

Patient-Reported Outcomes After Anterior Cervical Discectomy and Fusion Plateau by 3 Months and Remain Stable Through 2 Years

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Abstract

Study Design:

Retrospective Cohort Study

Objective:

This study aims to: 1) describe the natural course of post-operative PROM data for up to 2 years; 2) determine where PROMs become relatively stable in the postoperative time frame; and 3) investigate whether 1-year PROMs accurately reflect 2-year outcomes following anterior discectomy and fusion (ACDF).

Summary of Background data:

Patient-reported outcome measures (PROMs) are the gold standard for assessing success in cervical spine surgery. While many registries and studies require 2-year follow-up, it remains unclear if clinical improvement plateaus earlier.

Methods:

A retrospective analysis was conducted on 132 patients undergoing ACDF for degenerative disease from 2020 to 2023. PROMs, including the Short Form-12 Physical and Mental Component Summaries (PCS, MCS), Visual Analog Scale (VAS) for neck and arm pain, and the modified Japanese Orthopaedic Association (mJOA) scale, were collected at baseline, 3, 6, and 24 months postoperatively. Post hoc comparisons were performed between 1 year and 2 year intervals to determine stability of long-term outcomes, with significance established at $P < 0.05$.

Results:

Significant improvements from baseline were observed across all PROMs starting at 3 months ($P < 0.001$) besides MCS which remained relatively stable. All PROMs displayed no significant differences starting from 3 months to 24 months ($P > 0.05$). Between 12-month and 24-month intervals, there were no statistically significant differences in PCS ($P = 0.996$), MCS ($P = 0.994$), VAS Neck ($P = 0.843$), VAS Arm ($P = 0.956$), or mJOA ($P = 0.964$).

Conclusions:

Patient reported recovery following ACDF stabilizes by one year postoperatively with the largest change in scores occurring from preoperative to 3 month time points. These findings suggest that 1-year PROMs are a reliable proxy for 2-year outcomes, potentially allowing for streamlined follow-up protocols and reduced institutional questionnaire burden.

Introduction

Anterior cervical discectomy and fusion (ACDF) remains a commonly performed operation for cervical degenerative disease, and is considered gold standard for managing patients with radiculopathy and/or myelopathy who fail nonoperative management.^{1,2} As spine surgery has become increasingly outcomes-driven overall, patient-reported outcome measures (PROMs) play an important role in assessing procedural success or not, quantifying changes in pain, disability, neurologic function, and overall functional status.^{3,4}

Despite the ubiquity of PROMs as primary and/or secondary outcome measures, the ideal postoperative end timepoint that most meaningfully reflects long-term recovery after ACDF remains unclear.^{5,6} Many studies (e.g. prospective clinical trials, retrospective single institution, national database) emphasize 1- to 2-year follow-up as a benchmark endpoint. It is important to be note that prolonged PROM collection is not only resource-intensive, but also increasingly limited by patient attrition over time.⁷⁻⁹ This is particularly relevant in retrospective clinical research, where requiring complete longitudinal PROM data may substantially reduce the analyzable cohort.^{10,11} To this end, being able to determine whether earlier postoperative PROMs is predictive of later outcomes has practical implications for both study design and/or real-world outcomes tracking.^{12,13}

Prior work in orthopedic surgery suggests the majority of improvement in patient-reported pain/function often occurs early after surgery, followed by relative stabilization.^{7,14} If a similar trajectory is present after ACDF at the single-institution level, then 1-year PROMs may provide a clinically useful estimate of 2-year recovery for selected outcomes studies.¹⁵⁻¹⁷ Such a finding would be meaningful, not only for patient education but also since later follow-up often adds logistical burden while yielding comparatively limited incremental information regarding pain relief/functional recovery.^{7,9,10}

To this end, the purpose of the present study was to evaluate postoperative PROM trajectories following ACDF by: 1) assessing changes in PROMs from baseline to 3 months, 6 months, 1 year, and 2 years postoperatively; 2) identifying the time point where postoperative improvement plateaued; and 3) examining whether 1-year PROMs were representative of 2-year outcomes. Given the consistent improvements typically provided by ACDF, we hypothesized greatest improvement would occur in the early postoperative period and that PROMs would remain largely stable between 1 and 2 years.

Methods

This study utilized a retrospective observational design to evaluate clinical outcomes in patients treated for degenerative cervical spondylosis. The study protocol was reviewed and approved by the Institutional Review Board. Patients were identified from a surgical database covering a period from January 2020 to July 2023. All included patients underwent an anterior cervical discectomy and fusion (ACDF) procedure performed by a team of fellowship trained orthopaedic surgeons at a single institution.

Patients were eligible for inclusion if they presented with degenerative cervical conditions such as radiculopathy or myelopathy, underwent a primary ACDF procedure, and had complete patient-reported

outcome measures (PROMs) available for the preoperative baseline and postoperative intervals at 3 months, 6 months, 1 year, and 2 years. Patients were excluded if the surgery was for trauma, malignancy, or active infection, it was a revision surgery, the surgery was through a posterior cervical approach, and if there was insufficient follow-up data during the 24-month postoperative interval.

Primary outcomes were assessed using a battery of validated PROMs designed to capture physical function, mental health, pain levels, and neurological status. These included the SF-12 Physical and Mental Component Summaries (PCS, MCS) to evaluate the overall health-related quality of life. The Visual Analog Scale (VAS) for Neck and Arm pain was utilized to quantify the intensity of localized and radiating pain. Finally, the Modified Japanese Orthopaedic Association (mJOA) scale aided in assessing the functional status and severity of myelopathy. Data points were collected at a preoperative baseline and postoperative intervals at 3 months, 6 months, 12 months, and 24 months.

Continuous variables were expressed as means with standard deviations while categorical data was expressed as cell counts and percentages. Changes in PROMs over time were evaluated using repeated measures analysis to identify the timepoint at which significant clinical improvement plateaued. Post hoc comparisons between 12-month and 24-month intervals were performed to determine the stability of long-term outcomes. Significance was established at $P < 0.05$.

Results

428 patients were identified to have ACDF surgery at our institution from January 2020 to July 2023. After exclusion criteria was applied, the final cohort consisted of 132 patients with a mean age of 60.2 years. Further demographic data included race (86.4% White, 5.3% Black), smoking status (12.2% active smokers), and comorbidities such as diabetes (19.7%), and osteoporosis (13.6%) (Table 1).

Table 1
Demographics; CCI= Charlson
Comorbidity Index, BMI= body
mass index

Age	Total Data
	N = 132
	60.2 (10.1)
Race:	
White	114 (86.4%)
Black	7 (5.30%)
Other	3 (2.27%)
Unknown	8 (6.06%)
Male	68 (51.5%)
Smoker:	
No	50 (38.2%)
Yes	16 (12.2%)
Former	31 (23.7%)
Unknown	34 (26.0%)
CCI	2.66 (1.56)
Osteoporosis	18 (13.6%)
Diabetes	26 (19.7%)
BMI	30.0 (5.89)

All patients underwent ACDF with an average of 2.28 levels fused. The mean operative time was 117 minutes, with an average estimated blood loss (EBL) of 33.0 mL and a hospital stay of 0.76 days (Table 2). Postoperative complications were infrequent, including 30-day readmission rate of 3.03%, a 90-day readmission rate of 5.3%, and a revision rate of 5.3%. Non-union was reported in 3.03% of cases, and persistent radiculopathy was noted in 19.7% (Table 3).

Table 2
Peri-Operative Characteristics;
EBL= estimated blood loss

Length of Stay	Total Data
	N = 132
	0.76 (0.85)
Operative Time	117 (49.0)
EBL (mL)	33.0 (23.2)
Levels Fused	2.28 (0.78)

Table 3
Post-Operative Complications; ED=
Emergency Department, DVT/PE= deep
vein thrombosis/ pulmonary embolism,
SSI=surgical site infection

30 Day Readmission	Total Data
	N = 132
	4 (3.03%)
90 Day Readmission	7 (5.30%)
Post-operative revision	7 (5.30%)
Non-Union	4 (3.03%)
Persistent Radiculopathy	26 (19.7%)
Follow Up (days)	520 (529)
Relevant ED visit	11 (8.33%)
DVT/PE	1 (0.76%)
Pseudoarthrosis	3 (2.27%)
Wound Dehiscence	0 (0%)
SSI	0 (0%)
Hematoma	2 (1.52%)
Hardware Failure	1 (0.76%)

Primary outcomes were assessed through PCS and MCS of the SF-12, VAS for neck pain and arm pain, and the mJOA scale for functional myelopathy assessment. Data were analyzed at preoperative baseline, and four postoperative intervals at 3, 6, 12, and 24 months. PCS scores improved significantly from a preoperative mean of 35.1 to 38.3 at 3 months ($P < 0.001$). PCS scores showed no differences in

comparisons from the 3-month timepoint to 24 months ($P > 0.05$). MCS scores displayed no significant difference in scores from preoperative to 24 months postoperative ($P > 0.05$). VAS Neck and VAS Arm scores showed rapid decline, decreasing from 5.49 and 5.81 (preoperative) to 2.81 and 2.64 at 3 months, respectively ($P < 0.001$). These scores displayed no further significant changes in the 3-month to 24-month postoperative period ($P > 0.05$). The mJOA scale improved from 14.4 (preoperative) to 16.0 at 3 months ($P < 0.001$) and similarly remained significantly stable from 3 months to 24 months postoperative ($P > 0.05$) (Table 4, Fig. 1).

Table 4

PROMs; PCS= physical component summary, MCS= mental component summary, VAS= visual analog scale, mJOA= modified Japanese Orthopaedic Association

Total Data N = 132	Pre Op	3 Months	6 Months	12 Months	24 Months	P value	3 vs. 6 months P value	6 vs 12 months P value	12 vs 24 months P value
PCS	35.1 (8.80)	38.3 (9.95)	39.7 (11.0)	40.2 (10.9)	40.8 (11.7)	< 0.001	0.829	0.994	0.996
MCS	49.7 (10.3)	51.5 (11.2)	52.5 (10.5)	51.1 (10.7)	51.6 (10.2)	0.286	0.937	0.818	0.994
VAS Neck	5.49 (2.75)	2.81 (2.25)	2.67 (2.28)	2.52 (2.51)	2.85 (2.59)	< 0.001	0.989	0.986	0.843
VAS Arm	5.81 (2.89)	2.64 (2.81)	2.64 (3.08)	2.52 (2.86)	2.79 (3.09)	< 0.001	1.000	0.998	0.956
mJOA	14.4 (2.98)	16.0 (2.09)	16.1 (2.21)	15.6 (2.58)	15.8 (2.39)	< 0.001	1.000	0.464	0.964

Comparing the 12-month and 24-month follow-up periods revealed no statistically significant changes in any measured PROMs. PCS scores slightly shifted from 40.2 to 40.8 ($P = 0.996$), and mJOA scores moved from 15.6 to 15.8 ($P = 0.964$). VAS pain scores for the neck and arm also remained stable ($P = 0.843$ and $P = 0.956$), confirming that the clinical benefits achieved at one year are maintained through the second postoperative year (Table 4, Fig. 1).

Discussion

In this retrospective single-institution cohort of 132 patients undergoing ACDF, we found that most measurable recovery occurred relatively early after surgery. By the first postoperative interval at 3 months, PCS improved from 35.1 preoperatively to 38.3, VAS neck pain from 5.49 to 2.81, VAS arm pain from 5.81 to 2.64, and mJOA from 14.4 to 16.0. Thereafter, PROMs remained largely stable from 6 months through 2 years, with no significant differences between 12- and 24-month values for any PROMs (PCS, MCS, VAS neck, VAS arm, or mJOA). Overall, our findings suggest that ACDF recovery follows an early-improvement, late-maintenance pattern and that 1-year PROMs may potentially reflect 2-year patient-reported status.

First, as expected, we found patients undergoing ACDF demonstrated significant early improvement in in nearly all PROM domains (pain, physical function, and neurologic status). This pattern is consistent with the broader ACDF literature, where the largest gains are typically seen within the first 3 to 6 months after surgery. One retrospective review of 614 patients with cervical degenerative myelopathy undergoing either ACDF or cervical disc arthroplasty (CDA) found that most patients experienced improvement in PROMs starting at 3 months through 12-month follow-up especially in NDI and NRS.¹⁸ A prospective randomized clinical trial of 133 patients undergoing ACDF found improvements in NDI, VAS by 6 weeks which persisted throughout follow-up, with no changes in NDI or VAS at 24 months or 60 months.¹⁹

When considering this study's second aim, we found the magnitude of early improvement in the current cohort was clinically intuitive, with VAS arm pain decreasing by more than 3 points and VAS neck pain decreasing by nearly 2.7 points by 3 months. Similarly, a systematic review and pooled analysis of ACDF data from 3,271 patients demonstrated rapid early postoperative improvement, with mean neck disability and pain scores improving substantially within the first postoperative quarter.^{19–23} Of note, the lack of clear MCS change is also not entirely unexpected, as in general mental health scores are often less procedure-sensitive and may be influenced and/or confounded by broader psychosocial factors.^{24–26} Overall, our findings suggest that postoperative recovery is nonlinear, with the majority of benefit occurring early.

Of note, these lack of differences persisted between 1- and 2-year PROMs, supporting the perspective that 1-year outcomes may be representative of 2-year results after ACDF. This question is especially relevant because longer-term PROM collection is resource-intensive and oftentimes undermined by attrition/loss-to-follow-up. In the present study, 428 ACDF patients were identified, but only 132 had complete PROM data through 2 years, meaning that nearly 70% of the potentially eligible surgical population was not captured in the final longitudinal analysis. That issue is not unique to this study and reflects a broader challenge in spine outcomes research. From a practical standpoint, if outcomes are stable by 1 year, extending surveillance to 2 years may offer limited incremental value, especially for studies that are mainly focused on symptom relief and/or patient functional improvement. Our data does not prove total equivalence between 1- and 2-year results, but they do support the conclusion that 1-year PROMs or even shorter may serve as a reasonable endpoint for selected retrospective ACDF studies.

This study has several potential limitations. As a retrospective, single-institution study, there is the risk for selection/attrition bias and overall lack of external validity/generalizability. In addition, as a PROM-focused study we did not capture other potentially relevant long-term outcomes such as radiographic fusion, adjacent segment pathology, and/or late reoperation.^{27,28} Furthermore, the apparent late PROM stabilization noted may partly reflect survivorship or ceiling effects, as patients with worse outcomes/complications may be less likely to complete long-term follow-up or vice versa. Nonetheless, this study has several important strengths, including longitudinal assessment of multiple validated PROMs across five time points in a uniform institutional ACDF cohort, with direct considerations when designing the follow-up of future spine outcome studies.

Conclusion

In our cohort of 132 patients undergoing ACDF who had a complete set of 2 year PROMs, we found that patient-reported recovery after ACDF occurs early, with reported improvement evident by 3 months maintained through 2 years postoperatively. PROMs remained largely stable after the early postoperative period, with no significant differences between 1- and 2-year outcomes across all measured domains (PCS, MCS, VAS neck, VAS arm, mJOA). Overall, our findings suggest that 1-year PROMs or perhaps earlier may serve as a practical endpoint for selected retrospective ACDF studies.

Declarations

Author Contribution

M.K.N. Conceptualization, Writing – original draft, Writing – review & editing M.H. Conceptualization, Writing – original draft, Writing – review & editing Y.L. Conceptualization, Writing – original draft, Writing – review & editing G. B. Conceptualization, Writing – original draft, Writing – review & editing J.M. Conceptualization, Writing – original draft, Writing – review & editing W.A. G. Conceptualization, Writing – original draft, Writing – review & editing Y.K. E. Conceptualization, Writing – original draft, Writing – review & editing D.J. Conceptualization, Writing – original draft, Writing – review & editing S.B. Conceptualization, Writing – original draft, Writing – review & editing S.E. Conceptualization, Writing – original draft, Writing – review & editing A.S. Conceptualization, Writing – original draft, Writing – review & editing V.A.C. Conceptualization, Writing – original draft, Writing – review & editing K.D. Conceptualization, Writing – original draft, Writing – review & editing A.G. Conceptualization, Writing – original draft, Writing – review & editing J.D. Conceptualization, Writing – original draft, Writing – review & editing A.F. Conceptualization, Writing – original draft, Writing – review & editing E.B. Conceptualization, Writing – original draft, Writing – review & editing J.A. C. Conceptualization, Writing – review & editing, Supervision A.S. H. Conceptualization, Writing – review & editing, Supervision A.R.V. Conceptualization, Writing – review & editing, Supervision M. K. Conceptualization, Writing – review & editing, Supervision I. K. Conceptualization, Writing – review & editing, Supervision T. C. Conceptualization, Writing – review & editing, Supervision G.D.S. Conceptualization, Writing – review & editing, Supervision C.K.K. Conceptualization, Writing – review & editing, Supervision

Data Availability

All data utilized in this study is available upon reasonable request.

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Figures

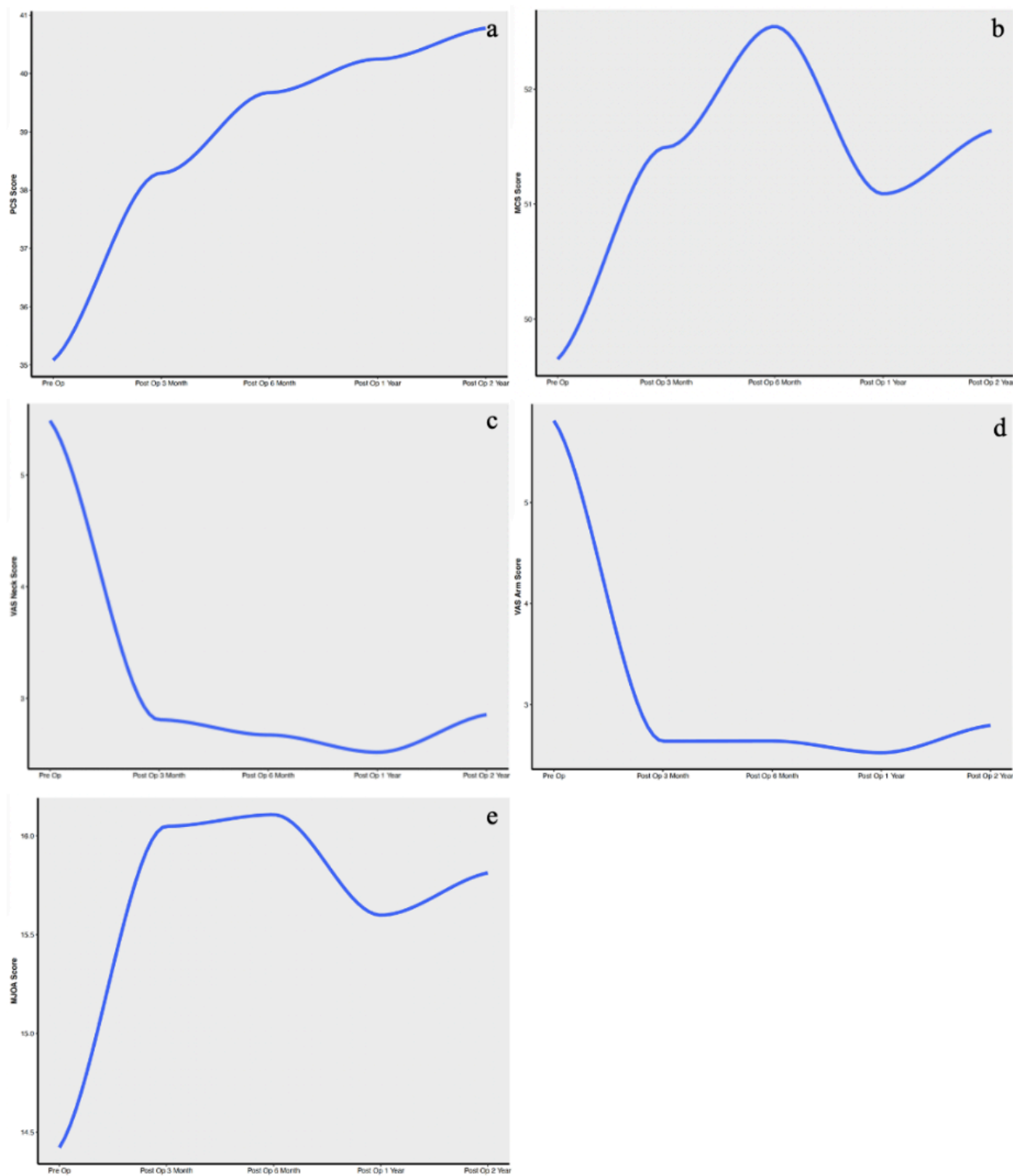


Figure 1

Average PROM scores over time. (a) PCS, (b) MCS, (c) VAS Neck, (d) VAS Arm, (e) mJOA