbance of the humus layer by overstocking with game, touristic use, snow processes or forms of*
 1012 *erosion that are not considered in Table 1.1.1 (soil erosion) or Table 1.1.2. (landslide density).*

1013 **Table 2.1.2.** Forest, climate ET polar, Tundra (and alpine) and/or altitude above 1400 m and/or sand
 1014 content > 85% - organic layer coverage of soil surface?

Forest, climate ET polar, Tundra (and alpine) and/or altitude above 1400 m and/or sand content > 85% - organic layer coverage of soil surface?	Soil health
100% soil surface covered with closed organic humus layer, no signs of disturbance	10
> 95% soil surface covered with closed organic humus layer, less than 5% of the area with signs of disturbance of the organic humus layer	9
90% soil surface covered with closed organic humus layer, less than 10% of the area with signs of disturbance of the organic humus layer	8
80% soil surface covered with closed organic humus layer, less than 20% of the area with signs of disturbance of the organic humus layer	7
70% soil surface covered with closed organic humus layer; less than 3% of the area with signs of disturbance of the organic humus layer	6
60% soil surface covered with closed organic humus layer, up to 40% of the area with signs of disturbance of the organic humus layer	5
50% soil surface covered with closed organic humus layer, up to 50% of the area with signs of disturbance of the organic humus layer	4
40% soil surface covered with closed organic humus layer, up to 60% of the area with signs of disturbance of the organic humus layer	3
30% soil surface covered with closed organic humus layer, up to 70% of the area with signs of disturbance of the organic humus layer	2
<30% soil surface covered with closed organic humus layer, more than 70% of the area with signs of disturbance of the organic humus layer	1

1015
 1016 Due to the absence of data on a European scale regarding the disturbance of the organic humus layer in
 1017 forests, we relied on the thickness of the organic humus layer only in this study. Consequently, SHERPA
 1018 is likely to overestimate soil health in forests given the current state of data availability; however, this
 1019 information will be critically needed in the future.

1020

1021 2.2. Intrinsic soil health assessment of grassland soils

1022 We separate permanent from non-permanent grasslands defining permanent grasslands as all
1023 grasslands, which had continuous, stable grass cover throughout the last five years (Smit et al., 2008) or,
1024 in Mediterranean areas, throughout the last five winter and spring seasons. In contrast, non-permanent
1025 grasslands, when subjected to intensive use, are routinely ploughed under to remove unproductive
1026 grass species and herbs, typically every 3 to 4 years, depending on the region and local land
1027 management practices. Alternatively, these areas may be utilized in different ways and subsequently re-
1028 seeded as grasslands after a designated period. After tillage and, often, herbicide treatment (leaving
1029 sometimes the soil bare for a few months) highly productive grass species with low diversity (3-4
1030 species) are re-sown to create a high productive pasture/ meadow for intensive production. These
1031 grasslands are characterized by a low biodiversity which is not connected to the site-specific
1032 characteristics. However, we cannot classify these systems *per se* rigorously as “unhealthy”, because the
1033 management aims and agricultural practices are parallel to cropland systems. We will thus classify non-
1034 permanent grasslands together with cropland soils (Tables 2.4.).

1035 The rationale for permanent grasslands follows the concept that usually vegetation cover is a safe
1036 indicator for soil health of grassland soils (considering that any form of contamination including
1037 pesticide treatment is assessed in Part 1). Wherever we have degradation by livestock (trails as well as
1038 sheet erosion due to overgrazing), construction, land sliding, erosion, snow ablation or avalanche
1039 activity this will show up in a reduced vegetation cover. However, there are some exceptions (see
1040 Supplementary Information 2, Figure S2.3) like livestock resting places as well as places around farms,
1041 settlements with heavy manure or waste water input which might have high vegetation cover but not
1042 necessarily a diverse community typical for permanent grasslands. Instead, we will find monocultures or
1043 low-diverse cultures from e.g., *Rumex spec.*, *Epilobium spec.* (see Figure S2.3, example of *Rumex* mats
1044 with some *Epilobium* monoculture at the edge, example from Val Piora Alpe, Ticino, Switzerland). This is
1045 mostly very local. Here, spectral indices could be developed reflecting the heterogeneity of grasslands.
1046 E.g., if we have dense *Rumex* communities (or, another example, *Calamagrostis* mats) with 1 to 2
1047 species only, we should have a very homogenous spectral reflectance. However, as this is very local,
1048 often close to settlements or alpine huts, these areas will not be considered at the moment but will be
1049 left for future projects to be covered. In any case, they will be scored negatively in part 1 of SHERPA, as
1050 they are subject to high nitrogen and phosphorus surplus and prone to compaction.

1051 Regarding species diversity, we expect that with permanent grassland development for more than 5
1052 years, species composition will adapt to a) ecological zone parameters and b) land use. However,
1053 grasslands are managed ecosystems, like cropland soils. If we would subtract scores, just because the
1054 grassland is not natural any more, this would also mean, that agricultural use would by definition never
1055 be assessed as good soil health. Eventually, separate tables for natural grasslands could be developed,
1056 however, we do not really have many natural grasslands in Europe except the higher alps. The latter
1057 zones would be classified with high soil health score, already, except they have high rates of erosion.

1058 In summary, the key for the grasslands soils follows the order of (i) asking for permanence of grassland
1059 over winter and spring in the Mediterranean and full year-round in all other climate zones for more than
1060 5 years, and (ii) mapping fractional vegetation cover. Human induced degradation as compaction,
1061 nitrogen or phosphorus surplus, erosion and land sliding or pesticide input will be assessed in Part 1 of
1062 this key.

2.2. Grassland – permanence of grassland?	Go to
Grassland vegetation permanent all year round > 5 years or Mediterranean climate (Köppen-Geiger Class B (arid), Csa or Csb (temperate, dry summer, warm or hot summer)) with vegetation cover permanent during winter and spring > 5 years	2.2.1
Grassland non-permanent last five years (follow tables for cropland)	2.3.

1063

2.2.1. Permanent Grassland- Vegetation cover (VC) by FVC* (= living vegetation)	Soil health
VC 100%	10
VC < 100 - 98%	9
VC < 98 - 95%	8
VC < 95- 90%	7
VC < 90 -80%	6
VC < 80 - 75%	5
VC < 75 - 70%	4
VC < 70 - 65%	3
VC < 65 – 60 %	2
VC < 60%	1

1064 **Fractional vegetation cover (= living vegetation) will be assessed over the last 5 years for Dfb and Dfc*
 1065 *climate classes as an average of 4 times per year seasonally distributed (e.g., four satellite images*
 1066 *evaluated) or for climate classes Csa and Cfb (mediterranean grasslands) over 5 years or for 4 times per*
 1067 *winter/spring season*

1068

1069 **2.3. Intrinsic soil health assessment of cropland soils**

1070 As soil threats and soil degradation is considered in part 1 of SHERPA, we consider three main attributes
 1071 as decisive for the intrinsic soil health of cropland soils: surface cover as fractional vegetation cover
 1072 throughout the year (FVC), mineral versus organic fertilizer addition and soil structure. We realize that
 1073 the first two attributes are driving factors of soil health, while the third, soil structure is an intrinsic soil
 1074 property directly indicating the status of soil health.

1075 Vegetation cover throughout the year is an important factor of soil health as it reduces erosion,
 1076 conserves moisture, reduces temperature, intercepts rainfall and suppresses weed growth (Larkin,
 1077 2015). Furthermore, it provides habitat for soil organisms as living plants provide the most readily
 1078 available food source for soil microbes in the rhizosphere, an area of concentrated microbial activity,
 1079 which is the most active part of the soil ecosystem with readily available food and peak nutrient and
 1080 water cycling (Larkin, 2015). Thus, growing plants throughout the year (long-season crops or multiple
 1081 short season crops, rotations, cover crops) helps the soil-food web and helps cycle the nutrients that
 1082 plants need to grow (Larkin, 2015).

1083 It is generally discussed that soil management with organic fertilizer will intensify soil health, due to the
 1084 positive relationship between organic fertilizer input, increase in soil organic matter and carbon
 1085 sequestration, cation exchange capacity, pH and acid buffering capacity, decrease in bulk density,
 1086 improvement of soil structure, water retention and infiltration, increase in permeability, fungal and
 1087 bacterial diversity as well as microbial activity, plant nutrient supply, fruit quality and even suppression
 1088 of plant pathogens and diseases (Hatano et al., 2024; Khasawneh and Othman, 2020; Larkin, 2015;

1089 Lehmann et al., 2020; Rayne and Aula, 2020). While green manure has been discussed to be superior in
1090 its effects on soil health compared to animal products (Khasawneh and Othman, 2020), animal manure
1091 applications have also been concluded to be beneficial for all of the above discussed improvements
1092 (Larkin, 2015; Rayne and Aula, 2020). Conserving and/or maintaining existing soil organic matter levels
1093 needs regular additions of organic matter to replenish soil resources and improve soil health. Organic
1094 matter can be added through crop residues, rotations, and cover crops, as well as via off-field sources of
1095 organic amendments such as compost, manures, and mulches (Larkin, 2015). There has been some
1096 evidence, that the exclusive use of swine manure, even though having many beneficial effects, might
1097 have some negative effects like decreasing bulk density (Yost et al., 2022). However, we consider the
1098 overwhelming evidence of studies on positive effects of organic fertilizer crucial. An overapplication of
1099 organic fertilizer will, of course, have detrimental effects not only on the environment (e.g., nitrogen,
1100 phosphorus leaching) but are also an unappealing management form from a labor and cost perspective.
1101 However, the latter is not needed to be considered for soil health. And, as we consider nitrogen and
1102 phosphorus surplus in part 1 of SHERPA, here the percent of organic fertilizer of the total fertilizer input
1103 will be considered as a generally beneficial factor.

1104 Management practices such as tillage versus conservational tillage (no till or stripping) is not considered
1105 in SHERPA, due to the contradictory effects on soil health. While tillage might increase soil erosion
1106 (which is considered in part 1), conservational tillage might be beneficial in increasing soil's penetration
1107 resistance, organic carbon content and biota biomass, but has also been shown to lead to higher
1108 compaction and sealing (also increasing erosion) and a greater number of root feeding nematodes
1109 (Khasawneh and Othman, 2020).

1110 It might also seem surprising that soil texture is not considered in the below tables, especially as
1111 compaction and soil structure is, of course, strongly dependent on soil texture. However, as we strive for
1112 healthy soils, we need land use and management that is adapted to soil specific characteristics. As such,
1113 a soil which is texture dependent very prone to compaction and/or angular structure (e.g., clay rich)
1114 needs adjusted management practices which prevents compaction and promotes biological turnover
1115 supporting zoogenic soil structure (e.g., granular), otherwise it cannot be considered healthy. Please
1116 note that soil erosion, soil compaction (with consideration of soil texture), overfertilization and erosion
1117 as well as contamination with or application of pesticides is considered in part 1 as ruling out principles.

1118 We realize that FVC, soil structure and the type of fertilizer all influence each other and are not
1119 independent of each other. As such, we do not take the sum of all three, but the mean of soil health
1120 scores developed from each of the attributes to come to a final soil health score for cropland soils. As
1121 such, (co-)dependencies in driving factors will be averaged out.

1122 Thus, intrinsic soil health of cropland soils (SHS) will ideally be calculate as

$$1123 \text{ SHS} = \text{Mean}(\text{SHS}_{\text{FVC}} + \text{SHS}_{\text{SoilStructure}} + \text{SHS}_{\text{Fertilizer}}) \quad (\text{Equation 2.3.1.})$$

1124 With SHS_{FVC} being the soil health score due to fractional vegetation throughout the year (defined in
1125 Table 2.3.1), $\text{SHS}_{\text{SoilStructure}}$ the soil health score due to soil structure (defined in Table 2.3.2.) and
1126 $\text{SHS}_{\text{Fertilizer}}$ the soil health score due to the fraction of organic fertilizer of total fertilizer input (Table
1127 2.3.3.). If soil structure is missing we suggest to follow Equation 2.3.2. with the restriction that soil
1128 structure is an important indicator of intrinsic soil health and that the uncertainty in soil health
1129 assessment is likely increasing considerably:

$$1130 \text{ SHS} = \text{Mean}(\text{SHS}_{\text{FVC}} + \text{SHS}_{\text{Fertilizer}}) \quad (\text{Equation 2.3.1.})$$

1131 To assess SHS_{FVC} we considered that up to two-month (2/12 = 17 % of the year) crops might be in a dry
 1132 stage and thus will not be mapped as living vegetation (note that the FVC is only identifying living
 1133 vegetation). We consider a full soil cover considering up to month of dry vegetation as maximum soil
 1134 health score of 10 (meaning that the temporal FVC should be between 80-100%). We define the limit
 1135 value to the lowest soil health score if the soil is left bare 5 or more months of the year (5/12 of the year
 1136 = 42% plus a possible two month of dry vegetation would be 7/12 = roughly 60% of the time non or non-
 1137 living vegetation, thus 40% of the time living vegetation.) The ranking of the soil health score classes in
 1138 between are equally scaled.

1139 **Table 2.3.1 Soil health score of fractional vegetation cover of cropland soils, orchards, vineyards**

2.3.1. Cropland Soil Vegetation cover (FVC* = living vegetation) as a spatial and temporal mean within 300 * 300 m pixel in 2018	SHS_{FVC}
FVC > 80 - 100%	10
FVC < 75 - 80%	9
FVC < 70 - 75%	8
FVC < 65 - 70%	7
FVC < 60 - 65%	6
FVC < 55 - 60%	5
FVC < 50- 55%	4
FVC < 45- 50%	3
FVC < 40 -45%	2
FVC < 40%	1

1140 **Fractional vegetation cover (= living vegetation) was assessed in 2018 as an average of 36 images in 10*
 1141 *days interval per year seasonally distributed (e.g., proba V satellite images)*

1142 Regarding soil structure, we consider zoogenic structures like granular an indicator of a healthy,
 1143 biological active and divers soil. With subangular soil structure biological activity is clearly decreasing
 1144 and drops to near zero in angular, blocky, cloddy or platy structured soils.

1145 **Table 2.3.2 Soil health score of structure of cropland soils, orchards, vineyards**

2.3.2. Soil health score of soil structure in A horizons following (WRB, 2022) in cropland soils, orchards, vineyards	SHS_{SoilStructure}
Prevailing granular structure	10
Prevailing subangular structure	8
Prevailing angular or cloddy structure with aggregates <100 mm	5
Prevailing angular or cloddy structure with aggregates >100 mm, or columnar, or platy structure	3
Prevailing single grain or massive structure	1

1146

1147 **Table 2.3.3 Soil health score type of fertilizer used in cropland soils, orchards, vineyards**

2.3.3. Percent of organic fertilizer from total fertilizer input in cropland soils, orchards, vineyards (Average 2013-2019, (Tian et al., 2022))	SHS_{Fertilizer}
Organic fertilizer only*	10

95 - 100%	9
< 80 - 95%	8
< 65 - 80%	7
< 50 - 65%	6
< 35 - 50%	5
< 20 - 35%	4
< 10 - 20%	3
> 0 - 10%	2
Mineral fertilizer only	1

1148

1149 We consider assessing the intrinsic soil health score in cropland soil following equation 2.3.1 including
1150 soil structure as the best and scientifically sound way to assess soil health in cropland soils. However,
1151 this information is not available yet on European scale. Thus, for the current study we followed a
1152 combination of vegetation cover throughout the year with the amount of organic versus mineral
1153 fertilizer input (Equation 2.3.2). We realize that this is most likely increasing the uncertainty of the soil
1154 health score in cropland soils, as we do not see the actual state of soil health as it is represented in the
1155 soil structure. But for the time being, we consider the following the best way to move forward.

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