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AgriGAN: Unpaired image dehazing via A Cycle-Consistent Generative Adversarial Network for the Agricultural Plant Phenotype

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11 **Abstract**

12 Artificially extracted agricultural phenotype information has high subjectivity and low accuracy, and the
13 use of image extraction information is easily disturbed by haze. Moreover, the agricultural image dehazing
14 method used to extract such information is ineffective, as the images often contain unclear texture
15 information and image colors. To address these shortcomings, we propose unpaired image dehazing via a
16 cycle-consistent generative adversarial network for the agricultural plant phenotype (AgriGAN). The
17 algorithm improves the dehazing performance of the network by adding the atmospheric scattering model,
18 which improves the discriminator model, and uses the whole-detail consistent discrimination method to
19 improve the efficiency of the discriminator so that the adversarial network can accelerate the convergence
20 to the Nash equilibrium state. Finally, the dehazed images are obtained by training with network
21 adversarial loss + cycle consistent loss. Experiments and a comparative analysis were conducted to
22 evaluate the algorithm, and the results show that it improved the dehazing accuracy of agricultural images,
23 retained detailed texture information, and mitigated the problem of color deviation. In turn, useful
24 information was obtained, such as crop height, chlorophyll and nitrogen content, and the presence and
25 extent of disease. The algorithm's object identification and information extraction can be useful in crop
26 growth monitoring and yield and quality estimation.

27 *Keywords:* Agricultural images, generative adversarial networks, image dehazing, information extraction

28 **1. INTRODUCTION**

29 High-throughput, automated, high-resolution plant phenotypic information collection and analysis
30 technologies are critical for accelerating plant improvement, breeding, yield enhancement, and pest
31 control^[1-2]. Plant phenotypic information collection and analysis techniques are used to parse genomic
32 information. The quantitative study of complex traits related to growth, yield, and adaptation to biotic or

33 abiotic stress is an important way to build plant growth models and collect phenotypic datasets of crops,
34 which can help fill the gap between genomic information and plant phenotypic plasticity. To acquire
35 phenotypic information, researchers measure the specific plant height, canopy temperature, and other
36 parameters of each breeding plot manually^[3-4]. However, the manual measurement method has
37 disadvantages, such as strong randomness, low efficiency, high time consumption, and a small amount of
38 information obtained. With the development of artificial intelligence, machine vision in agriculture can be
39 used to quickly obtain phenotypic information about crops^[5-6]. Furthermore, based on phenotypic
40 information, crops can be effectively monitored dynamically, which is an important means of
41 implementing precision agriculture. However, in practical applications, the images collected in foggy
42 weather are blurry, making it difficult to accurately extract image features. Therefore, a dehazing algorithm
43 for images in the agricultural field is important.

44 In computer vision^[7-9], the existing haze removal methods can be broadly classified into the following
45 three categories: image enhancement algorithms, model-based haze removal algorithms^[10-11], and deep
46 learning approaches^[12-14]. The traditional model-based algorithm analyzes the causes of haze and restores
47 the original haze-free image by establishing an atmospheric scattering model to study the physical
48 principle of image degradation. Therefore, this kind of algorithm is not prone to the obvious loss of image
49 features, but its effect is poor in practical applications. He^[15] proposed a dark channel prior (DCP)
50 algorithm based on the observation that an image captured in the outdoor environment contains many dark
51 pixels, and they obtained good results. However, if most of the image is covered by light or other similar
52 objects, this method cannot be used in the scene. Therefore, Jin^[16] proposed an image dehazing algorithm
53 based on guided filtering and adaptive tolerance for this problem, which solves the problem of distortion
54 in the sky area. However, traditional algorithms are still limited in their effect, so they are not applicable
55 to all scene images.

56 Deep learning has made major strides in various fields, and its use to solve the problem of haze-free
57 has achieved decent results. Li^[17] proposed the AOD-Net, which directly generates clear images through
58 a lightly convolutional neural network combined with an atmospheric scattering model. Xiao^[18] proposed
59 an image conversion algorithm for hazy scenes based on generative adversarial networks, which realizes
60 the mutual conversion between the hazy image and the haze-free image. Zhu^[19] proposed a cycle-
61 consistent adversarial network (CycleGAN) that does not require paired images, and it provides solutions
62 for the problem of scarce datasets. Zhao^[20] proposed a double-discriminator cycle-consistent adversarial
63 network (DD-CycleGAN) for dehazing road scenes. Only a small amount of unpaired data is needed,
64 greatly reducing the difficulty of data collection. As we know, deep learning uses convolution, pooling,
65 and other methods to extract key features in images to solve various problems, but it does not add various
66 models calculated by traditional algorithms. This causes two problems: 1) The network learns the features
67 obtained using traditional algorithms but consumes much time and computing resources. 2) The network
68 does not learn the features obtained using the traditional algorithm, which reduces the accuracy of a certain
69 aspect of the reconstructed image. Given the defects of the dehazing model of the deep learning algorithm,
70 it is necessary to consider the combination of deep learning and traditional methods.

71 Although the above studies have achieved good results, agricultural images have distinct characteristics,
72 such as images overlapping between crop leaves and leaves, leaves and fruits overlapping, and overlapping
73 with shelves. Furthermore, because of the pattern of plant growth, it is theoretically impossible to obtain
74 hazy and haze-free images in the agricultural field at the same time. Therefore, it is not ideal to apply the
75 above algorithm directly to the dehazing effect of farmland images. However, researchers are still trying
76 to perform dehazing research in the field of agriculture.

77 Wei^[21] (2017) proposed a new image dehazing method based on DCP theory and the interval
78 interpolation wavelet transform for the degradation of image quality collected in hazy weather conditions.

79 It combines the DCP model and the interval interpolation wavelet transform to restore the color features
80 of the scene and make the image clearer. Zhang^[22] (2018) first proposed an improved image dehazing
81 approach based on the DCP method and determined optimal enhancement parameters. The clarity of
82 remote-sensing images is often affected by clouds and chaotic media in the atmosphere. Image dehazing
83 can be achieved through the DCP, but there is always a brightness distortion problem after image dehazing.
84 Still, DCP can be used for image dehazing in remote sensing. Fan^[23] (2021) proposed a dehazing method
85 for agricultural images based on a super-pixel-level DCP and an adaptive tolerance mechanism to improve
86 the guided filtering algorithm, aiming at the slow operation speed and poor applicability of the traditional
87 DCP algorithm.

88 For precision agriculture, the purpose of dehazing is not only to improve the clarity of images but also
89 to help computers obtain more reliable phenotypic information and to promote agricultural breeding
90 analysis, growth management, and harvest acquisition. Therefore, our new algorithmic framework
91 combines the atmospheric scattering model with CycleGAN, which adopts an asymmetric dual-
92 discriminator structure to obtain high-quality agricultural scene images with unpaired data.

93 **2.Related works**

94 ***2.1. Dehazing of agricultural images***

95 Agricultural images contain colorful targets with sharp edges and texture details. For precision
96 agriculture, the purpose of dehazing is not only to improve the clarity of the image but also to restore the
97 true color and avoid information bias. In 2019, Gao^[24] proposed a farmland image dehazing algorithm
98 based on the Shannon-Cosine wavelet combined with the fine integration method, and the restored image
99 showed excellent clarity. In 2021, Fan^[23] proposed a dehazing method for agricultural images based on
100 the super-pixel-level dark channel before improving the guided filtering algorithm on agricultural robot
101 visual images. The method obtained high-quality agricultural scene images, and the color information and

clear details of the original image were preserved. The algorithm also retained the color information of the original image and provided a research basis for the analysis of crop phenotype information in precision agriculture.

2.2.GAN

A GAN^[25] consists of two networks: the generator (G) and the discriminator (D). The generator generates fake images to fool the discriminator, and the discriminator learns to distinguish between real and fake images. The goal of G is to maximize the error rate of D, and the goal of D is to maximize the accuracy of discrimination. The training process is an adversarial game that pits G and D against each other. The process can be expressed as follows:

$$\min_G \max_D V(D, G) = E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p_z(z)} [\log(1 - D(G(z)))] \quad (1)$$

Unlike the parameter updates of traditional deep learning, that of a GAN uses back-propagation of the discriminator, and the parameter updates of traditional deep learning only come from sample data. In this scenario, image-to-image translation is a typical task in which a GAN plays an important role. GAN-based image translation refers to converting from one set of domain images to another without changing the subject of the image, while the essence of dehazing is to convert one set of hazy images to another, so dehazing can be used in image translation methods. In unpaired image translation, CycleGAN^[19], DualGAN^[26], and DiscoGAN^[27] all use unsupervised training, which solves the problem of the paired data required by the supervised network. Moreover, CycleGAN, DualGAN, and DiscoGAN all use cycle consistency loss to save the key information of the input and translated images and realize the translation of images in the case of unpaired data. However, the three considerably differ in implementation. CycleGAN adopts the style transfer architecture of Johnson and adds the residual network to the network to stabilize network training, which improves the quality of generated images. In contrast, DualGAN only uses the autoencoder structure, but it achieves a significant translation effect. DiscoGAN draws on the

generator of Pix2pix GAN^[28], which uses the U-net^[29] symmetric structure to join the residual network. It can perform a variety of structural comparisons to prove the effectiveness of the network. However, the above algorithms have been improved for the characteristics of dehazing, so we propose AgriGAN for agricultural dehazing based on CycleGAN.

2.3. Dual-encoding generator based on U-net structure

It has been proved in the relevant knowledge of physics and optics that the algorithm of image dehazing based on the atmospheric scattering model is effective. The atmospheric scattering model uses the propagation properties of light in the atmosphere to build a physical model. The model formula is as follows:

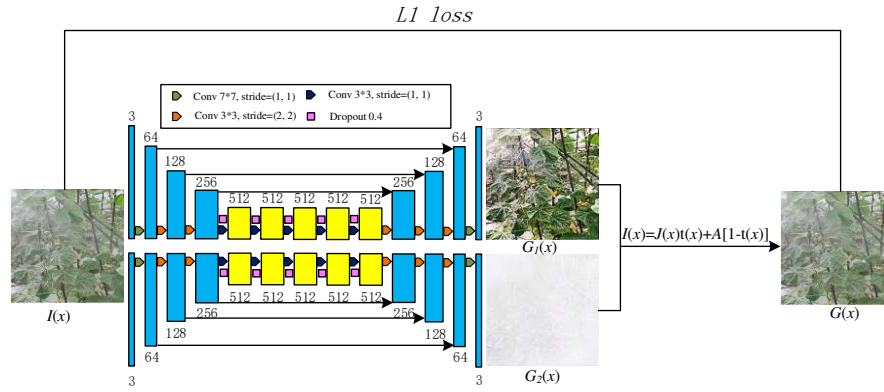
$$I(x) = J(x)t(x) + A[1 - t(x)] \quad (2)$$

where $J(x)$ is the image without haze, $I(x)$ is the image with haze, A is the atmospheric light value, and $t(x)$ is the transmittance. Now, the known condition is that for the image with fog $I(x)$, we take the value with the highest brightness in the original image as the A value. If $J(x)$ is solved, multiple sets of solutions will be available without any restriction.

The current method of generating an adversarial network to solve the problem is as follows: Take $I(x)$ as the input of the generator, take $J(x)$ as the output of the generator, and make the generator obtain a haze-free image through the adversarial training of the generator and the discriminator. Given the aforementioned problems with this approach, Tu et al. proposed the generative adversarial network dehazing algorithm combined with the atmospheric scattering model to achieve high-precision dehazing of paired images. However, in real life, paired image data collection is characterized by high time consumption and cost. Therefore, the proposed non-matching image dehazing algorithm is necessary.

The generator of the model, G2, is shown in Figure 1, in which the encoder downsamples the hazy image, $I(x)$, and after the downsampling, the decoder is used to decode the encoded data. Encoder 1 is

148 similar to the existing deep learning method, which aims to obtain the haze-free image, $J(x)$, and encoder
 149 2 is used to fit the transmittance, $t(x)$. According to the atmospheric scattering model, $I(x)$ and $t(x)$ have a
 150 relationship, so it is feasible to use $I(x)$ to generate $t(x)$. The real haze-free image and the transmittance
 151 are denoted by $J(x)$ and $t(x)$ in the algorithm, respectively. The generated haze-free image and
 152 transmittance are denoted by $G_1(x)$ and $G_2(x)$, respectively. $G_1(x)$ and $G_2(x)$ are restored to the hazy
 153 image, $G(x)$, through the atmospheric scattering model. Take the L1 distance between the $G(x)$ and the
 154 real foggy image, $J(x)$, as the difference. Finally, the network transmits the difference back to the network
 155 to improve the efficiency of the network to generate images. In the structure of the generator, we refer to
 156 the U-net^[29] network structure proposed by Ronneberger, which copies the features of the same dimension
 157 in the downsampling to the upsampling, which reduces the probability of generating poor images. The
 158 downsampling of the network uses the 3×3 convolutional layer and the maximum pooling layer to collect
 159 features from the image. In the upsampling, deconvolution is used to restore the image scale. The structure
 160 of the generator network is shown in Figure 1, while the parameters of the generator network are shown
 161 in Table 1.



162

163 **Fig. 1** The structure of the generator

164 **Table 1** The parameters of the generator network

Kernel size	Kernel size	Stride	Output
2*Conv Block	7	1	64
2*Conv Block	3	2	128
2*Conv Block	3	2	256
2*Residual Block	3	1	512
2*Residual Block	3	1	512

2*Residual Block	3	1	512
2*Residual Block	3	1	512
2*Residual Block	3	1	512
2*Deconv Block	3	2	256
2*Deconv Block	3	2	128
2*Deconv Block	3	2	64
2*Deconv Block	7	1	3

2.4. Discriminator based on detail-holistic consensus discrimination

Unlike traditional generative adversarial networks, we designed the dual-discriminator structure to find features at different levels in images. In the algorithm, the two generators are called the detailed discriminator and the structure discriminator. The structure discriminator captures the overall outline of the image and other information to help the generator enhance the fitting of the overall structure of the image, while the detailed discriminator focuses on capturing the texture, pixels, and other detailed information to enhance the fitting of the details of the generated image by the generator, making the generated image more realistic.

The detailed discriminator has a four-layer network structure. The four-layer network is trained by the Conv-Batch Normalization-Leaky ReLU layer repeatedly. Finally, the learned features are input into the sigmoid function through a 1×1 convolution to calculate the results. The overall structure of the detailed discriminator is shown in Figure 2.

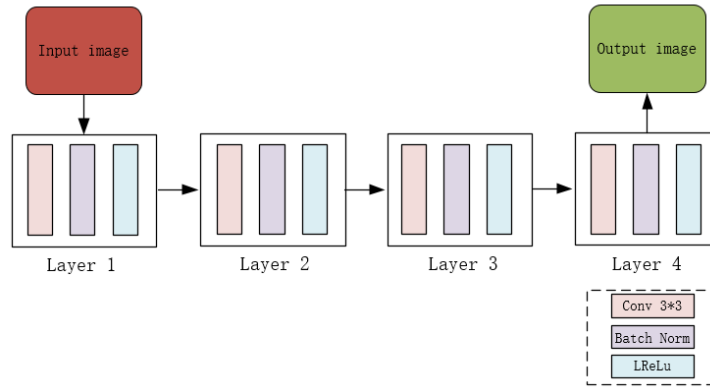
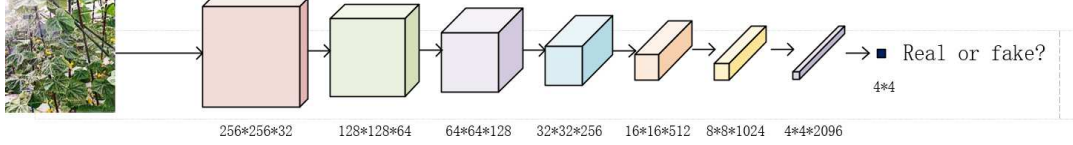


Fig. 2 Detailed discriminator structure

The structure discriminator uses a traditional convolutional neural network, which takes the entire image as input and outputs a 4×4 matrix block after seven layers of convolution-pooling and a sigmoid

181 function. When training the network's ability to discriminate real images, input the real image and label 1
 182 into the discriminator network, and when training the network's ability to discriminate on converted
 183 images, input the converted image and label 0 into the discriminator network. During the test, the
 184 unlabeled image is input into the network, and the network outputs the value of 0–1 to form the
 185 discriminant information. The structure discriminator in the network structure is shown in Figure 3.



186

187 **Fig. 3 Overall discriminator structure**

188 The dual-discriminator structure is used to solve the mode collapse problem of the traditional GAN and
 189 provide stable feedback for the generator. Moreover, through the concept of detail and structure, the
 190 structure guides the generator to output the authenticity of the image and enhance the generation server
 191 network performance.

192 3. Algorithm framework and loss function

193 3.1. Loss function

194 Adding network loss is a key means of training the network. For the translation-limited problem,
 195 choosing an appropriate loss function is the key to solving the problem. We adopt the concept of
 196 adversarial loss + cycle-consistent loss to improve the loss function for the proposed network.

197 The adversarial loss of the network is given as follows:

$$198 \quad L_{GAN}(G_{1,2}, D_Y, X, Y) = E_{y \sim p_{data}}(y) [\log D_{Y|Y_2}(y)] + E_{x \sim p_{data}}(x) [\log(1 - D_{Y|Y_2}(G_Y(x)))] \quad (3)$$

$$199 \quad L_{GAN}(F_{1,2}, D_X, X, Y) = E_{x \sim p_{data}}(x) [\log D_X(x)] + E_{y \sim p_{data}}(y) [\log(1 - D_X(F_X(y)))] \quad (4)$$

200 Because of the unpaired dataset, using the traditional GAN loss function does not make the network
 201 generates images of the domain Y corresponding to the domain X, so the cycle-consistent loss is added to

the network. The cycle-consistent loss formula is as follows:

$$L_{cycle}(G_1, F_1) = E_{x \sim p_{data}(x)} [\|F_1(G_1(x)) - x\|] \quad (5)$$

$$L_{cycle}(G_2, F_2) = E_{x \sim p_{data}(x)} [\|F_2(G_2(x)) - x\|] \quad (6)$$

where G_1 and G_3 are conversions from field X to field Y, and G_2 and G_4 are conversions from field Y to field X. Therefore, the complete objective function is as follows:

$$L(G_{1,2}, G_{3,4}, D_X, D_Y) = L_{GAN}(G_{1,3}, D_Y, X, Y) + L_{GAN}(G_{2,4}, D_X, X, Y) + \lambda(L_{cycle}(G_1, F_1) + L_{cycle}(G_2, F_2)) \quad (7)$$

where λ is the hyperparameter and the weight of the cycle-consistent loss.

3.2. Algorithm framework

To improve the dehazing performance of images effectively, this paper improves the generator, discriminator, and loss function of the original network by the atmospheric scattering model, dual decoder structure, and dual discriminator structure. The algorithm flow is shown in Figure 4, where the haze-free image, y /hazy image, x , is converted into the hazy image, $G_1(y)$ /haze-free image, $G_2(x)$, through generator G_1 and generator G_2 .

The hazy image, $G_1(y)$ /haze-free image, $G_2(x)$, are restored to the haze-free image, $F_1(G_1(y))$ /hazy image, $F_2(G_2(x))$, by generators F_1 and F_2 . Here, $F_1(G_1(y)) \approx y$, and $F_2(G_2(x)) \approx x$. The generator G_2 generates the haze-free image and a transmittance map, $t(x)$, at the same time. The hazy image is restored by the atmospheric scattering model $I(x) = J(x)t(x) + A[1 - t(x)]$. Here, $I(x)$ is a hazy image, $J(x)$ is a haze-free image, $t(x)$ is a transmittance map, and A is the atmospheric light value, the latter of which is replaced by the maximum light value in the image. Discriminators D_1 , D_2 , D_3 , and D_4 are used to distinguish whether an image contains fog. D_1 and D_2 are used to determine whether a haze-free image is a real image or a generated image, while D_3 and D_4 are used to determine whether the haze-free image is a real image or a generated image. The structure of the algorithm is shown in Figure 4.

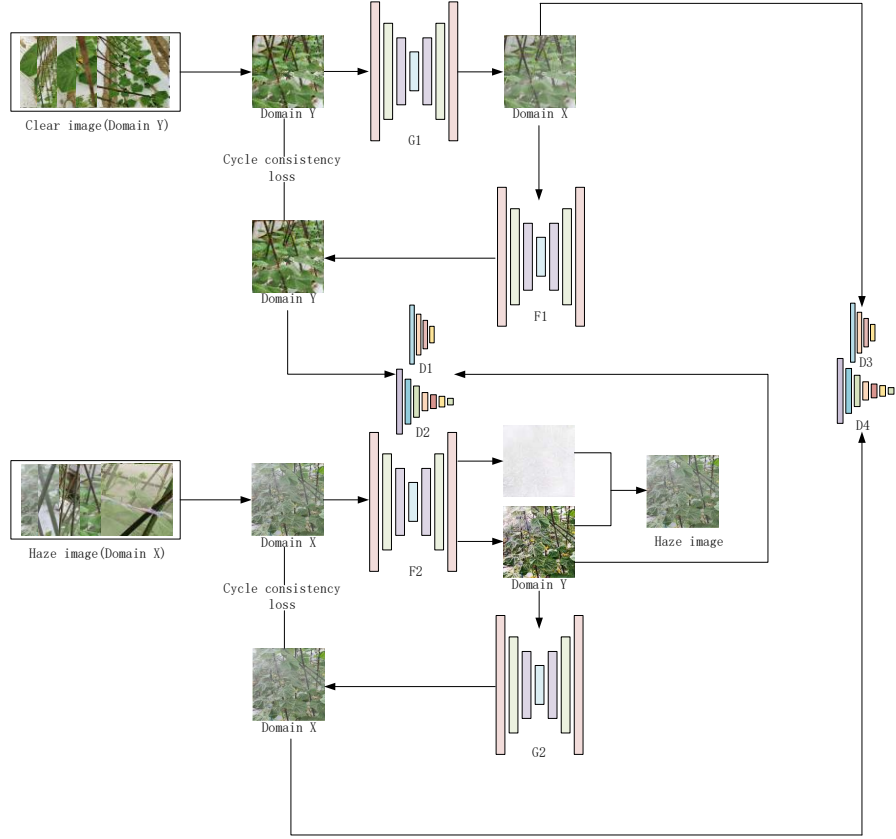


Fig. 4 The structure of the AgriGAN

4. Experimental results and analysis

To verify the advantages of the proposed method in the image dehaze algorithm, the research team selected a mountain village in Hangzhou, Zhejiang, as the experimental research object. Due to local climate conditions and other reasons, a series of noises such as water haze will appear when the water vapor is relatively sufficient. Therefore, the research team selected the images collected when water haze appeared to create the dataset. The training dataset contains 407 images of fogless plants and 479 images of haze plants. The test dataset contains 51 images of haze-free plants and 49 images of haze plants. There is no mutual match between hazy images and haze-free images, as shown in Figure 5.



Fig. 5 The dataset of our experiment

The experimental environment was the Tiankuo W580-G20 server, the CPU processor was E5-2600V3, the GPU processor was 2080ti, the experimental tool was Python 3.6.5, the algorithm was implemented with TensorFlow framework, and Slim library and Opencv library were installed to simplify code redundancy.

For the consistency of fixed data, each image was resized to $256 \times 256 \times 3$, and all networks were optimized by the Adam algorithm. The learning rate of the generator and discriminator for the first 5,000 generations was $1e-4$, and that of the last 5,000 generations was reduced to 0. The loss function and training time were recorded once every 10 iterations of 10,000 iterations.

4.1. Algorithm evaluation index

(1) For the image definition index, the energy gradient function (EGF) was used to evaluate the image definition:

$$D(f) = \frac{\sum_y \sum_x \left(|f(x+1, y) - f(x, y)|^2 + |f(x, y+1) - f(x, y)|^2 \right)}{256 \times 256} \quad (8)$$

where $f(x, y)$ is a point in the image, $f(x, y+1)$ is a point below $f(x, y)$, and $f(x, y+1)$ is a point on the right side of the image.

(2) Fréchet inception distance (FID)

FID extracts the feature extraction layer of the inception network as the feature extraction function. The

252 function calculates the distribution mean and variance between the real distribution, P_{data} , and the
 253 generated distribution, P_z , and it calculates the distance between the real distribution and the generated
 254 distribution by obtaining the mean and variance information:

$$255 \quad FID(x, z) = \|\mu_x - \mu_z\|_2^2 + \text{Tr} \left(\Sigma_x + \Sigma_z - 2 \left(\Sigma_x \Sigma_z \right)^{\frac{1}{2}} \right) \quad (9)$$

256 where μ_x and μ_z represent the mean-variance value of the real distribution and the generated distribution,
 257 respectively.

258 In sum, the smaller the FID value, the closer the generated distribution is to the real distribution, and
 259 the closer the generated image is to the real image.

260 *4.2. comparison with the state-of-the-art algorithms*

261 To verify the effectiveness of the proposed algorithm, we compared it with the MSCNN-HE algorithm
 262 proposed by Cao, the DDcycleGAN proposed by Zhao, the DualGAN proposed by Lu, and the DeHazeNet
 263 algorithm proposed by Cai.

264 The comparison results of mist fog contrast are shown in Figure 6.

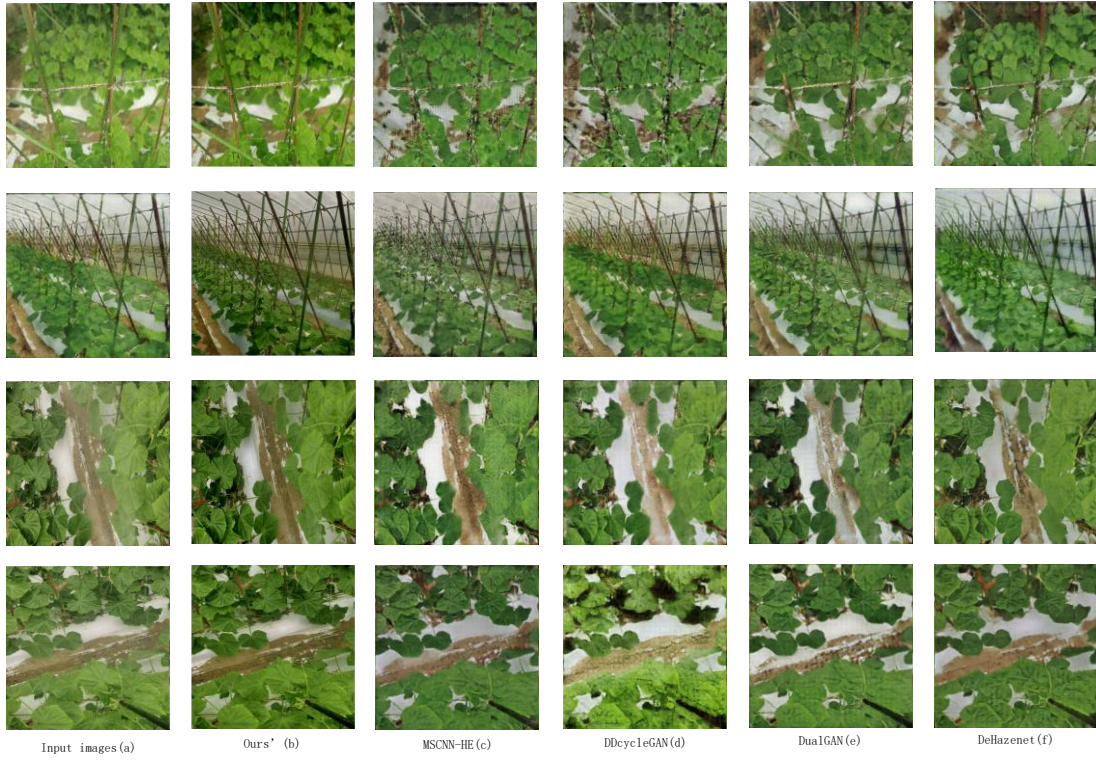


Fig. 6 Comparison of the proposed algorithm with different algorithms in mist

The comparison of thick fog contrast results is shown in Figure 7.



Fig. 7 Comparison of the proposed algorithm with different algorithms in dense haze

Figure 7(a) shows the hazy image of the input network; Figure 7(b) shows the proposed algorithm. Figure 7(d) and 7(e) shows the unpaired dehazing algorithms DDcycleGAN and DualGAN. Figure 7(c) and 7(f) shows the paired image algorithms MSCNN-HE and DehazeNet. From the training method of the data, we can see that the result of the paired image algorithm is better than that of the unpaired algorithm. However, the color of the results of the unpaired algorithm does not have a large deviation. In the dehazing results of DDcycleGAN and DualGAN, DDcycleGAN retains the contour information of the image well, but the texture information loss of the leaves is serious. The detailed texture generation of the leaves of DualGAN is better than that of DDcycleGAN, but there is a certain lack of leaf boundary contours. DehazeNet and MCSCNN-HE have served as excellent paired algorithms in recent years, and their good dehazing performance has been widely praised. However, in our study, the results of DehazeNet have color deviations, such as deepening the color of the iron in the figure and the deviation of the background color. MSCNN-HE avoids the color deviation of DehazeNet and has a good leaf outline. Moreover, some leaf lines are relatively clear, but there is still a certain gap between it and our proposed algorithm. The haze-free images generated in this study have no obvious color deviation, and the leaves' texture is clearly visible, providing accurate data for subsequent agricultural image processing.

Table 2 presents the EGF and FID metrics for the averaged images generated by various algorithms. From the data-level observation, we can see that the algorithm indicators proposed in this study are also prominent.

Table 2 Different algorithm result indicators

	Ours*	MSCNN-HE	DDCycleGAN	DualGAN	Dehaze Net
EGF	1,228.6	1,170.3	865.4	811.0	1,090.6
FID	46.78	53.99	81.44	85.27	61.63

4.3. Application

The leaves of crops are the main organs of photosynthesis, and there are significant differences in leaf

size, shape, posture, color, and other aspects among different crops. There are also differences between different varieties of the same crop, such as palm shaped pentagon, heart shaped pentagon, nearly round, nearly triangular cucumber leaves. The leaf posture can also be divided into upright, flat, drooping, etc. Figures 8 and 9 compare the original images with and without the dehazing algorithm. As shown in Figure 8, the original image on the right is blurry, the shape of the blade cannot be clearly seen. After dehazing, we can clearly determine that the blade shape is pentagonal heart shaped pentagon. Similarly, in Figure 9, the original image is on the right side and the color of the leaves cannot be clearly seen. However, after dehazing, the nutritional status of the crop can be determined. Therefore, we can be confident that after dehazing, a complete and clear image of plant leaves can be obtained, which is helpful for further classification and identification of different crops and varieties.



Fig. 8 Comparison of between hazy images and haze-free images for application of blade shape determination



Fig. 9 Comparison between hazy images and haze-free images for application of the crop nutritional status determination

5. Conclusions

Aiming at the problem of obtaining more reliable phenotype information in hazy images for current precision agriculture, we proposed AgriGAN. First, we considered the cost and used unpaired image data for training. At the same time, we considered the method of combining traditional physics models with deep learning, adding atmospheric scattering models to the generator to reduce the difficulty of network dehazing and to improve network performance. Aiming at the problem that the efficiency of the discriminator in the generative adversarial network being lower than that of the generator, we proposed a discriminator model based on the detailed overall consensus identification to help the network reach the state of Nash equilibrium faster. Finally, the network achieved unpaired agricultural image dehazing through the addition of network adversarial loss + cycle consistent loss. The dehazing effect of the proposed algorithm was compared with that of existing dehazing algorithms. Our proposed algorithm is superior to the existing agricultural dehazing algorithms in terms of preserving image details and texture and reducing color deviation. Thus, it can effectively achieve high precision, reduce the cost of dehazing, and further the mission of image dehazing in the agricultural field.

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Data availability

Our dataset and code will be publicly available at <https://github.com/HZSUZJ/DLDF>.

Additional information

The collection of plant materials complied with relevant institutional, national, and international guidelines and legislation.

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